

# Principles of Software Construction

## API Design (Part 2)

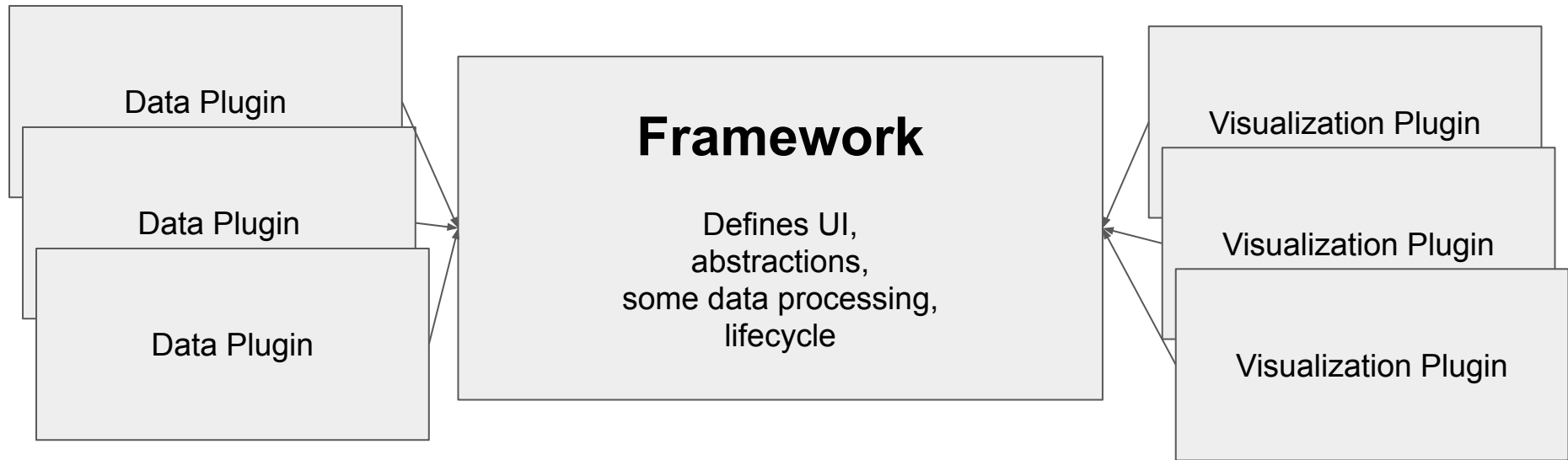
**Christian Kästner**    Vincent Hellendoorn  
(With slides from Josh Bloch)





# Midterm Recap

# Homework 6 Released



# HW6: Map-Based Data Visualizations?

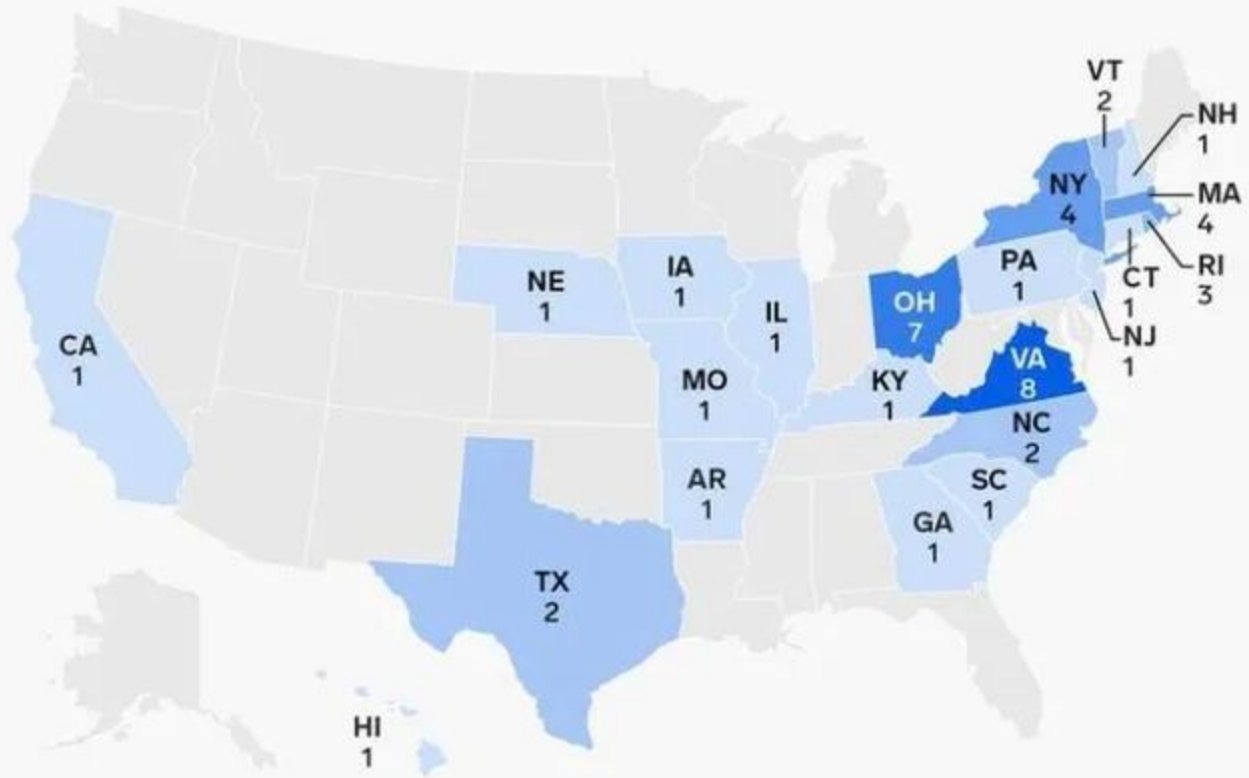
State, county, or country data

Data from many sources

Visualization as map image, table, google maps

Animations for time series data

## States that produced the most presidents



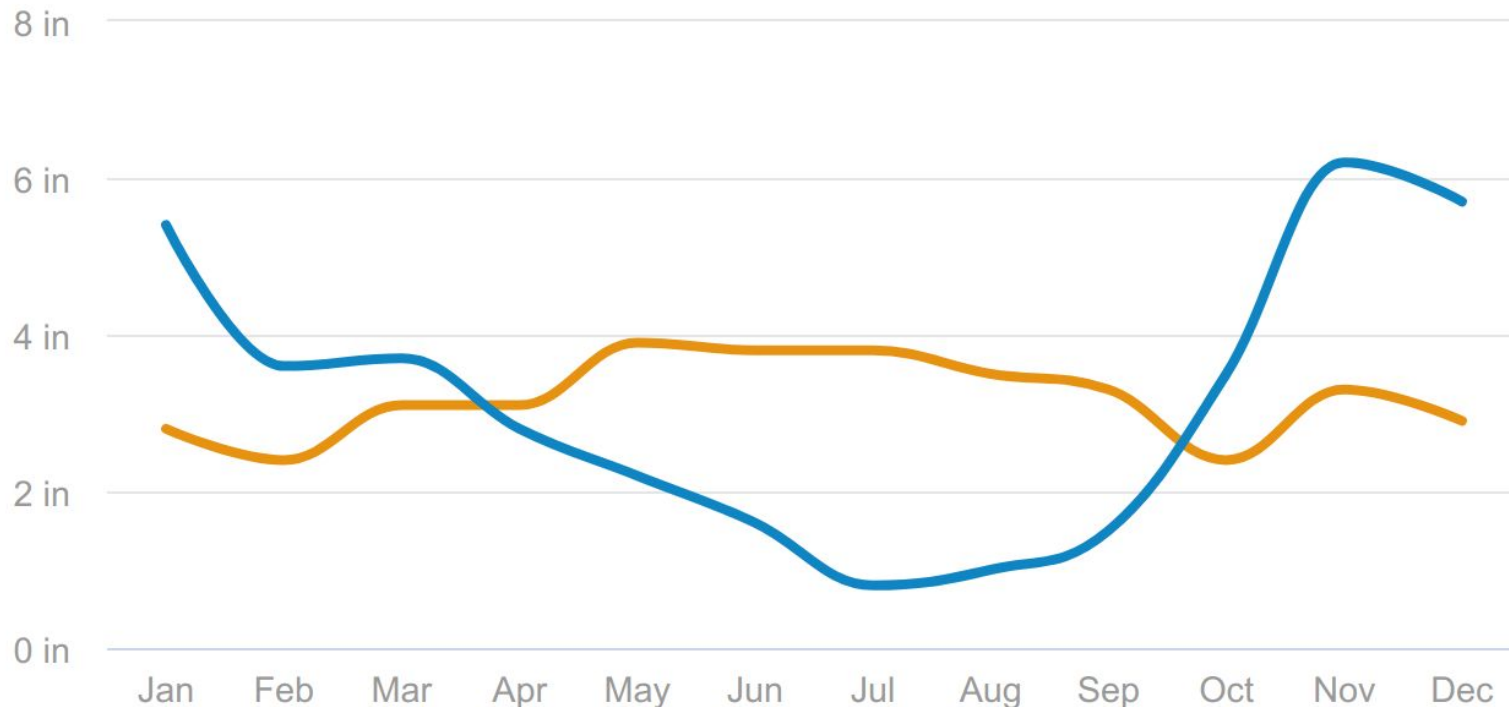
BUSINESS INSIDER

# Rainfall



average rainfall in inches

**Pittsburgh** **Seattle**



BestPlaces.Net



# A Word on Teamwork



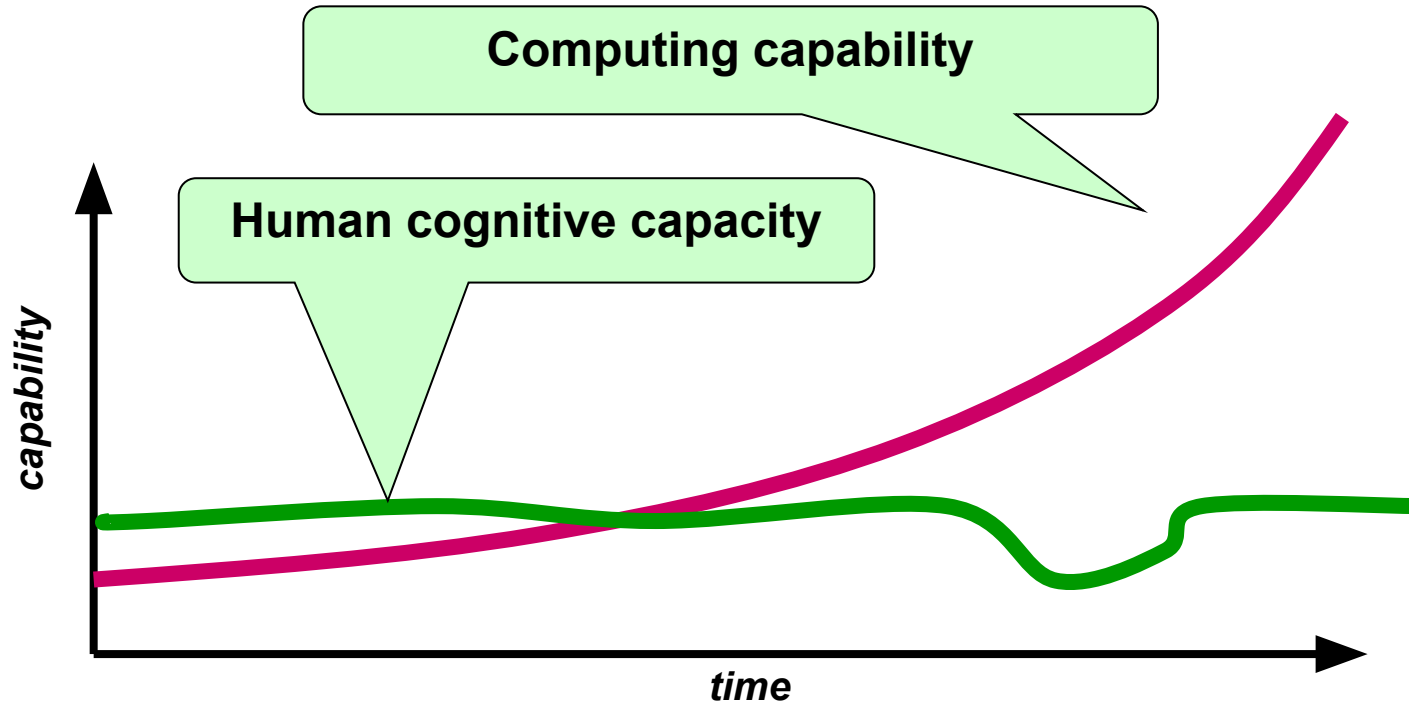
# Teamwork

Teamwork essential in software projects

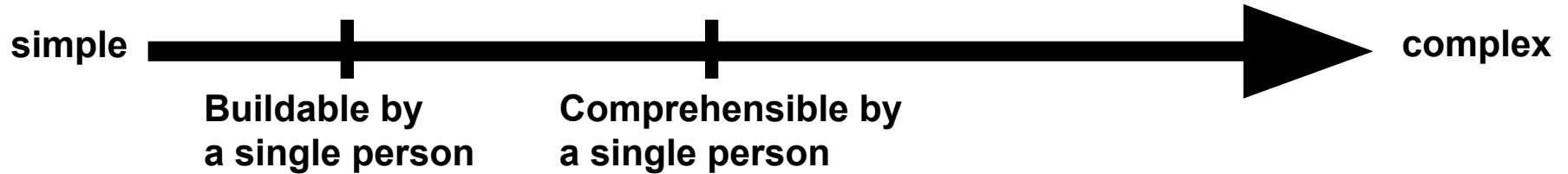
Teamwork needed to scale available work and available skills

Teamwork is a key motivation for  
design for understandability, documentation, etc

# The limits of exponentials



# Building Complex Systems



- Division of Labor
- Division of Knowledge and Design Effort
- Reuse of Existing Implementations

# Student Teams

Different abilities, different motivations, different commitment, different habits

Teams should self-organize

Agree on how to communicate in the team: Email? Text?

Plan, assign responsibilities, and write them down!

Replan and adjust, help each other, communicate frequently

# Team Citizenship

Not everybody will contribute equally to every milestone -- that's okay

But be good team citizen!

Be responsive and responsible

Stick to commitments, work on assigned tasks

When problems, reach out, replan, communicate early, be proactive

# Common Sources of Team Conflict

- Different team members have different working patterns and communication preferences
  - e.g., start early vs close to deadline
  - e.g., plan ahead vs try and error
  - e.g., react to every notification vs reduce distractions and read email once a day
  - discuss and set explicit expectations; talk about conflicts
- Different abilities, unexpected difficulties
  - work in pairs, plan time for rework and integration
  - replan, contribute to teams in different ways
  - work around it, it's the team's responsibility
- Unreliable team members, poor team citizenship
  - e.g., not starting the work in agreed time, not responding, not attending meetings
  - have written clear deliverables with deadlines
  - talk about it within team, talk to course staff, report poor team citizenship -> grade adjustment

# Reporting Poor Team Citizenship

Form on Canvas at end of semester

Provide evidence (point to plan.md, git logs, communication)

We'll adjust grading if needed

# Practice Teamwork Beyond This Course

Teamwork not emphasis in this course

Many other courses are very explicit about teaching and supporting teamwork in large groups

- Examples: 17-313 (Foundations of SE), 17-445 (ML in Production), 17-356 (SE for Startups), many capstone courses



# Today: API Design (continued)

# Where we are

	<i>Small scale:</i> One/few objects	<i>Mid scale:</i> Many objects	<i>Large scale:</i> Subsystems
<i>Design for</i>	Subtype	Domain Analysis	GUI vs Core
understanding	Polymorphism	Inheritance & Deleg.	Frameworks and Libraries, <b>APIs</b>
change/ext.	Information Hiding, Contracts	Responsibility Assignment,	Module systems, microservices
reuse	Immutability	Design Patterns, Antipattern	Testing for Robustness
robustness	Types	Promises/Reactive P.	CI, DevOps, Teams
...	Unit Testing	Integration Testing	

# Outline

- Introduction to API Design
- The Process of API Design
- Information Hiding and Minimizing Conceptual Weight
- Naming
- Other API Suggestions
- Breaking Changes

# Naming

# Names Matter – API is a little language

*Naming is perhaps the single most important factor in API usability*

- Primary goals
  - **Client code should read like prose** (“easy to read”)
  - **Client code should mean what it says** (“hard to misread”)
  - **Client code should flow naturally** (“easy to write”)
- To that end, names should:
  - be largely self-explanatory
  - leverage existing knowledge
  - interact harmoniously with language and each other

# Good and Bad Examples?

# Choosing names easy to read & write

- Choose key nouns carefully!
  - Related to finding good abstractions, which can be hard
  - If you *can't* find a good name, it's generally a bad sign
- If you get the key nouns right, other nouns, verbs, and prepositions tend to choose themselves
- Names can be literal or metaphorical
  - Literal names have literal associations: e.g., **matrix** suggests inverse, determinant, eigenvalue, etc.
  - Metaphorical names enable **reasoning by analogy**: e.g., **mail** suggests send, cc, bcc, inbox, outbox, folder, etc.

# Vocabulary consistency

- Use words consistently throughout your API
  - Never use the same word for multiple meanings
  - Never use multiple words for the same meaning
  - i.e., words should be *isomorphic* to meanings
  - Avoid abbreviations
- Build *domain model* or glossary!



# Discuss these names

- `get_x()` vs `getX()`
- `Timer` vs `timer`
- `isEnabled()` vs. `enabled()`
- `computeX()` vs. `generateX()`?
- `deleteX()` vs. `removeX()`?

# Good names drive good design

- Be consistent

- `computeX()` vs. `generateX()`?
- `deleteX()` vs. `removeX()`?

- Avoid cryptic abbreviations

- Good: `Font`, `Set`, `PrivateKey`, `Lock`, `ThreadFactory`, `TimeUnit`, `Future<T>`
- Bad: `DynAnyFactoryOperations`, `_BindingIteratorImplBase`, `ENCODING_CDR_ENCAPS`, `OMGVMCID`

# Names drive development, for better or worse

- Good names drive good development
- Bad names inhibit good development
- **Bad names result in bad APIs unless you take action**
- **The API talks back to you. Listen!**

# Another way names drive development

- Names may remind you of another API
- Consider **copying** its vocabulary and structure
- People who know other API will have an easy time learning yours
- You may be able to develop it more quickly
- You may be able to use types from the other API
- You may even be able to share implementation

# Avoid abbreviations except where customary

- Back in the day, storage was scarce & people abbreviated everything
  - Some continue to do this by force of habit or tradition
- Ideally, use complete words
- But sometimes, names just get too long
  - If you must abbreviate, do it tastefully
  - **No excuse for cryptic abbreviations**
- Of course you should use gcd, Url, cos, mba, etc.

# Grammar is a part of naming too

- Nouns for classes
  - `BigInteger`, `PriorityQueue`
- Nouns or adjectives for interfaces
  - `Collection`, `Comparable`
- Nouns, linking verbs or prepositions for non-mutative methods
  - `size`, `isEmpty`, `plus`
- Action verbs for mutative methods
  - `put`, `add`, `clear`

# Names should be regular – strive for symmetry

- If API has 2 verbs and 2 nouns, support all 4 combinations, unless you have a very good reason not to
- Programmers will try to use all 4 combinations, they will get upset if the one they want is missing

addRow

removeRow

addColumn

removeColumn

# What's wrong here?

```
public class Thread implements Runnable {  
    // Tests whether current thread has been interrupted.  
    // Clears the interrupted status of current thread.  
    public static boolean interrupted();  
}
```



# What's wrong here?

```
var timeoutID = setTimeout(function[, delay, arg1, arg2, ...]);  
var timeoutID = setTimeout(function[, delay]);  
var timeoutID = setTimeout(code[, delay]);  
  
setTimeout(function () {  
    // nice fast code here  
},2000) // run after 2 seconds  
  
setTimeout(`writeResults(${query.str})`, 100)
```

# Don't mislead your user

- Names have implications
- **Don't violate *the principle of least astonishment***
- Can cause unending stream of subtle bugs

```
public static boolean interrupted()
```

Tests whether the current thread has been interrupted.

The interrupted status of the thread is cleared by this method....

# Don't lie to your user outright

- Name method for what it does, not what you wish it did
- If you can't bring yourself to do this, fix the method!
- Again, ignore this at your own peril

```
public long skip(long n) throws IOException
```

Skips over and discards `n` bytes of data from this input stream. **The skip method may, for a variety of reasons, end up skipping over some smaller number of bytes, possibly 0.** This may result from any of a number of conditions; reaching end of file before `n` bytes have been skipped is only one possibility. The actual number of bytes skipped is returned...

# Use consistent parameter ordering

- An egregious example from C:

- `char* strncpy(char* dest, char* src, size_t n);`
- `void bcopy(void* src, void* dest, size_t n);`

# Use consistent parameter ordering

- An egregious example from C:
  - `char* strncpy(char* dest, char* src, size_t n);`
  - `void bcopy(void* src, void* dest, size_t n);`
- Some good examples:
  - `java.util.Collections` – first parameter always collection to be modified or queried
  - `java.util.concurrent` – time always specified as long delay, TimeUnit unit

# Good naming takes time, but it's worth it

- Don't be afraid to spend hours on it; I do.
  - And I still get the names wrong sometimes
- Don't just list names and choose
  - Write out realistic client code and compare
- Discuss names with colleagues; it really helps.

# Other API Design Suggestions

# Apply principles of user-centered design

e.g., "Principles of Universal Design"

- Equitable use: Design is useful and marketable to people with diverse abilities
- **Flexibility in use:** Design accommodates a wide range of individual preferences
- **Simple and intuitive use:** Use of the design is easy to understand
- Perceptible information: Design communicates necessary information effectively to user
- Tolerance for error
- Low physical effort
- Size and space for approach and use



# Principle: Favor composition over inheritance

```
// A Properties instance maps Strings to Strings
public class Properties extends Hashtable {
    public Object put(Object key, Object value);
    ...
}

public class Properties {
    private final Hashtable data = new Hashtable();
    public String put(String key, String value) {
        data.put(key, value);
    }
    ...
}
```

# Principle: Minimize mutability

- Classes should be immutable unless there's a good reason to do otherwise
  - Advantages: simple, thread-safe, reusable
  - Disadvantage: separate object for each value

Bad: `Date`, `Calendar`

Good: `LocalDate`, `Instant`, `TimerTask`

# Antipattern: Long lists of parameters

- Especially with repeated parameters of the same type

```
HWND CreateWindow(LPCTSTR lpClassName, LPCTSTR lpWindowName,  
    DWORD dwStyle, int x, int y, int nWidth, int nHeight,  
    HWND hWndParent, HMENU hMenu, HINSTANCE hInstance,  
    LPVOID lpParam);
```

- Long lists of identically typed params harmful
  - Programmers transpose parameters by mistake; programs still compile and run, but misbehave
- Three or fewer parameters is ideal
- Techniques for shortening parameter lists: Break up method, parameter objects, Builder Design Pattern

# What's wrong here?

```
// A Properties instance maps Strings to Strings
public class Properties extends Hashtable {
    public Object put(Object key, Object value);

    // Throws ClassCastException if this instance
    // contains any keys or values that are not Strings
    public void save(OutputStream out, String comments);
}
```

# Principle: Fail fast

- Report errors as soon as they are detectable
  - Check preconditions at the beginning of each method
  - Avoid dynamic type casts, run-time type-checking

```
// A Properties instance maps Strings to Strings
public class Properties extends Hashtable {
    public Object put(Object key, Object value);

    // Throws ClassCastException if this instance
    // contains any keys or values that are not Strings
    public void save(OutputStream out, String comments);
}
```

# Throw exceptions on exceptional conditions

- Don't force client to use exceptions for control flow
- Conversely, don't fail silently

```
void processBuffer (ByteBuffer buf) {  
    try {  
        while (true) {  
            buf.get(a);  
            processBytes(a, CHUNK_SIZE);  
        }  
    } catch (BufferUnderflowException e) {  
        int remaining = buf.remaining();  
        buf.get(a, 0, remaining);  
        processBytes(a, remaining);  
    }  
}
```

```
ThreadGroup.enumerate(Thread[] list)  
  
// fails silently: "if the array is too  
// short to hold all the threads, the  
// extra threads are silently ignored"
```

# Java: Avoid checked exceptions if possible

- Overuse of checked exceptions causes boilerplate

```
try {  
    Foo f = (Foo) g.clone();  
} catch (CloneNotSupportedException e) {  
    // Do nothing. This exception can't happen.  
}
```

# Antipattern: returns require exception handling

- Return zero-length array or empty collection, not null

```
package java.awt.image;
public interface BufferedImageOp {
    // Returns the rendering hints for this operation,
    // or null if no hints have been set.
    public RenderingHints getRenderingHints();
}
```

- Do not return a String if a better type exists



# Don't let your output become your de facto API

- Document the fact that output formats may evolve in the future
- Provide programmatic access to all data available in string form

```
public class Throwable {  
    public void printStackTrace(PrintStream s);  
}
```

```
org.omg.CORBA.MARSHAL: com.ibm.ws.pmi.server.DataDescriptor; IllegalAccessException minor code: 4942F23E com  
at com.ibm.rmi.io.ValueHandlerImpl.readValue(ValueHandlerImpl.java:199)  
at com.ibm.rmi.ioop.CDRInputStream.read_value(CDRInputStream.java:1429)  
at com.ibm.rmi.io.ValueHandlerImpl.read_Array(ValueHandlerImpl.java:625)  
at com.ibm.rmi.io.ValueHandlerImpl.readValueInternal(ValueHandlerImpl.java:273)  
at com.ibm.rmi.io.ValueHandlerImpl.readValue(ValueHandlerImpl.java:189)  
at com.ibm.rmi.ioop.CDRInputStream.read_value(CDRInputStream.java:1429)  
at com.ibm.ejs.sm.beans_EJSRemoteStatelessPmiService_Tie_invoke(_EJSRemoteStatelessPmiService_Tie.j  
at com.ibm.CORBA.ioop.ExtendedServerDelegate.disconnect(ExtendedServerDelegate.java:515)
```

# Don't let your output become your de facto API

- Document the facade of the future
- Provide programmatic string form

```
public class Throwable {  
    public void printSt  
}
```

```
public class Throwable {  
    public void printStackTrace(PrintStream s);  
    public StackTraceElement[] getStackTrace();  
}
```

```
public final class StackTraceElement {  
    public String getFileName();  
    public int getLineNumber();  
    public String getClassName();  
    public String getMethodName();  
    public boolean isNativeMethod();  
}
```

# Documentation matters

*“Reuse is something that is far easier to say than to do. Doing it requires both good design and very good documentation. Even when we see good design, which is still infrequently, we won't see the components reused without good documentation.”*

– D. L. Parnas, *Software Aging. Proceedings of the 16th International Conference on Software Engineering, 1994*

# Contracts and Documentation

- APIs should be self-documenting
  - Good names drive good design
- Document religiously anyway
  - All public classes
  - All public methods
  - All public fields
  - All method parameters
  - Explicitly write behavioral specifications
- Documentation is integral to the design and development process

# REST APIs

# REST API

API of a web service

Uniform interface over HTTP requests

Send parameters to URL, receive data  
(JSON, XML common)

Stateless: Each request is self-contained

Language independent, distributed

# REST API Design

All the same design principles apply

Document the API, input/output formats and error conditions!

# CRUD Operations

Path correspond to nouns, not verbs, nesting common:

- `/articles`, `/state`, `/game`  
`/articles/:id/comments`

GET (receive), POST (submit new), PUT (update), and DELETE requests sent to those paths

Parameters for filtering, searching, sorting, e.g., `/articles?sort=date`

```
const express = require('express');
const bodyParser = require('body-parser');
const app = express();
app.use(bodyParser.json()); // JSON input
app.get('/articles', (req, res) => {
  const articles = [];
  // code to retrieve an article...
  res.json(articles);
});
app.post('/articles', (req, res) => {
  // code to add a new article...
  res.json(req.body);
});
app.put('/articles/:id', (req, res) => {
  const { id } = req.params;
  // code to update an article...
  res.json(req.body);
});
app.delete('/articles/:id', (req, res) => {
  const { id } = req.params;
  // code to delete an article...
  res.json({ deleted: id });
});
app.listen(3000, () => console.log('server started'));
```



# REST Specifics

- JSON common for data exchange: Define and validate schema -- many libraries help
- Return HTTP standard errors (400, 401, 403, 500, ...)
- Security mechanism through SSL/TLS and other common practices
- Caching common
- Consider versioning APIs `/v1/articles`, `/v2/articles`

# Breaking Changes

# Backward Compatible Changes

Can add new interfaces, classes

Can add methods to APIs,  
but cannot change interface implemented by clients

Can loosen precondition and tighten postcondition,  
but no other contract changes

Cannot remove classes, interfaces, methods

Clients may rely on undocumented behavior and  
even bugs



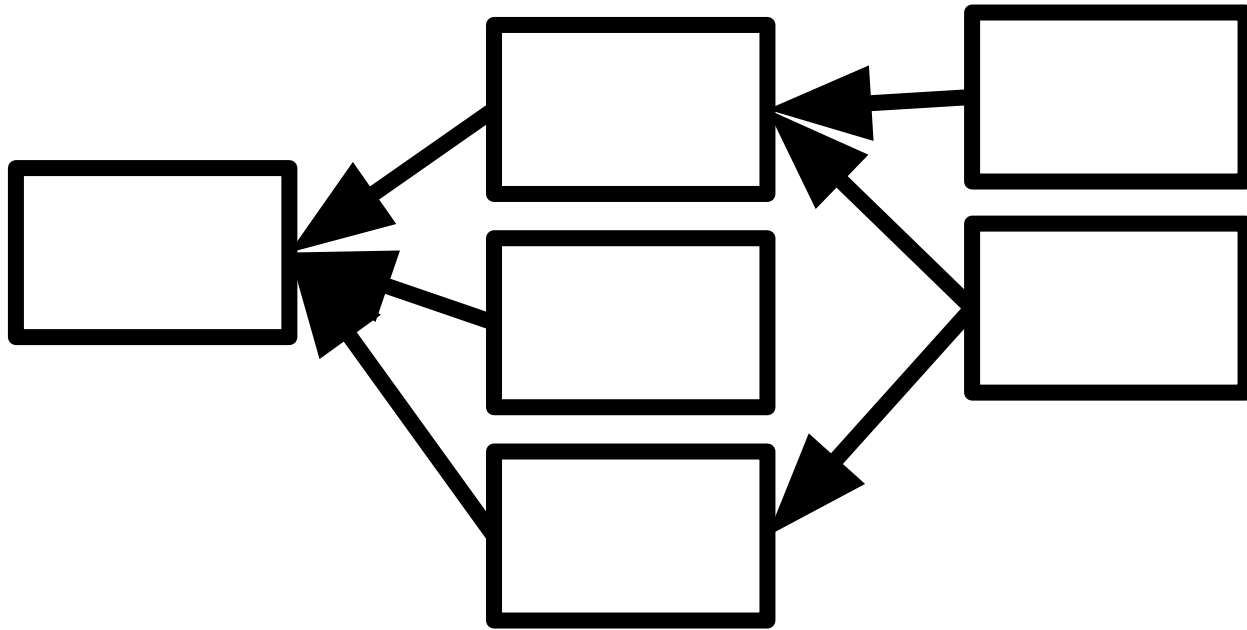
# Breaking Changes

Not backward compatible (e.g., renaming/removing method)

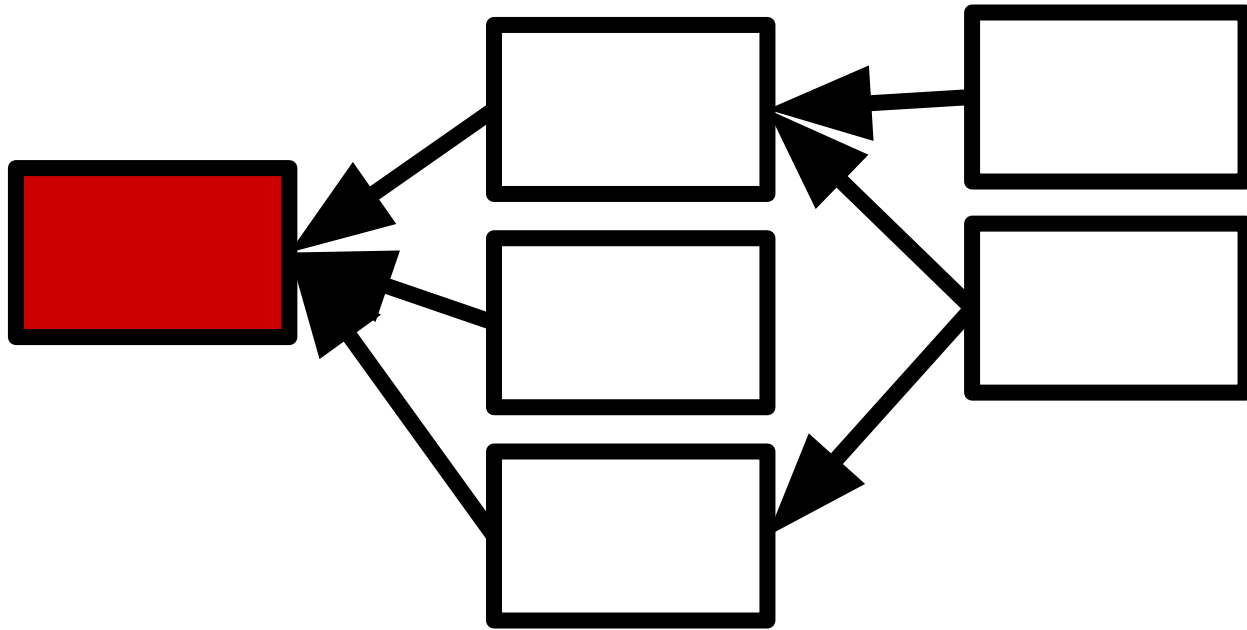
Clients may need to change their implementation when they update

or even migrate to other library

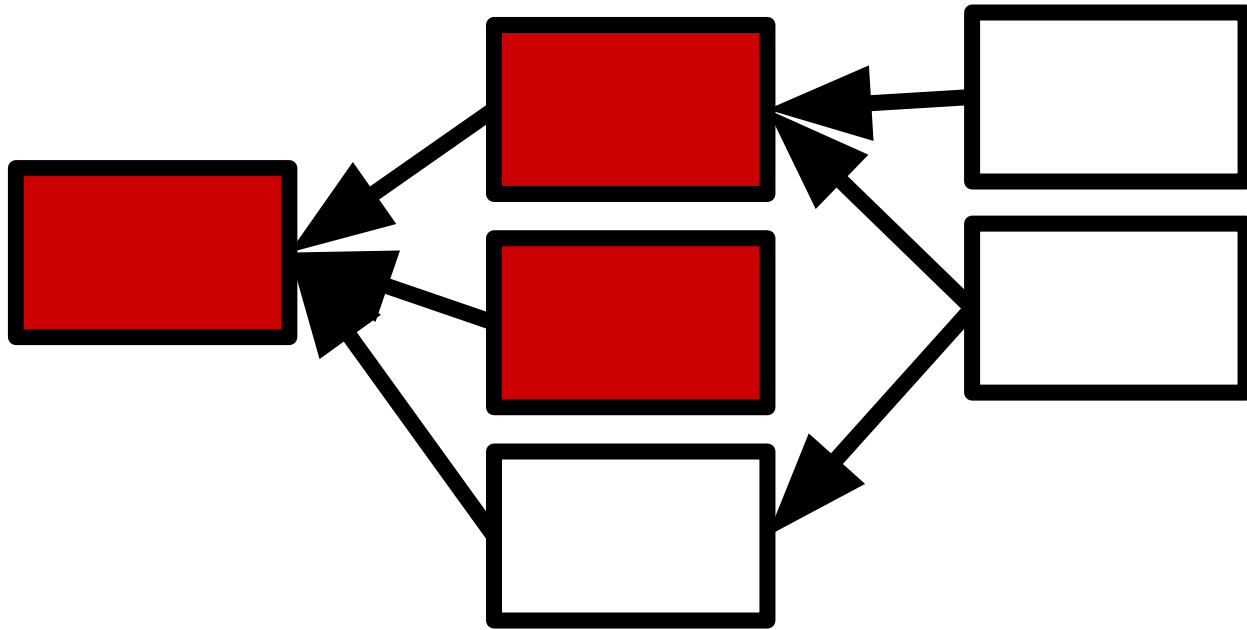
May cause costs for rework and interruption, may ripple through ecosystem



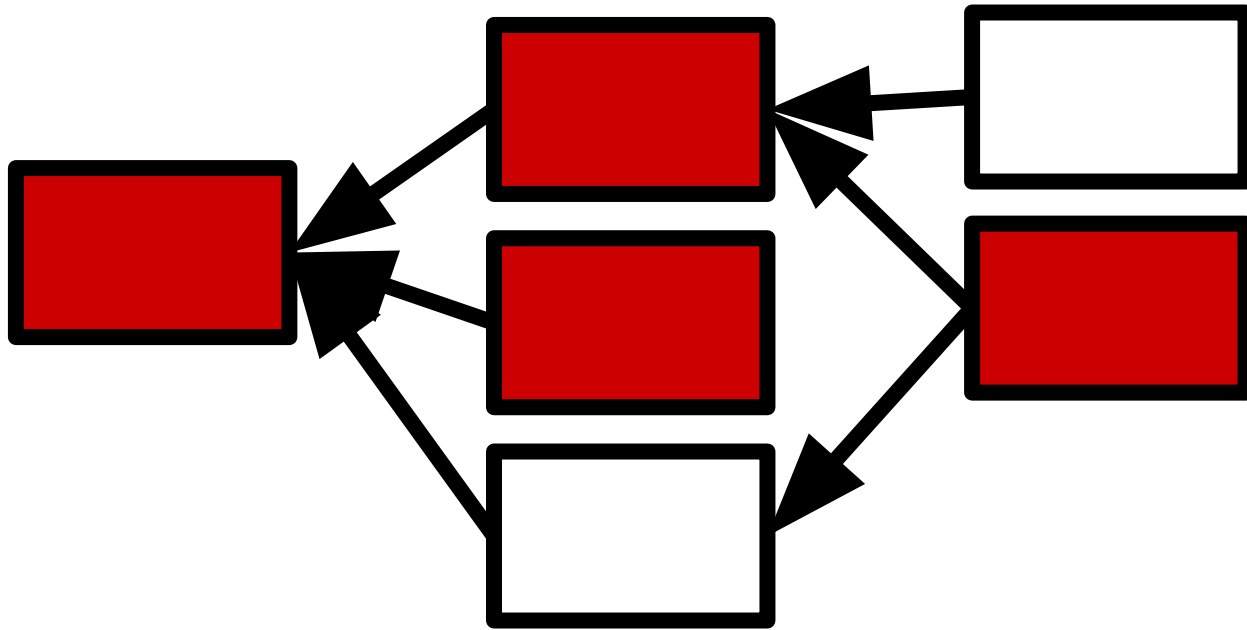
# Software Ecosystem



# Breaking Changes



# Breaking Changes



# Breaking Changes



# Breaking changes can be hard to avoid

Need better planning? (Parnas' argument)

Requirements and context change

Bugs and security vulnerabilities

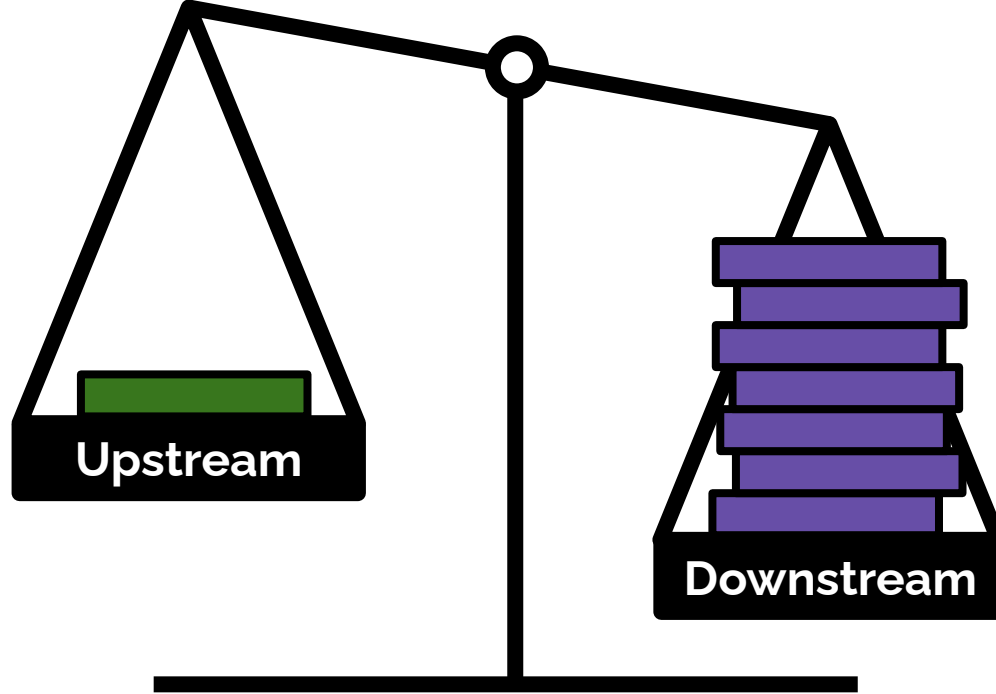
Inefficiencies

Rippling effects from upstream changes

Technical debt, style

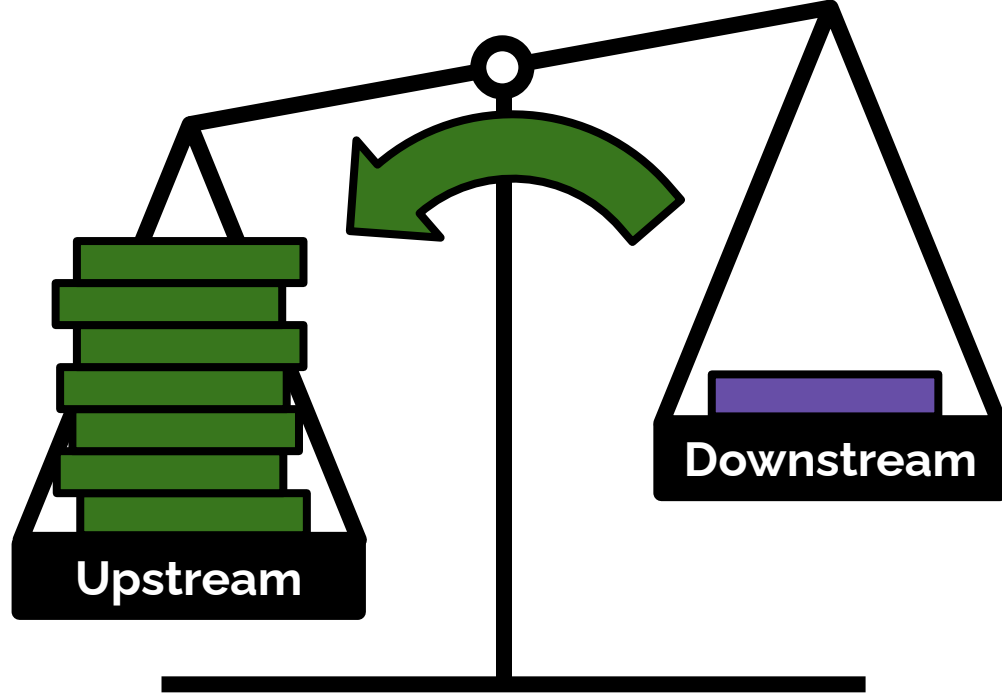
# Breaking changes cause costs

But cost can be paid by different participants and can be delayed

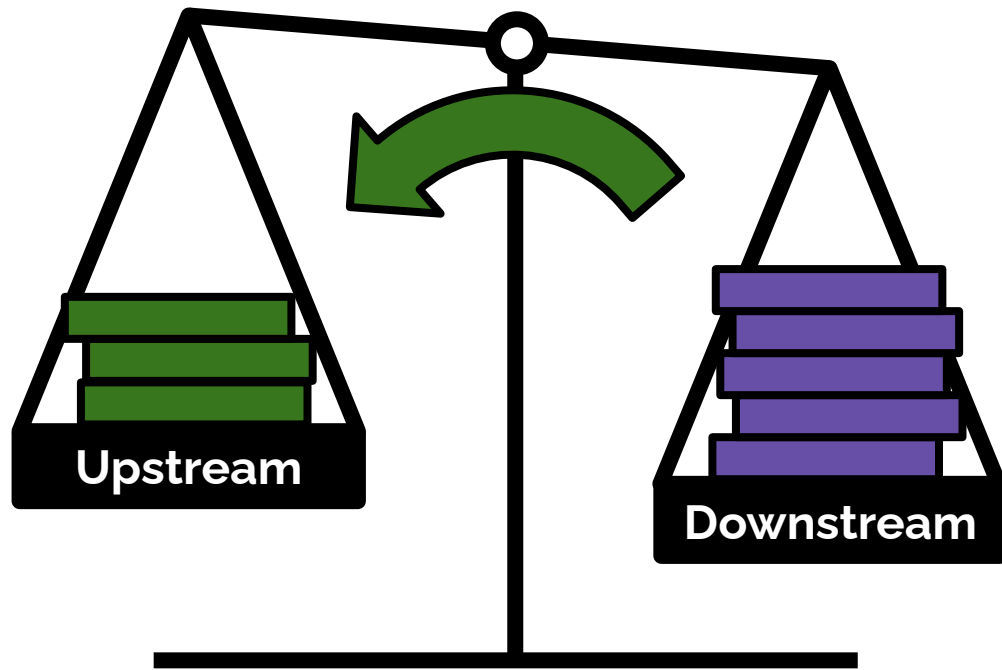


By default, rework and interruption costs for downstream users

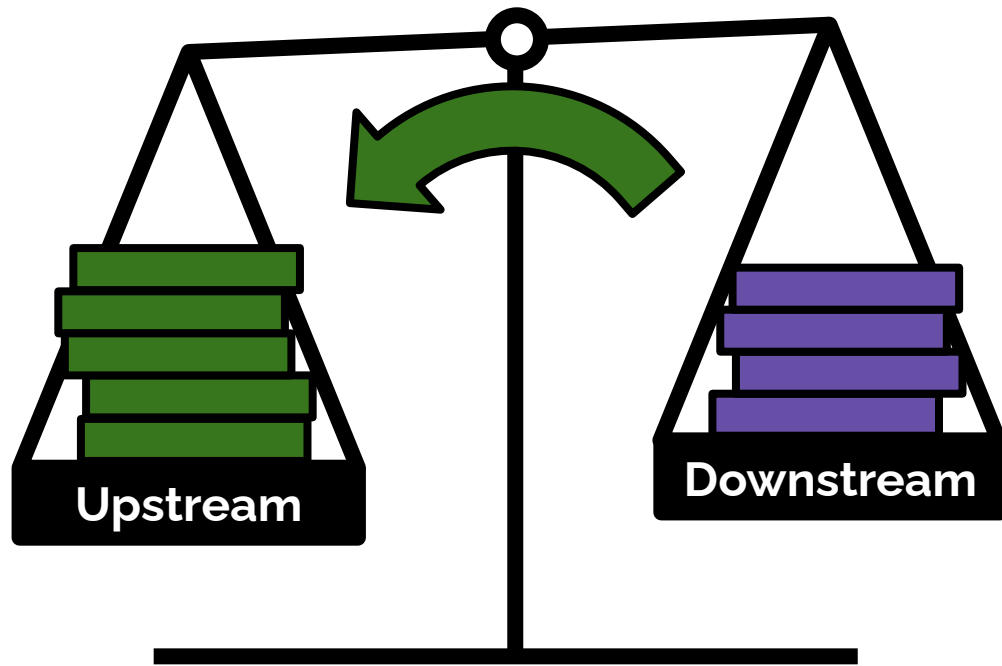
# How to reduce costs for downstream users?



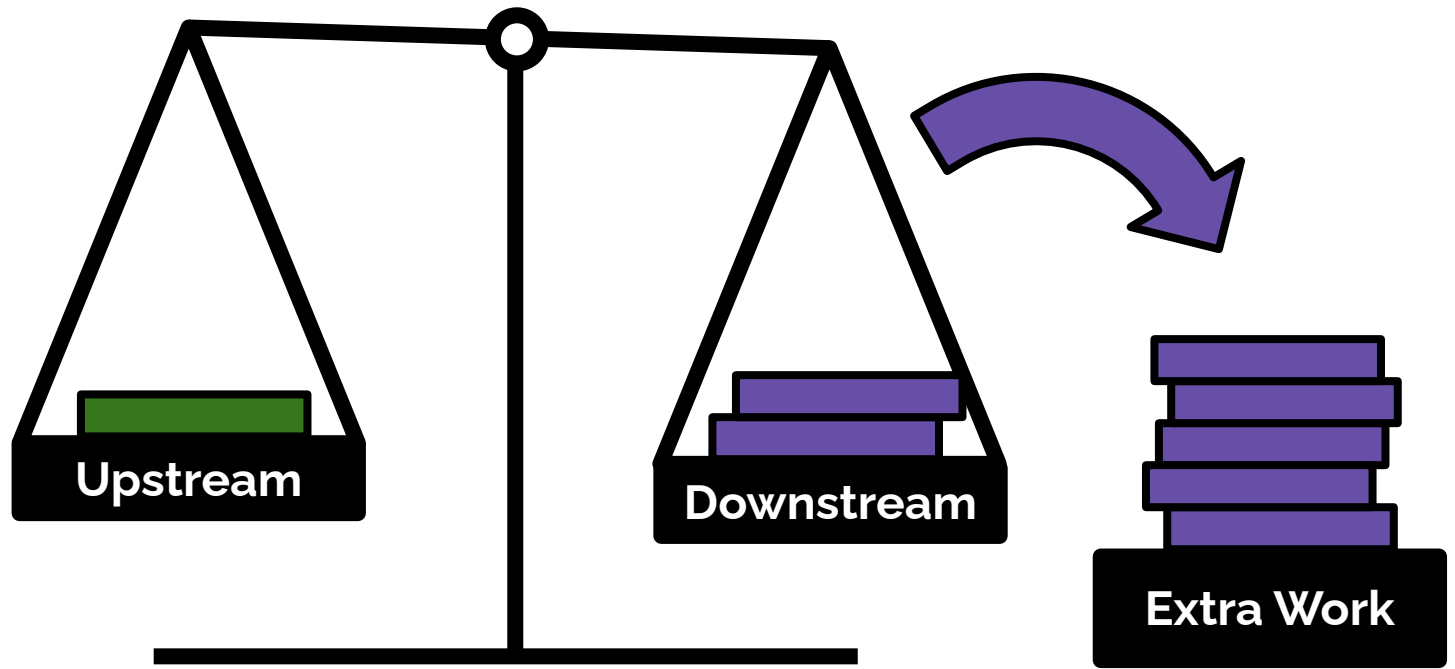
Not making a change  
(opportunity costs, technical debt)



Announcements  
Documentation  
Migration guide

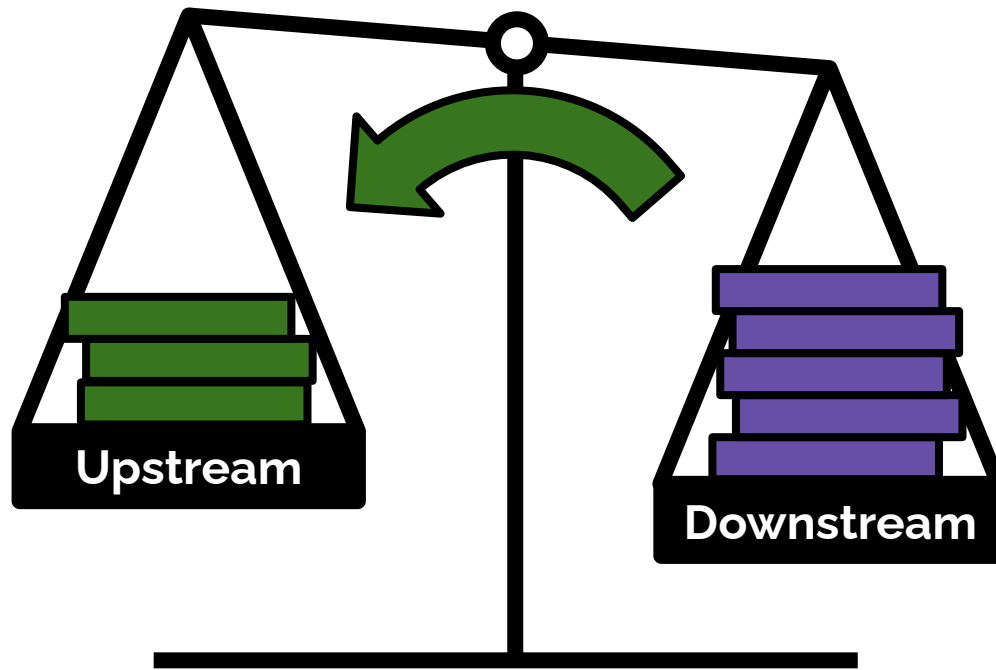


Parallel maintenance releases  
Maintaining old interfaces (deprecation)  
Release planning



Avoiding dependencies  
Encapsulating from change





Influence development

# Semantic Versioning

# Semantic Versioning

Given a version number MAJOR.MINOR.PATCH, increment the:

1. MAJOR version when you make incompatible API changes,
2. MINOR version when you add functionality in a backwards compatible manner, and
3. PATCH version when you make backwards compatible bug fixes.

<b>Code status</b>	<b>Stage</b>	<b>Rule</b>	<b>Example version</b>
First release	New product	Start with 1.0.0	1.0.0
Backward compatible bug fixes	Patch release	Increment the third digit	1.0.1
Backward compatible new features	Minor release	Increment the middle digit and reset last digit to zero	1.1.0
Changes that break backward compatibility	Major release	Increment the first digit and reset middle and last digits to zero	2.0.0

# Cost distributions and practices are community dependent

A stylized sun with a dark blue center and a purple-to-white gradient outer ring. Several blue horizontal lines pass through the center, and thin white lines radiate from the sun's edge. The word "eclipse" is written in a white, sans-serif font across the center of the sun.

# eclipse



Backward compatibility to  
reduce costs for **clients**

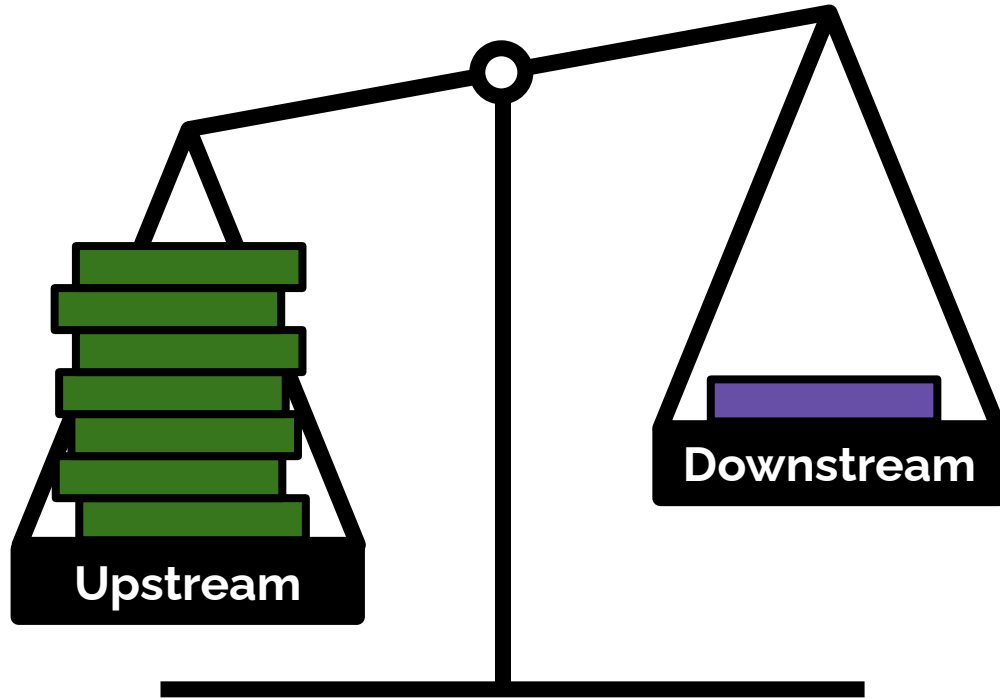
*“API Prime Directive: When  
evolving the Component API  
from to release to release, do  
not break existing Clients”*

[https://wiki.eclipse.org/Evolving\\_Java-based\\_APIs](https://wiki.eclipse.org/Evolving_Java-based_APIs)

# Values



Backward  
compatibility  
for clients

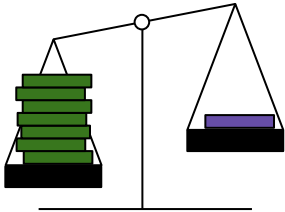


Yearly synchronized  
coordinated releases





Backward  
compatibility  
for clients



Willing to accept high costs +  
opportunity costs

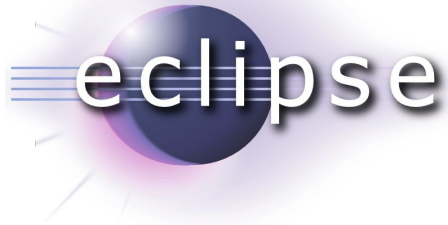
Educational material, workarounds

API tools for checking

Coordinated release planning

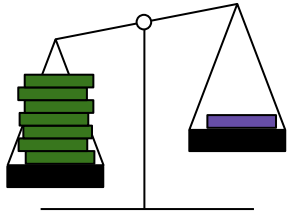
No parallel releases

# Upstream



Convenient to use as resource  
Yearly updates sufficient for many  
Stability for corporate users

Backward  
compatibility  
for clients



# Downstream



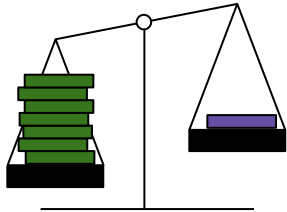
Perceived stagnant development  
and political decision making

Stale platform; discouraging  
contributors

Coordinated releases as pain points

SemVer prescribed but not followed

Backward  
compatibility  
for clients



# Friction

“Typically, if you have hip things, then you get also people who create new APIs on top ... to create the next graphical editing framework or to build more efficient text editors. ... And these things don't happen on the Eclipse platform anymore.”





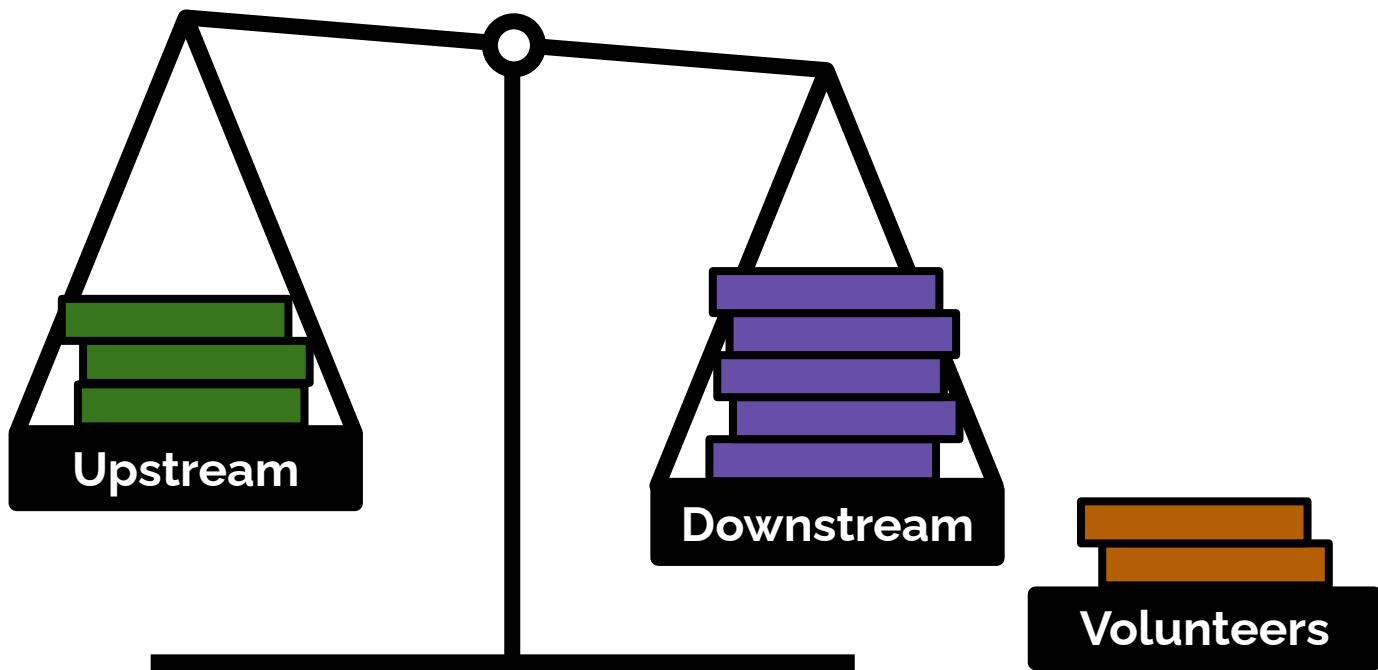
Ease for **end users** to install and update packages

*“CRAN primarily has the academic users in mind, who want timely access to current research”* [R10]

# Values



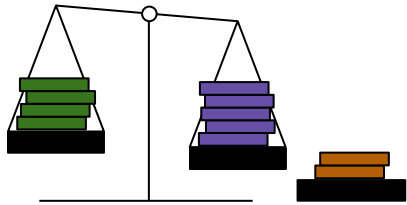
Timely access to  
current research  
for end users



Continuous synchronization,  
~1 month lag



Timely access to  
current research  
for end users



Snapshot consistency within the  
ecosystem (not outside)

Reach out to affected downstream  
developers: resolve before release

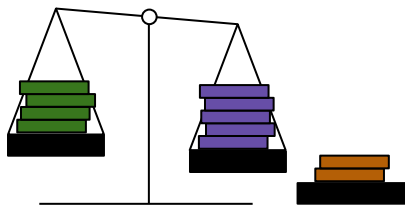
Gatekeeping: reviews and  
automated checking against  
downstream tests

# Upstream





Timely access to  
current research  
for end users



Waiting for emails, reactive monitoring  
Urgency when upstream package  
updates

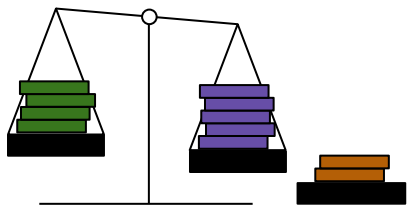
Dependency = collaboration

Aggressive reduction of dependencies,  
code cloning

# Downstream



Timely access to  
current research  
for end users



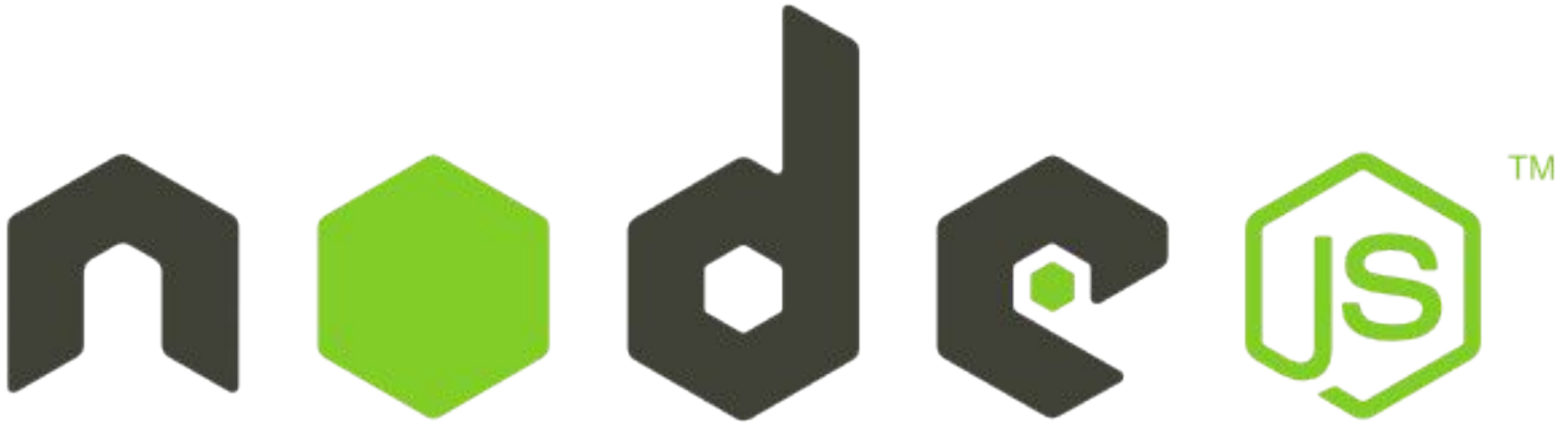
Urgency and reacting to updates as  
burden vs. welcoming collaboration

Gatekeeping works because of  
prestige of being in repository

Updates can threaten scientific  
reproducibility

# Friction

“And then I need to [react to] some change ... and it might be a relatively short timeline of two weeks or a month. And that's difficult for me to deal with, because I try to sort of focus one project for a couple weeks at a time so I can remain productive.”





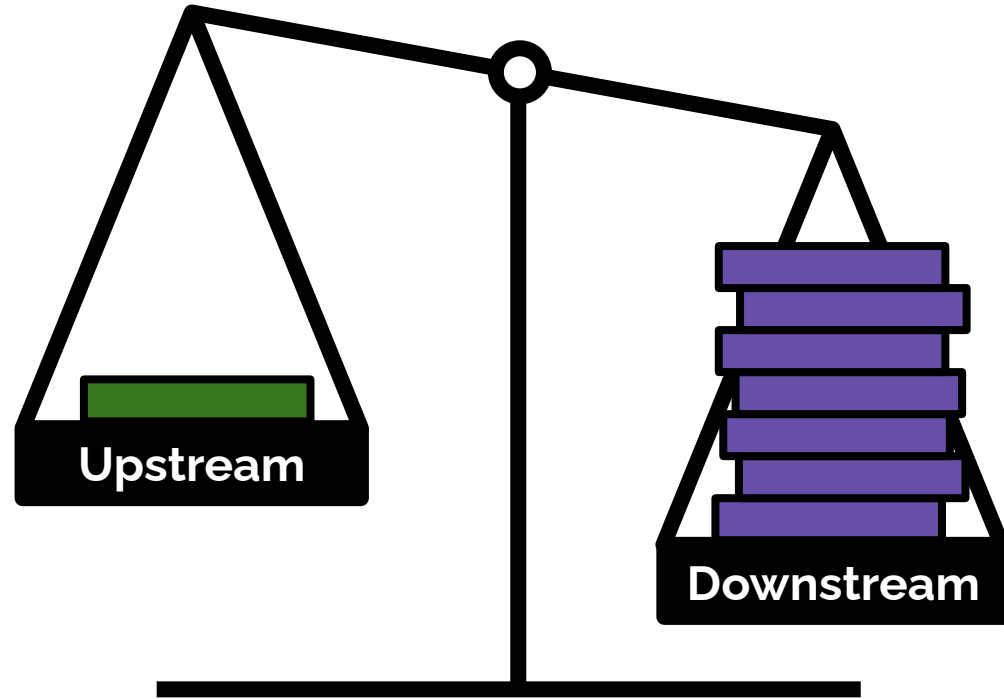
Easy and fast for **developers** to publish and use packages

Open to rapid change,  
no gate keeping,  
experimenting with APIs until  
they are right

# Values



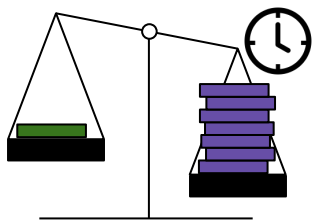
Easy and fast to  
publish and use  
for developers



Decoupled pace, update  
at user's discretion



Easy and fast to  
publish and use  
for developers



Breaking changes easy

More common to remove technical  
debt, fix APIs

Signaling intention with SemVer

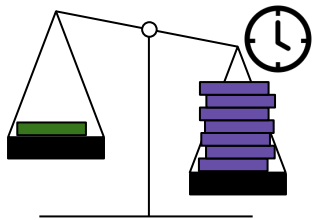
No central release planning

Parallel releases more common

# Upstream



Easy and fast to  
publish and use  
for developers



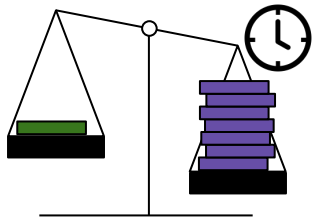
Technology supports using old +  
mixed revisions; decouples  
upstream and downstream pace  
Choice to stay up to date  
Monitoring with social mechanisms  
and tools (e.g., greenkeeper)

# Downstream





Easy and fast to  
publish and use  
for developers



Rapid change requires constant  
maintenance

Emphasis on tools and community,  
often grassroots

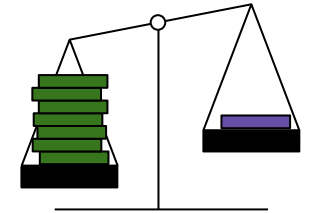
# Friction

“Last week’s tutorial is out of date today.”

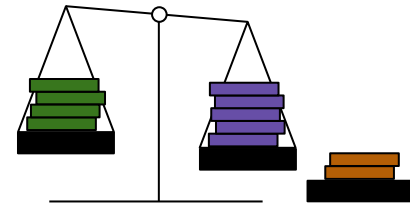
# Contrast



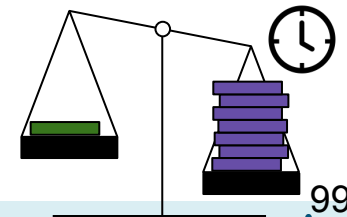
Backward compatibility  
for clients



Timely access to current  
research for end users



Easy and fast to publish/use  
for developers



# How to Break an API?

**In Eclipse, you don't.**

**In CRAN, you reach out to affected downstream developers.**

**In Node.js, you increase the major version number.**

# Lecture summary

- APIs took off in the past thirty years, and gave us super-powers
- Good APIs are a blessing; bad ones, a curse
- API Design is hard
- Following an API design process greatly improves API quality
- Most good principles for good design apply to APIs
  - Don't adhere to them slavishly, but...
  - Don't violate them without good reason