

# Principles of Software Construction: Objects, Design, and Concurrency

## Organizing Systems at Scale: Modules, Services, Architectures

Claire Le Goues

Vincent Hellendoorn



# Administrative

Exam Thursday.

HW6 release.

# Where we are

	<i>Small scale:</i> One/few objects	<i>Mid scale:</i> Many objects	<i>Large scale:</i> Subsystems
<i>Design for</i>	Subtype	Domain Analysis ✓	GUI vs Core ✓
understanding	Polymorphism ✓	Inheritance & Del. ✓	Frameworks and Libraries ✓, <b>APIs</b> ✓
change/ext.	Information Hiding, Contracts ✓	Responsibility Assignment, Design Patterns, Antipattern ✓	<b>Module systems, microservices</b>
reuse	Immutability ✓	Promises/ Reactive P. ✓	Testing for Robustness ✓
robustness	Types	Integration Testing ✓	CI ✓, DevOps, Teams
...	Unit Testing ✓		

# Breaking Changes

# Backward Compatible Changes

Can add new interfaces, classes

Can add methods to APIs,  
but cannot change interface implemented by clients

Can loosen precondition and tighten postcondition,  
but no other contract changes

Cannot remove classes, interfaces, methods

Clients may rely on undocumented behavior and  
even bugs



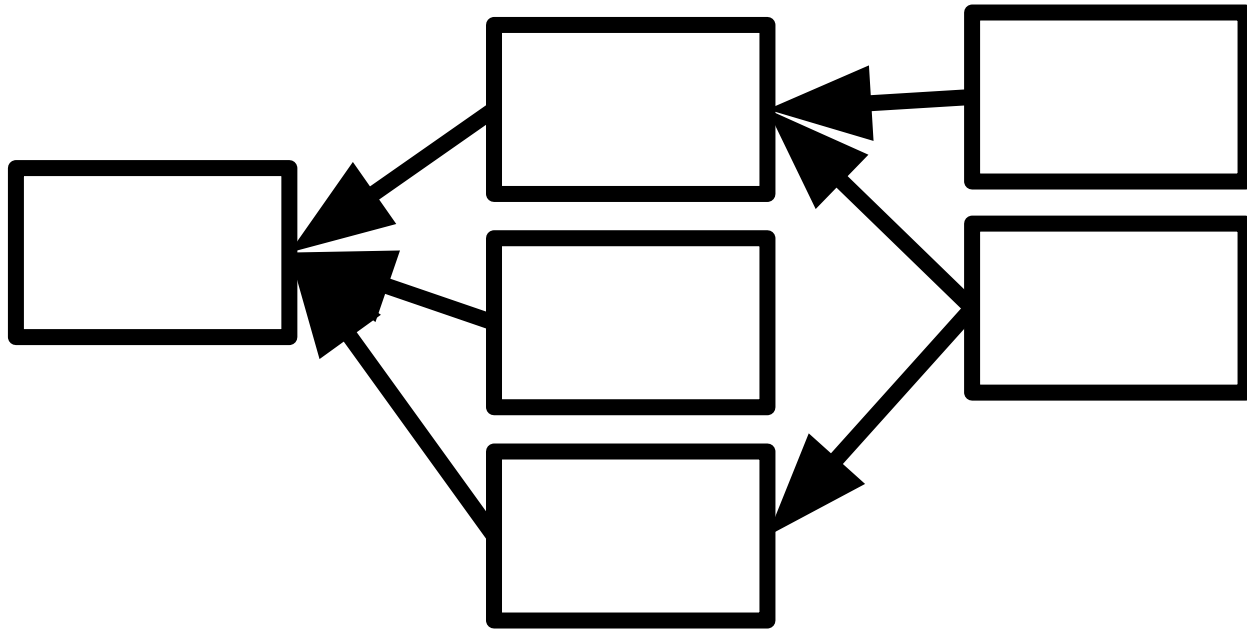
# Breaking Changes

Not backward compatible (e.g., renaming/removing method)

Clients may need to change their implementation when they update

or even migrate to other library

May cause costs for rework and interruption, may ripple through ecosystem



# Software Ecosystem

# Breaking changes can be hard to avoid

Need better planning? (Parnas' argument)

Requirements and context change

Bugs and security vulnerabilities

Inefficiencies

Rippling effects from upstream changes

Technical debt, style



# Semantic Versioning

Given a version number MAJOR.MINOR.PATCH, increment the:

1. MAJOR version when you make incompatible API changes,
2. MINOR version when you add functionality in a backwards compatible manner, and
3. PATCH version when you make backwards compatible bug fixes.

<b>Code status</b>	<b>Stage</b>	<b>Rule</b>	<b>Example version</b>
First release	New product	Start with 1.0.0	1.0.0
Backward compatible bug fixes	Patch release	Increment the third digit	1.0.1
Backward compatible new features	Minor release	Increment the middle digit and reset last digit to zero	1.1.0
Changes that break backward compatibility	Major release	Increment the first digit and reset middle and last digits to zero	2.0.0

# Cost distributions and practices are community dependent

A stylized sun with a dark blue center and a purple-to-white gradient. The word "eclipse" is written in white, bold, sans-serif font across the center. Three horizontal blue lines pass through the text. The sun has several thin, light blue rays extending outwards.

# eclipse



Backward compatibility to  
reduce costs for **clients**

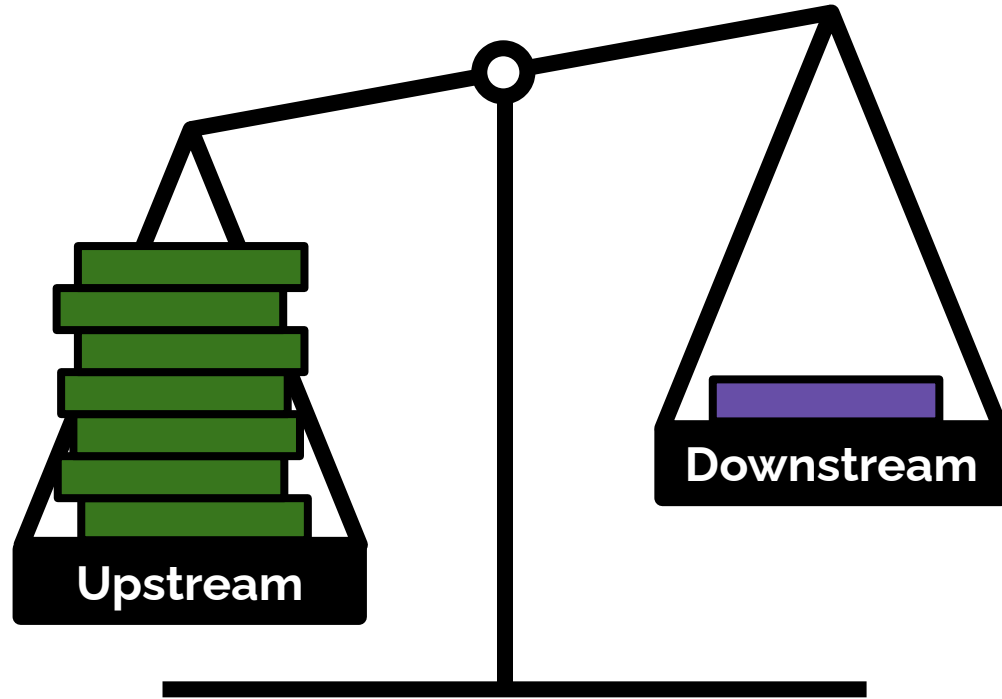
*“API Prime Directive: When  
evolving the Component API  
from to release to release, do  
not break existing Clients”*

[https://wiki.eclipse.org/Evolving\\_Java-based\\_APIs](https://wiki.eclipse.org/Evolving_Java-based_APIs)

# Values



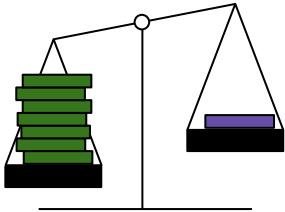
Backward  
compatibility  
for clients



Yearly synchronized  
coordinated releases



Backward  
compatibility  
for clients



Willing to accept high costs +  
opportunity costs

Educational material, workarounds

API tools for checking

Coordinated release planning

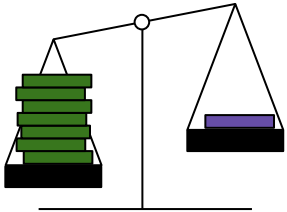
No parallel releases

# Upstream



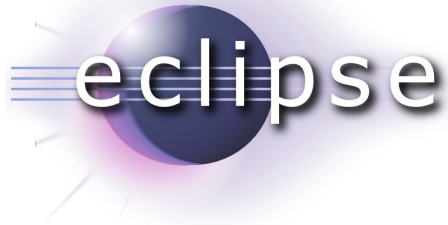
Convenient to use as resource  
Yearly updates sufficient for many  
Stability for corporate users

Backward  
compatibility  
for clients

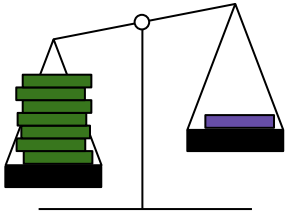


# Downstream





Backward  
compatibility  
for clients



Perceived stagnant development  
and political decision making

Stale platform; discouraging  
contributors

Coordinated releases as pain points

SemVer prescribed but not followed

# Friction

“Typically, if you have hip things, then you get also people who create new APIs on top ... to create the next graphical editing framework or to build more efficient text editors. ... And these things don't happen on the Eclipse platform anymore.”





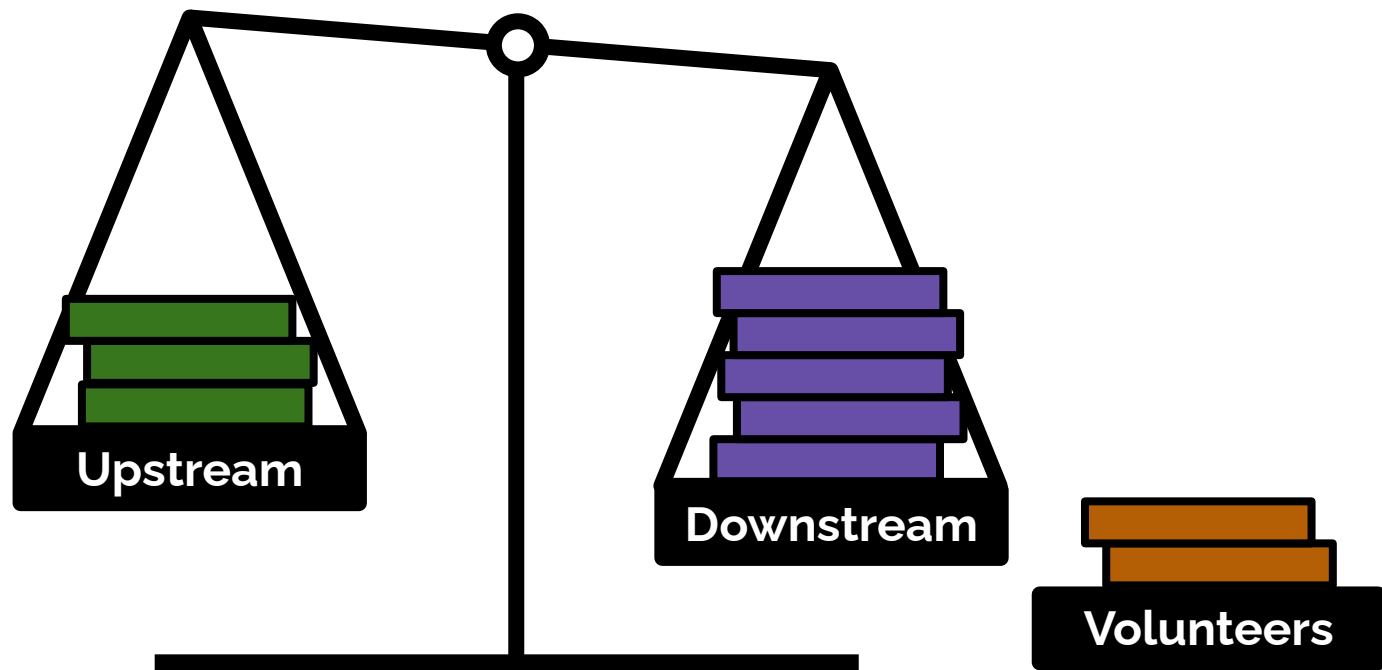
Ease for **end users** to install and update packages

*“CRAN primarily has the academic users in mind, who want timely access to current research”* [R10]

# Values



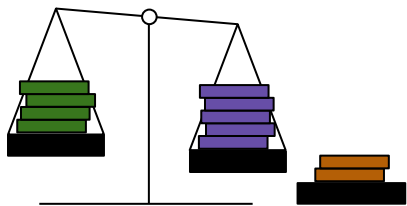
Timely access to current research for end users



Continuous synchronization,  
~1 month lag



Timely access to current research for end users



Snapshot consistency within the ecosystem (not outside)

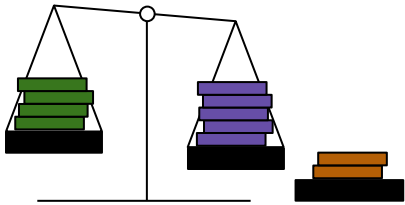
Reach out to affected downstream developers: resolve before release

Gatekeeping: reviews and automated checking against downstream tests

# Upstream



Timely access to  
current research  
for end users



Waiting for emails, reactive monitoring  
Urgency when upstream package  
updates

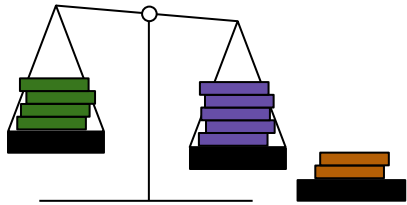
Dependency = collaboration

Aggressive reduction of dependencies,  
code cloning

# Downstream



Timely access to  
current research  
for end users



Urgency and reacting to updates as  
burden vs. welcoming collaboration

Gatekeeping works because of  
prestige of being in repository

Updates can threaten scientific  
reproducibility

# Friction



“And then I need to [react to] some change ... and it might be a relatively short timeline of two weeks or a month. And that's difficult for me to deal with, because I try to sort of focus one project for a couple weeks at a time so I can remain productive.”





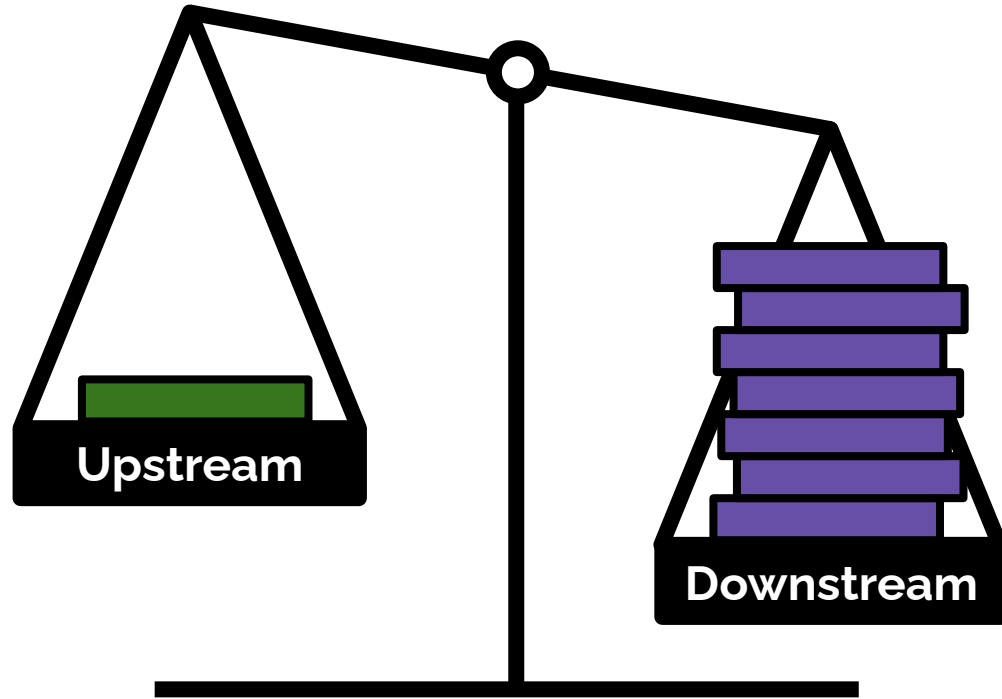
Easy and fast for **developers** to publish and use packages

Open to rapid change,  
no gate keeping,  
experimenting with APIs until  
they are right

# Values



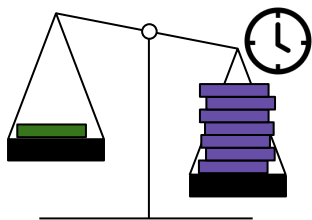
Easy and fast to  
publish and use  
for developers



Decoupled pace, update  
at user's discretion



Easy and fast to  
publish and use  
for developers



Breaking changes easy

More common to remove technical  
debt, fix APIs

Signaling intention with SemVer

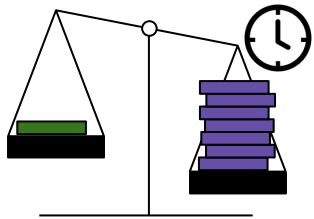
No central release planning

Parallel releases more common

# Upstream



Easy and fast to  
publish and use  
for developers

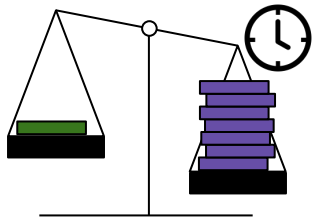


Technology supports using old +  
mixed revisions; decouples  
upstream and downstream pace  
Choice to stay up to date  
Monitoring with social mechanisms  
and tools (e.g., greenkeeper)

# Downstream



Easy and fast to  
publish and use  
for developers



Rapid change requires constant  
maintenance

Emphasis on tools and community,  
often grassroots

# Friction

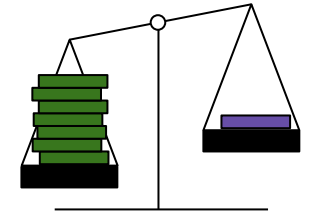
“Last week’s tutorial is out of date today.”



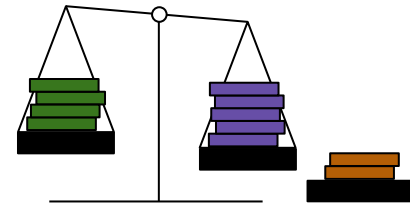
# Contrast



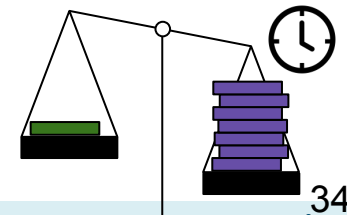
Backward compatibility  
for clients



Timely access to current  
research for end users



Easy and fast to publish/use  
for developers



# How to Break an API?

**In Eclipse, you don't.**

**In CRAN, you reach out to affected downstream developers.**

**In Node.js, you increase the major version number.**

# Traditional Library Reuse

Static/dynamic linking against binaries (e.g., .DLLs)

Copy library code into repository

Limitations?

# Package Managers

Refer to library releases by name + version

Immutable storage in repository

Dependency specification in repository

Package manager downloads / updates dependencies

Maven, npm, pip, cargo, nuget, ...

Release libraries to package repository

# Module Systems

Foundation for distributing and reusing libraries

Packaging code (binary / source)

Linking against code in a module without knowing internals

# Java: Packages and Jar Files

Packages structure name space, avoid naming collisions (edu.cmu.cs17214...)

Public classes are globally visible

- package visibility to hide within package
- no way to express visibility to select packages

.jar files bundle code (zip format internally)

- Java can load classes from all .jar files in classpath
- Java does not care where a class comes from, loads first that matches name

Classpath established at JVM launch

# Packages enough?

`edu.cmu.cs214.santorini`

`edu.cmu.cs214.santorini.gui`

`edu.cmu.cs214.santorini.godcards`

`edu.cmu.cs214.santorini.godcards.impl`

`edu.cmu.cs214.santorini.logic`

`edu.cmu.cs214.santorini.utils`

# Toward Module Systems

Stronger encapsulation sometimes desired

Expose only select public packages (and all public classes therein) to other modules

Dynamic adding and removal of modules desired

OSGi (most prominently used by Eclipse)

- Bundle Java code with Manifest
- Framework handles loading with multiple classloaders

```
Bundle-Name: Hello World
Bundle-SymbolicName: org.wikipedia.helloworld
Bundle-Description: A Hello World bundle
Bundle-ManifestVersion: 2
Bundle-Version: 1.0.0
Bundle-Activator: org.wikipedia.Activator
Export-Package:
org.wikipedia.helloworld;version="1.0.0"
Import-Package:
org.osgi.framework;version="1.3.0"
```



# Java Platform Module System

Since Java 9 (2017); built-in alternative to OSGi

Modularized JDK libraries itself

Several technical differences to OSGi (e.g., visibility vs access protection, handling of diamond problem)

```
module A {  
    exports org.example.foo;  
    exports org.example.bar;  
}  
module B {  
    require A;  
}
```

# Toward JavaScript Modules

Traditionally no module concept, import into flat namespace

Creating own namespaces with closures/module pattern

```
<html>
<header>
<script type="text/javascript" src="lib1.js"></script>
<script type="text/javascript">
  var x = 1;
</script>
<script type="text/javascript" src="lib2.js"></script>
```

# The Module Pattern

```
<html>
<header>
<script type="text/javascript" src="lib1.js"></script>
<script type="text/javascript">
  const m1 = (function () {
    const export = {}
    const x = 1;
    export.x = x;
    return export;
 })();
</script>
<script type="text/javascript" src="lib2.js"></script>
...
```

# Node.js Modules (CommonJS)

Function `require()` to load other module, dynamic lookup in search path

Module: JavaScript file, can write to export object

```
var http = require('http');

exports.loadData = function () {
  return http....
};
```

```
var surprise = require(userInput);
```

# Node uses Module Pattern Internally

```
function loadModule(filename, module, require) {  
  var wrappedSrc =  
    '(function(module, exports, require) {' +  
      fs.readFileSync(filename, 'utf8') +  
      '})(module, module.exports, require);'  
  eval(wrappedSrc);  
}
```

# ES2015 Modules

Syntax extension for modules (instead of module pattern)

Explicit imports / exports

Static import names (like Java), supports better reasoning by tools

```
import { Location } from './location'  
import { Game } from './game'  
import { Board } from './board'  
// module code  
export { Worker, newWorker }
```

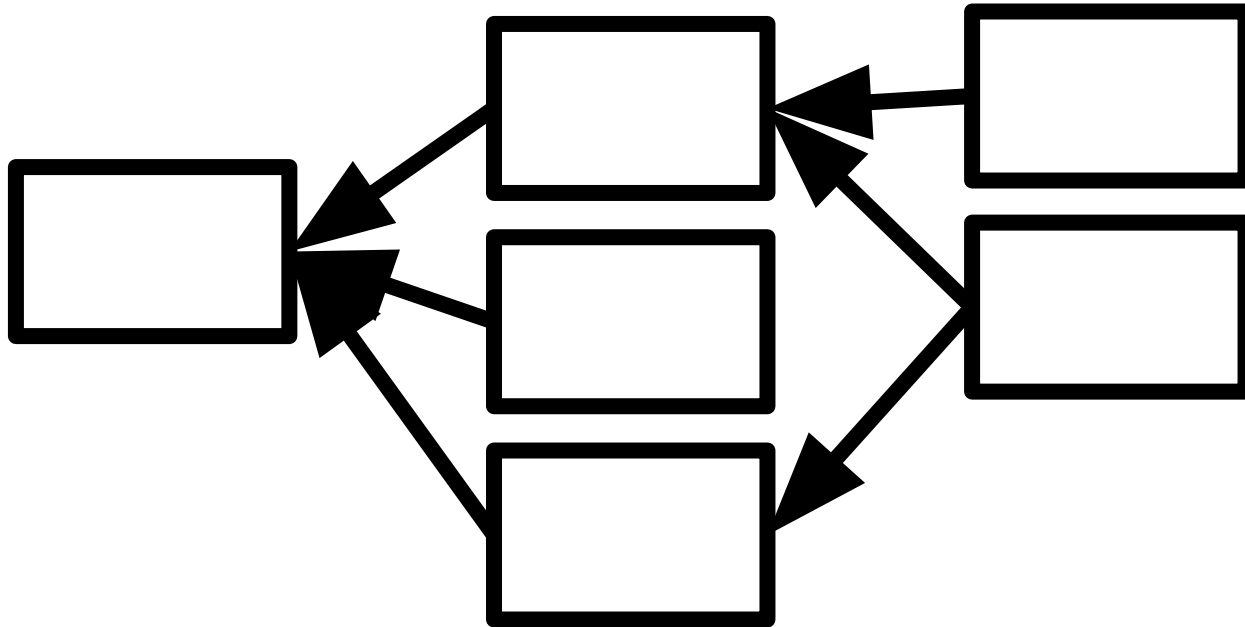
# JavaScript Modules and Packages

Modules always decide what to export (values, functions, classes, ...) -- everything else only visible in module

Directory structure only used for address in import

Packages typically have one or more modules  
and a name and version

# Dependency Graphs

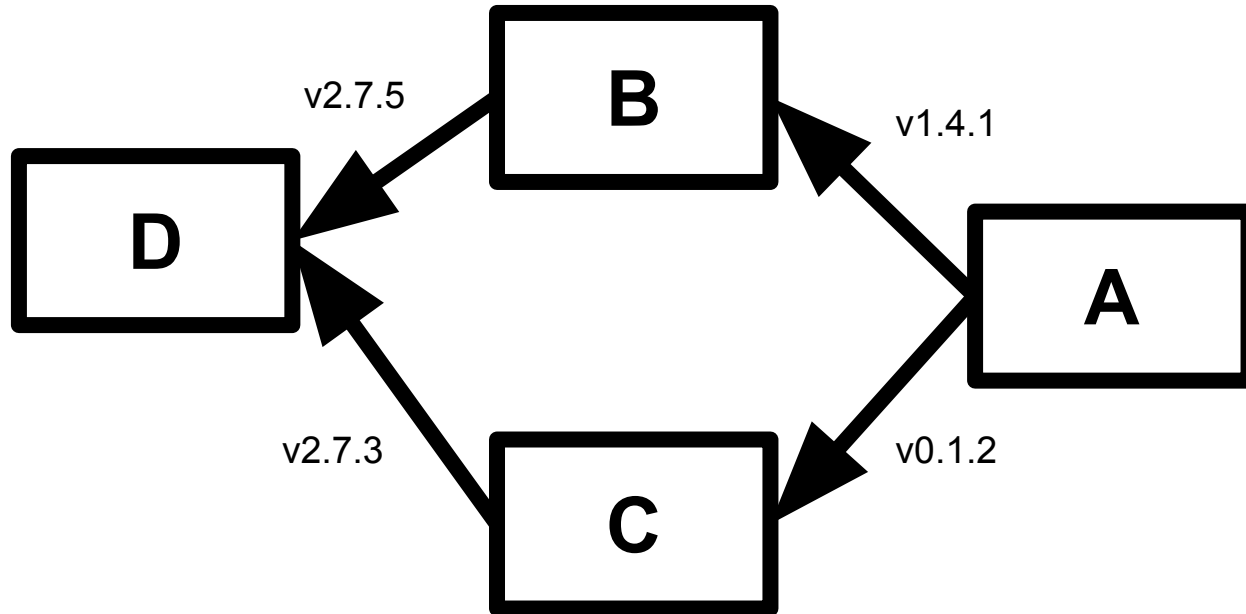


Acyclic

Versioned dependency edges



# The Diamond Problem



What now?

# Summary: Modules

Encapsulation at Scale

Decide which of many classes or packages to expose

Building a dependency graph between modules

# Cost of Dependencies

# Recall: Ever looked at NPM Install's output?

```
added 2110 packages from 770 contributors and audited 2113 packages in 141.9
```

```
158 packages are looking for funding
```

```
run `npm fund` for details
```

```
found 27 vulnerabilities (8 moderate, 18 high, 1 critical)
```

```
run `npm audit fix` to fix them, or `npm audit` for details
```

# Recall: Ever looked at NPM Install's output?

```
npm WARN deprecated babel-eslint@10.1.0: babel-eslint is now @babel/eslint-parser. This package will no longer receive updates.
npm WARN deprecated chokidar@2.1.8: Chokidar 2 will break on node v14+. Upgrade to chokidar 3 with 15x less dependencies.
npm WARN deprecated svgo@1.3.2: This SVGO version is no longer supported. Upgrade to v2.x.x.
npm WARN deprecated querystring@0.2.1: The querystring API is considered Legacy. new code should use the URLSearchParams API instead.
npm WARN deprecated @hapi/joi@15.1.1: Switch to 'npm install joi'
npm WARN deprecated rollup-plugin-babel@4.4.0: This package has been deprecated and is no longer maintained. Please use @rollup/plugin-babel.
npm WARN deprecated fsevents@1.2.13: fsevents 1 will break on node v14+ and could be using insecure binaries. Upgrade to fsevents 2.
npm WARN deprecated uuid@3.4.0: Please upgrade to version 7 or higher. Older versions may use Math.random() in certain circumstances, which is known to be problematic. See https://v8.dev/blog/math-random for details.
npm WARN deprecated querystring@0.2.0: The querystring API is considered Legacy. new code should use the URLSearchParams API instead.
npm WARN deprecated sane@4.1.0: some dependency vulnerabilities fixed, support for node < 10 dropped, and newer ECMAScript syntax/features added
npm WARN deprecated flatten@1.0.3: flatten is deprecated in favor of utility frameworks such as lodash.
npm WARN deprecated urix@0.1.0: Please see https://github.com/lydell/urix#deprecated
npm WARN deprecated @hapi/bourne@1.3.2: This version has been deprecated and is no longer supported or maintained
```

# Monitoring for Vulnerabilities

Dependency manager helps knowing what dependencies are used (“bill of materials”)

Various tools scan for known vulnerabilities -- use them

Have a process

Many false positive alerts, not exploitable

# **EQUIFAX**

Recommended reading:

<https://republicans-oversight.house.gov/wp-content/uploads/2018/12/Equifax-Report.pdf>

# Supply Chain Attacks more common

Intentionally injecting attacks in packages

- Typosquatting: expres
- Malicious updates: us-parser-js

Review all packages? All updates?

Sandbox applications? Sandbox packages?



# Using a Dead Dependency?

No more support?

No fixes to bugs and vulnerabilities?

What now?

# Open Source Health and Sustainability

Predict which packages will be maintained next year?

Indicators?

Motivation of maintainers?

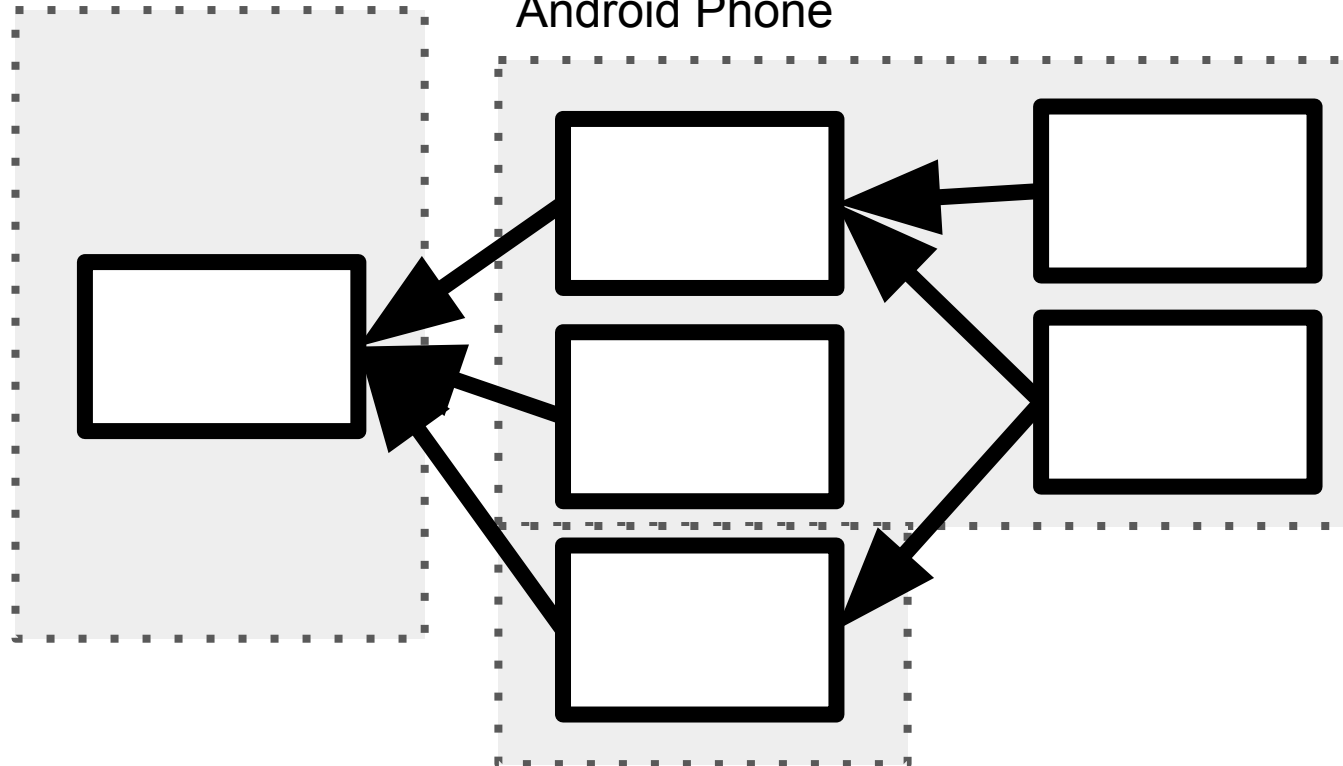
Who funds open source?

Commercial dependencies? Commercial support?

# Distributed Modules

Database Server

Android Phone



Credit card server

# Distributed Systems

Remote procedure calls instead of function calls

Typically REST API to URL

Benefits? Drawbacks?

# REST APIs

# REST (or RESTful – representational state transfer) API

API of a web service “that conforms to the constraints of the REST architectural style.”

Uniform interface over HTTP requests

- Send parameters to URL, receive data  
(JSON, XML common)

- Stateless: Each request is self-contained

Language independent, distributed

# REST API Design

All the same design principles apply

Document the API, input/output formats and error conditions!



# CRUD Operations

Path correspond to nouns, not verbs, nesting common:

- `/articles`, `/state`, `/game`  
`/articles/:id/comments`

GET (receive), POST (submit new), PUT (update), and DELETE requests sent to those paths

Parameters for filtering, searching, sorting, e.g., `/articles?sort=date`

```
const express = require('express');
const bodyParser = require('body-parser');
const app = express();
app.use(bodyParser.json()); // JSON input
app.get('/articles', (req, res) => {
  const articles = [];
  // code to retrieve an article...
  res.json(articles);
});
app.post('/articles', (req, res) => {
  // code to add a new article...
  res.json(req.body);
});
app.put('/articles/:id', (req, res) => {
  const { id } = req.params;
  // code to update an article...
  res.json(req.body);
});
app.delete('/articles/:id', (req, res) => {
  const { id } = req.params;
  // code to delete an article...
  res.json({ deleted: id });
});
app.listen(3000, () => console.log('server started'));
```

# REST Specifics

- JSON common for data exchange: Define and validate schema -- many libraries help
- Return HTTP standard errors (400, 401, 403, 500, ...)
- Security mechanism through SSL/TLS and other common practices
- Caching common
- Consider versioning APIs `/v1/articles`, `/v2/articles`

# Excursion: Testing in Distributed Systems

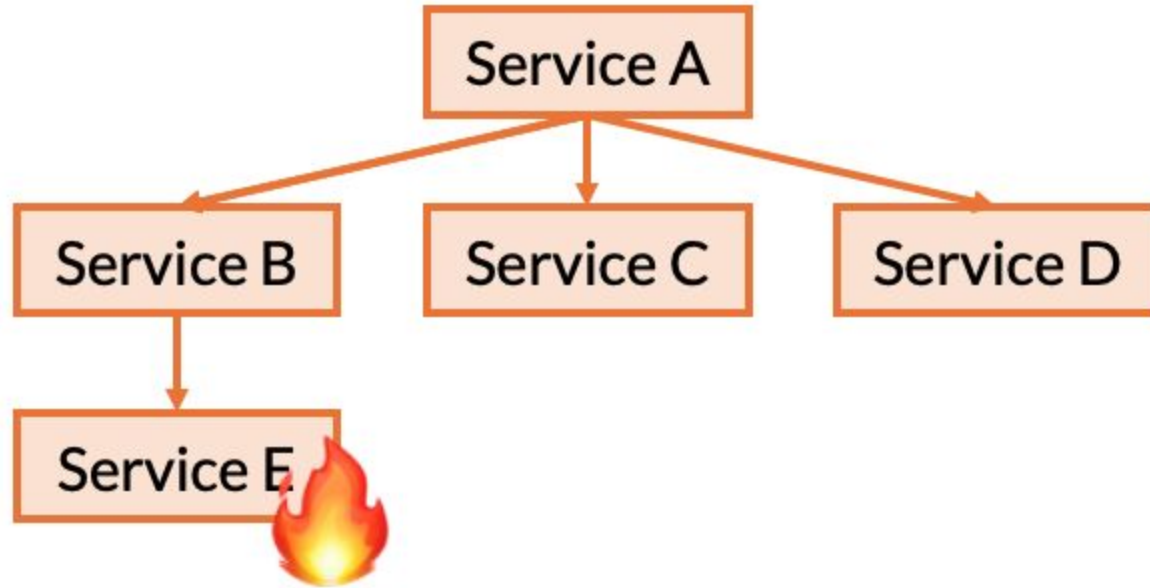
# REST API Calls and Testing

Test happy path

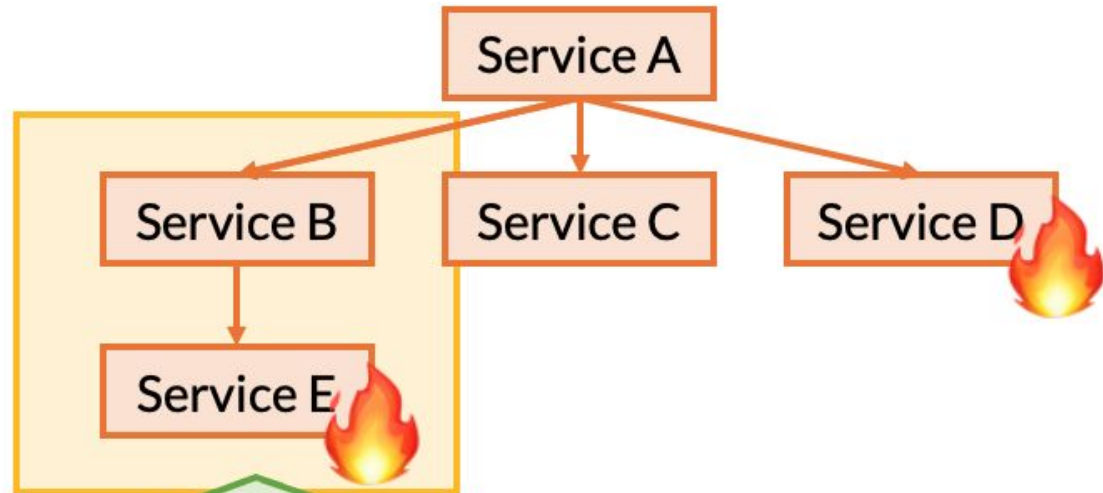
Test also error behavior!

- Correct timeout handling? Correct retry when connection down?
- Invalid response detected?
- Graceful degradation?

Need to understand possible error behavior first



# Handle Errors Locally



**Service encapsulation** hides failure Service E behind Service B such that it is not observable by Service A. (execution either the same as Service B, C success and D failure combo or Service C success and B and D failure combo, depending on B.)

# How to test?

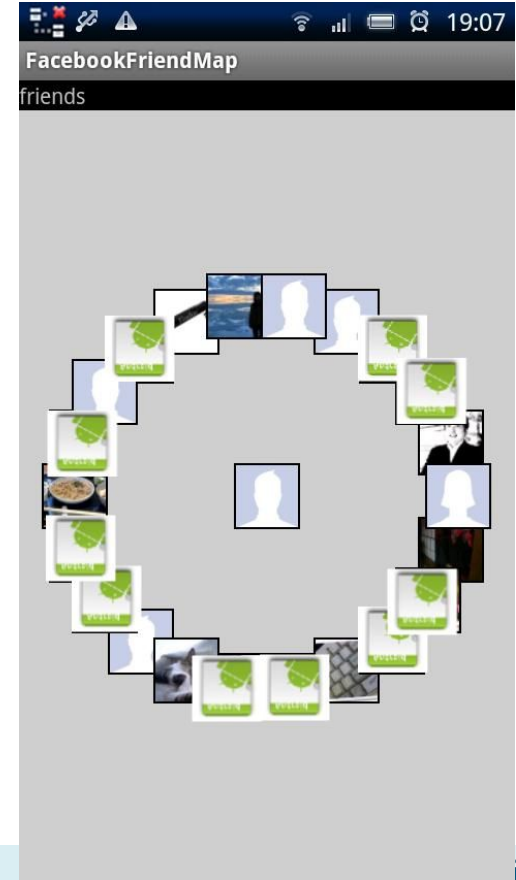
1. The network is reliable.
2. Latency is zero.
3. Bandwidth is infinite.
4. The network is secure.
5. Topology doesn't change.
6. There is one administrator.
7. Transport cost is zero.
8. The network is homogeneous.

# Return of the Test Doubles!



# Recall: Facebook Example

- 3rd party Facebook apps
- Android user interface
- Backend uses Facebook data



# Testing in real environments



```
void buttonClicked() {  
    render(getFriends());  
}  
List<Friend> getFriends() {  
    Connection c = http.getConnection();  
    FacebookAPI api = new FacebookAPI(c);  
    List<Node> persons = api.getFriends("john");  
    for (Node person1 : persons) {  
        ...  
    }  
    return result;  
}
```

# Eliminating Android dependency



```
@Test void testGetFriends() {
    assert getFriends() == ...;
}
List<Friend> getFriends() {
    Connection c = http.getConnection();
    FacebookAPI api = new FacebookAPI(c);
    List<Node> persons = api.getFriends("john");
    for (Node person1 : persons) {
        ...
    }
    return result;
}
17-2: }
```

# Eliminating the Remote Service Dependency



```
@Test void testGetFriends() {
    assert getFriends() == ...;
}

List<Friend> getFriends() {
    Connection c = http.getConnection();
    FacebookAPI api = new FacebookAPI(c);
    List<Node> persons = api.getFriends("john");
    for (Node person1 : persons) {
        ...
    }
    return result;
}
17-2: }
```

Replace by Double

# Introducing a Double (Stub)



```
@Test void testGetFriends() {  
    assert getFriends() == ...;  
}
```

```
List<Friend> getFriends() {  
    Connection c = http.getConnection();  
    FacebookInterface api = new FacebookStub(c);
```

```
List<Node> person  
for (Node person1  
    for (Node per  
    ...  
    }  
}
```

```
class FacebookStub implements FacebookInterface {  
    void connect() {}  
    List<Node> getFriends(String name) {  
        if ("john".equals(name)) {  
            List<Node> result=new List();  
            result.add(...);
```

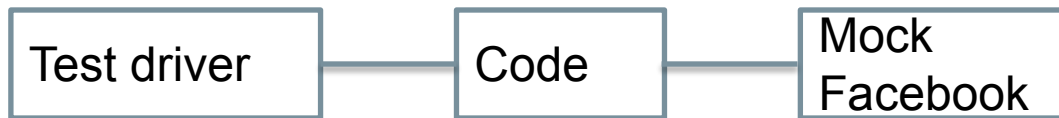
# Fault injection



- Mocks can emulate failures such as timeouts
- Allows you to verify the robustness of system

```
class FacebookSlowStub implements FacebookInterface {  
    void connect() {}  
    int counter = 0;  
    List<Node> getFriends(String name) {  
        Thread.sleep(4000);  
        if ("john".equals(name)) {  
            List<Node> result=new List();  
            result.add(...);  
        }  
    }  
}
```

# Fault injection



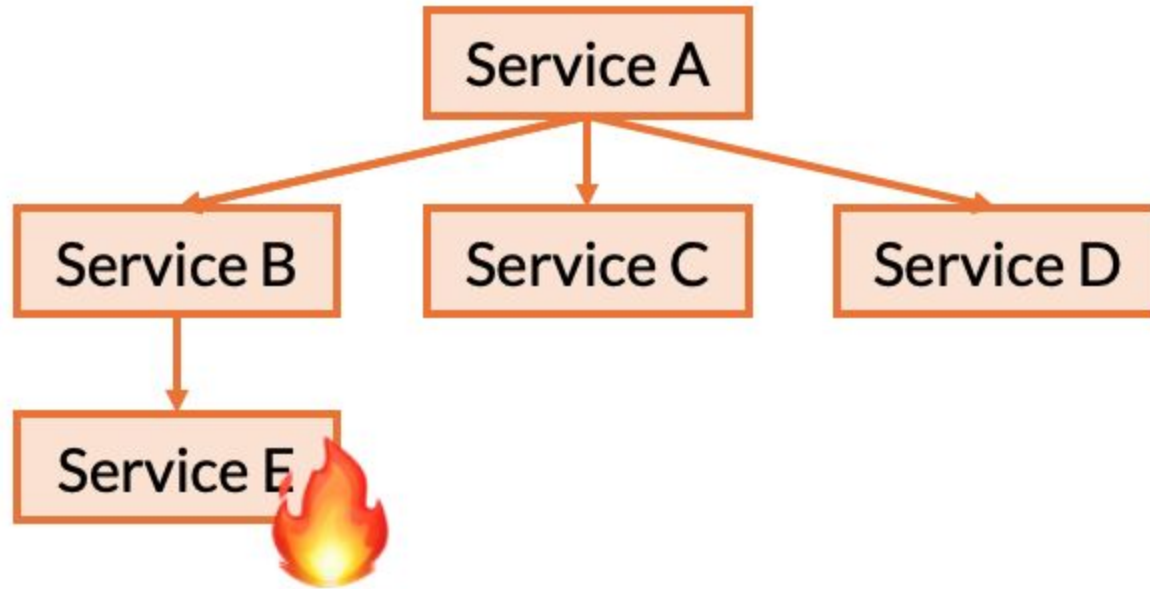
```
class FacebookErrorStub implements FacebookInterface {
    void connect() {}
    int counter = 0;
    List<Node> getFriends(String name) {
        counter++;
        if (counter % 3 == 0)
            throw new SocketException("Network is unreachable");
        if ("john".equals(name)) {
            List<Node> result=new List();
            result.add(...);
            return result;
        }
    }
}
```

# Chaos Engineering

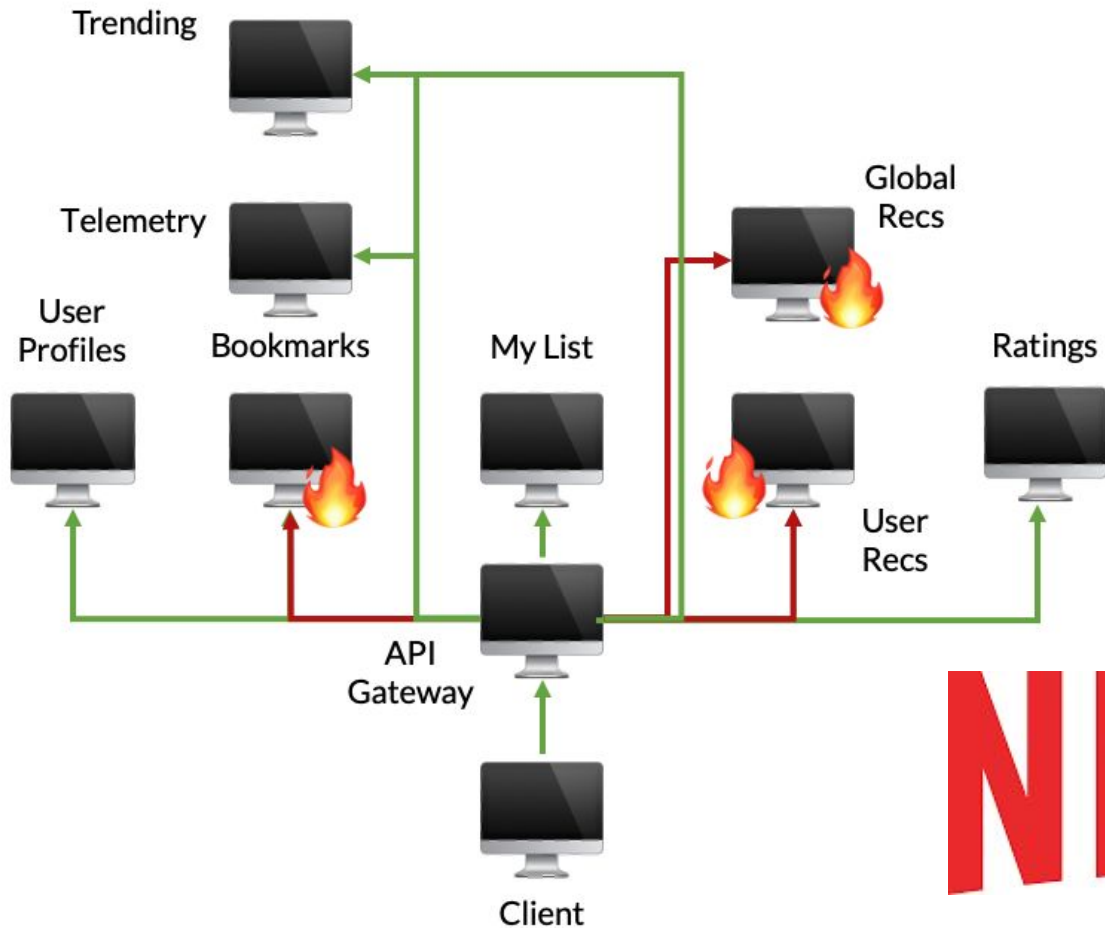
Experimenting on a distributed system in order to build confidence in the system's capability to withstand turbulent conditions in production

The image shows the word "NETFLIX" in a bold, red, sans-serif font. The letters are slightly irregular and have a slight shadow, giving it a three-dimensional appearance. The background is white.





# Distributed Event-Based System



# NETFLIX

Options

Manage access

Repository roles

Security & analysis

Branches

Webhooks

Notifications

Integrations

Deploy keys

Autolink references

Actions

Environments

Secrets

Pages

## Webhooks / Add webhook

We'll send a POST request to the URL below with details of any subscribed events. You can also specify which data format you'd like to receive (JSON, `x-www-form-urlencoded`, etc). More information can be found in [our developer documentation](#).

### Payload URL \*

### Content type

### Secret

### Which events would you like to trigger this webhook?

- Just the push event.
- Send me **everything**.
- Let me select individual events.

### Active

We will deliver event details when this hook is triggered.

Add webhook

# Push vs Pull: RPC vs Callbacks

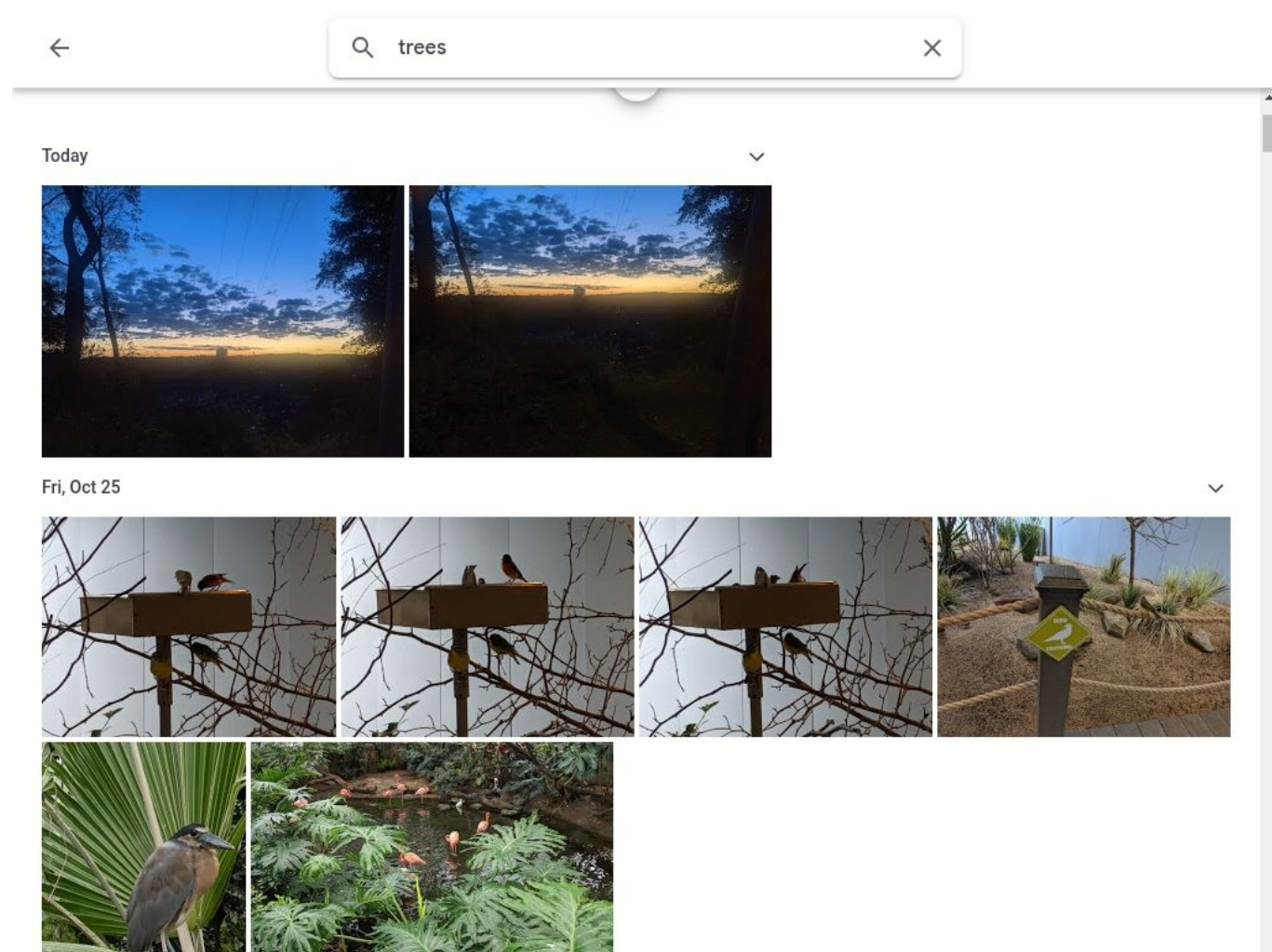
Both libraries and frameworks possible with RPC

- Netflix: Gateway calls and orchestrates services (pull; Strategy Pattern)
- GitHub WebHooks: GitHub pushes events to custom URL (Observer Pattern)

# Reactive Programming and Event/Stream Processing

Stream processing: Distributed system design based on event queuing and processing

# Example: Tagging of many images Indexing for search

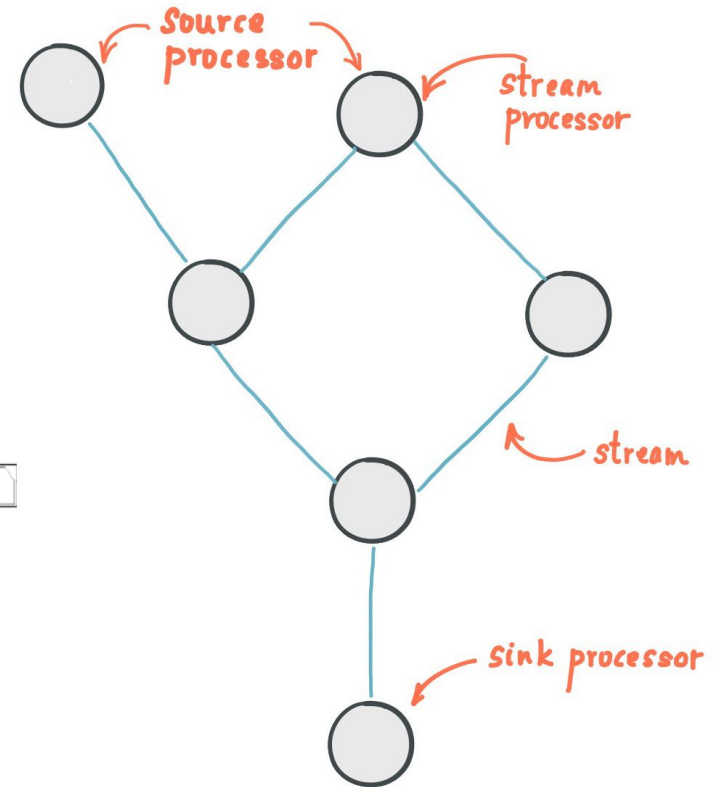
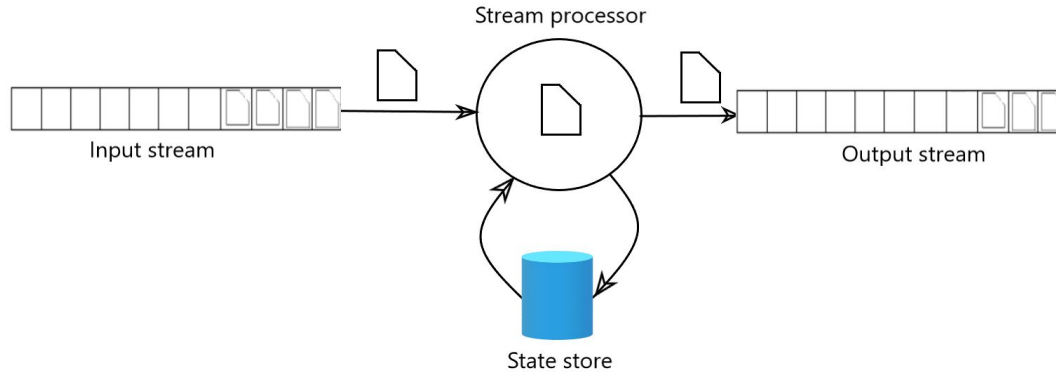


# FIXME: kill this Recall: RxJava

```
PublishSubject<Integer> x = PublishSubject.create();  
PublishSubject<Integer> y = PublishSubject.create();  
Observable<Integer> z = Observable.combineLatest(x, y,  
(a,b)->a+b);  
z.subscribe(System.out::println);  
x.onNext(3);  
y.onNext(5);  
x.onNext(5);
```

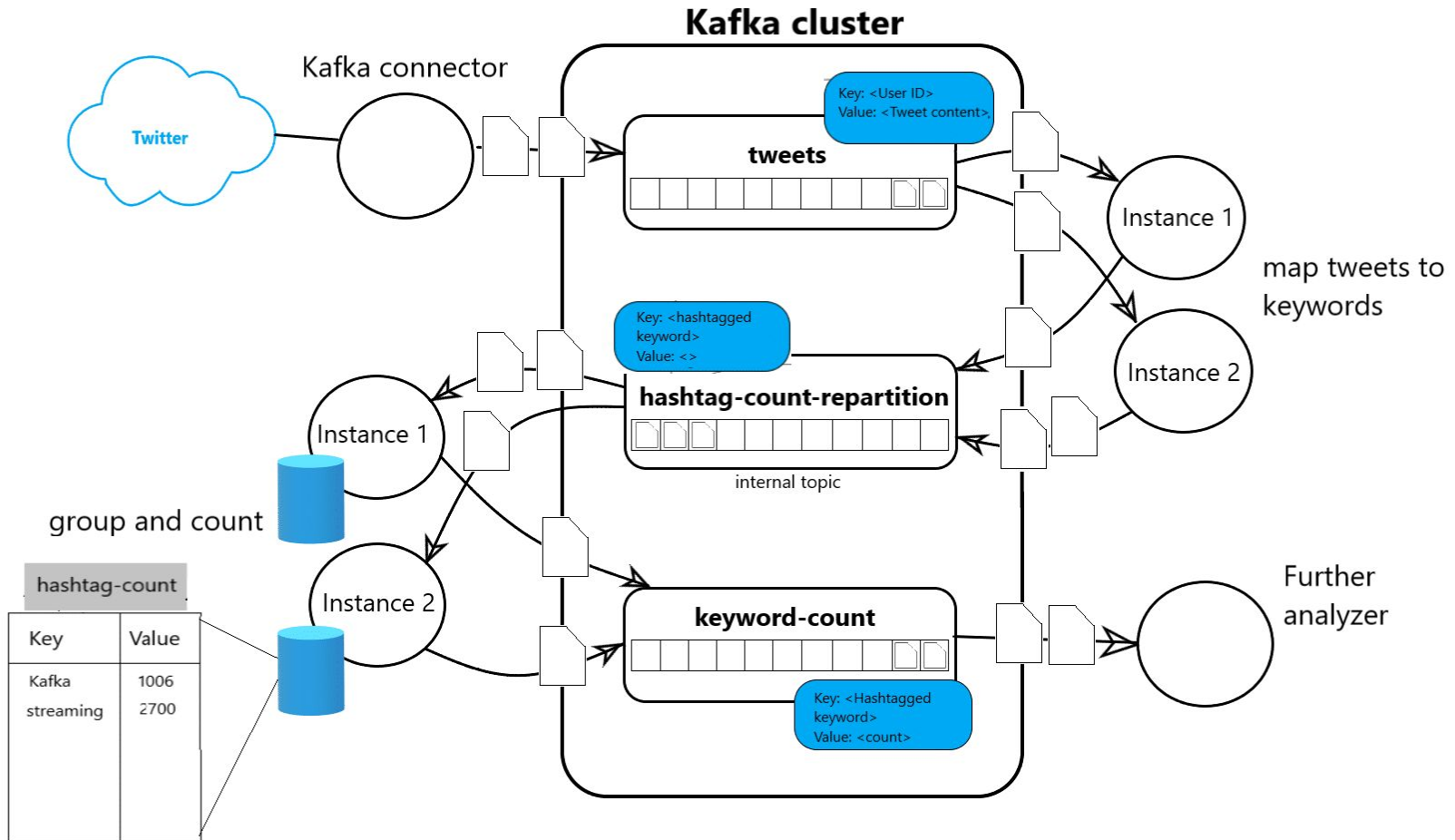


# Apache Kafka



PROCESSOR TOPOLOGY

<https://www.novatec-gmbh.de/en/blog/kafka-101-series-part-2-stream-processing-and-kafka-streams-api/>



```
final String topic = "topicName";
final Consumer<String, String> consumer = new KafkaConsumer<>();
consumer.subscribe(Arrays.asList(topic));

try {
    while (true) {
        ConsumerRecords<String, String> records = consumer.poll(100);
        for (ConsumerRecord<String, String> record : records) {
            String key = record.key();
            String value = record.value();
            // process data
        }
    }
} finally {
    consumer.close();
}
```

## Kafka Consumer Code Example

# Summary

Heavy reliance on dependencies

- Package managers and module systems help organize
- Manage costs and risks of dependencies

Modularly organize systems at scale

- Modules
- Distributed systems
- Microservices
- Event-based systems / stream processing

Testing with Stubs and Chaos Engineering