

# Principles of Software Construction: Objects, Design, and Concurrency

## {Static & Dynamic} x {Typing & Analysis}

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# Is this code buggy?

```
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

# How Do You Find Bugs?

- Run it?

```
public class Fails {  
    public static void main(String[] args) {  
        getValue( i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

Exception in thread "main" java.lang.[NullPointerException](#) Create breakpoint : Cannot invoke "java.lang.Integer.intValue()" because "i" is null  
at misc.Fails.getValue([Fails.java:9](#))  
at misc.Fails.main([Fails.java:5](#))

# How Else Can You Find Bugs?

```
public class Fails {  
    public static void main(String[] args) {  
        getValue(i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

# IntelliJ can look at this code and say:

```
public static void main(String[] args) {  
    getValue(i: null);  
}
```

Passing 'null' argument to parameter annotated as @NotNull

```
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

(with annotations explicit)

```
Fails.java x
1      import org.jetbrains.annotations.NotNull;
2
3      public class Fails {
4          private static int getValue(@NotNull Integer i) {
5              return i.intValue();
6          }
7
8          public static void main(String [] args){
9              getValue(i: null);
10         }
11
```

# Static Analysis!

How?

```
public static void main(String[] args) {  
    getValue(i: null);  
}
```

Passing 'null' argument to parameter annotated as @NotNull

```
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

# Static Analysis!

How?

- We know at *compile time* where `getValue` gets routed to
- `getValue` calls a method on `i`
- `i` can be `null`

```
public static void main(String[] args) {  
    getValue(i: null);  
}  
  
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

Passing 'null' argument to parameter annotated as @NotNull



# What about JS?

```
fails.js
```

```
function getValue(x) {  
  |   return x.valueOf();  
}
```

# What about JS?

Run it: ✓

JS fails.js > ...

```
1  function getValue(x) {  
2  |      return x.valueOf();  
3  |  }  
4  
5  console.log(getValue("32"));  
6  console.log(getValue(null));
```

PROBLEMS

3

OUTPUT

TERMINAL

DEBUG CONSOLE

```
return x.valueOf();  
      ^
```

TypeError: Cannot read property 'valueOf' of null

# Why no warning?

```
function getValue(x) {  
    return x.valueOf();  
}
```

```
console.log(getValue("32"));  
console.log(getValue(null));
```


# Another Java vs JS Example

```
class Foo {  
    constructor(x) {  
        this.x = x;  
    }  
}
```

```
function bar(foo) {  
    return foo.x;  
}
```

```
var foo = new Foo(3);  
console.log(bar(foo));  
console.log(bar(3));
```

```
class Foo {  
    int x;  
    Foo(int x) {  
        this.x = x;  
    }  
}
```

```
  
public static void main(String[] args) {  
    Foo foo = new Foo(x: 3);  
    bar(foo);  
    bar(foo: 3);  
}
```

```
private static void bar(Foo foo) {  
    System.out.println(foo.x);  
}
```

# Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
  - Types, nullity annotations, invariants
- At compile-time:
  - Dynamically typed languages assume nothing
    - Types exist only for *values*
  - Static typing is not completely precise either
    - Objects have declared types and run-time types
    - Different “strength” type systems

# Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
  - Types, nullity annotations, invariants
- Is it worth it?
  - Dynamic typing can severely limit inference
  - But... static types are a lot of work

# Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
  - Types, nullity annotations, invariants
- Is it worth it?
  - Dynamic typing can severely limit inference
  - But... static types are a lot of work

## Do Static Type Systems Improve the Maintainability of Software Systems? An Empirical Study

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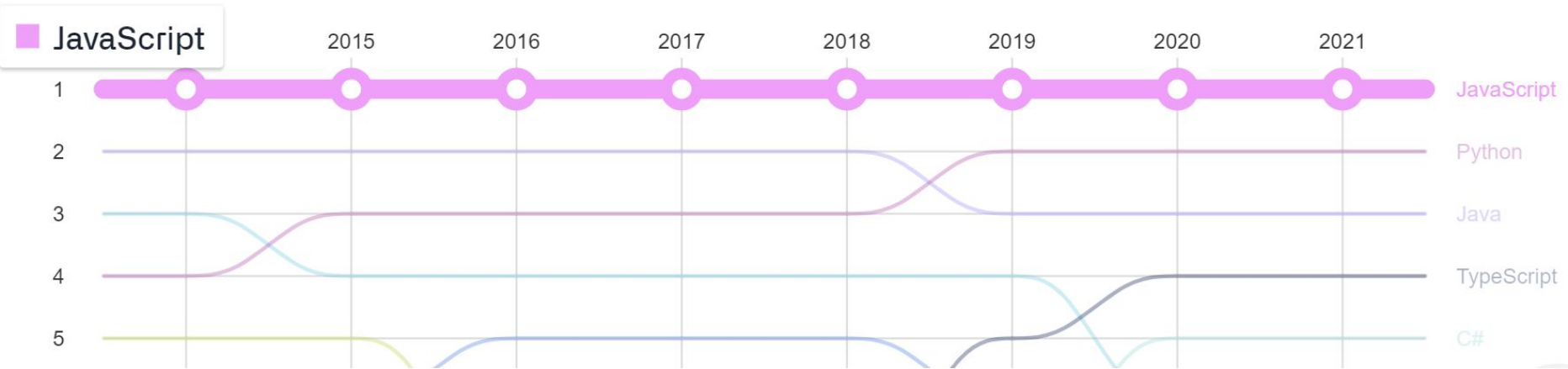
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# Static vs. Dynamic Typing

Okay, but:

Top languages over the years





# False Dichotomy?

Yes, but:

Top languages over the years



# Partial Types

- Low effort, some utility
  - Static types exist and are checked at compile-time
  - Dynamic types are used at run-time
    - So annotations get ignored!
  - Type checker can be shallow or deep; TS is shallow

## To Type or Not to Type: Quantifying Detectable Bugs in JavaScript

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*Abstract*—JavaScript is growing explosively and is now used in large mature projects even outside the web domain. JavaScript is also a dynamically typed language. We investigate the cost of investing in static type checking for JavaScript. We analyze the impact of static type checking on the number of bugs detected in JavaScript code. We find that static type checking can detect a significant number of bugs in JavaScript code. We also find that static type checking can detect a significant number of bugs in JavaScript code.

# Types in TypeScript

```
function getValue(x: number) {  
  return x.valueOf();  
}
```

Argument of type 'null' is not assignable to parameter of type 'number'. ts(2345)

[View Problem](#) No quick fixes available

```
console.log(getValue(null));
```

# Types in TypeScript

```
function getValue(x: number | null) {  
    return x.valueOf();  
}
```

Object is possibly 'null'. ts(2531)

(parameter) x: number | null

[View Problem](#) No quick fixes available

```
console.log(getValue(null));
```

# Step Back

- Why do we care about types so much?

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  - We care about *common mistakes*
  - Type errors happen to be very common
  - What else is common?

# Step Back

- Why do we care about types so much?
  - We care about *common mistakes*
  - Type errors happen to be very common
  - What else is common?
    - Nullity errors
    - Missing imports
    - ```
public void forward(String sender) {  
    if (sender == "me") {  
        sendSelf();  
    } else if (sender == "other") {
```

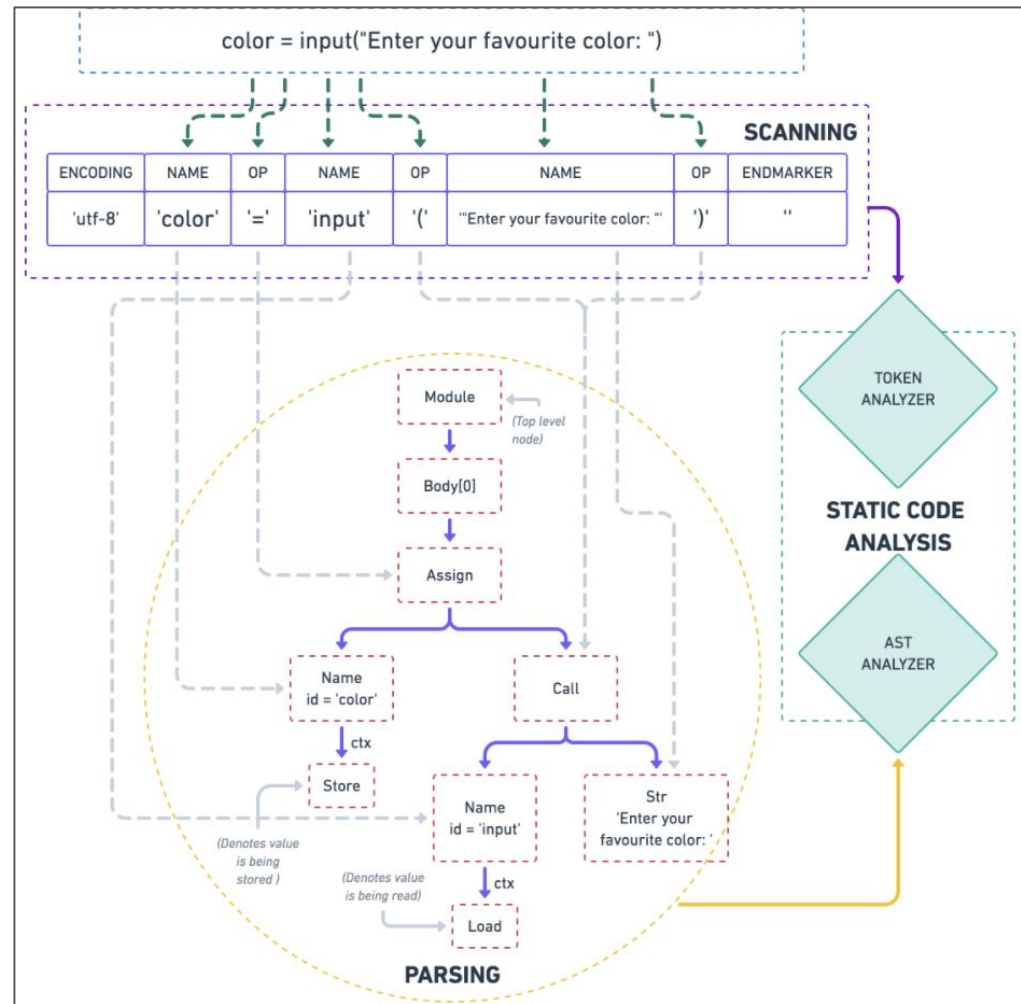
# Static Analysis

- Detect real or plausible bugs based on code patterns
  - Plausible: look for risk-prone areas
    - Deeply nested loops
    - Overly general types (e.g., 'any' in TS)
    - Dead code/unused variables
    - Any other places we often make mistakes?



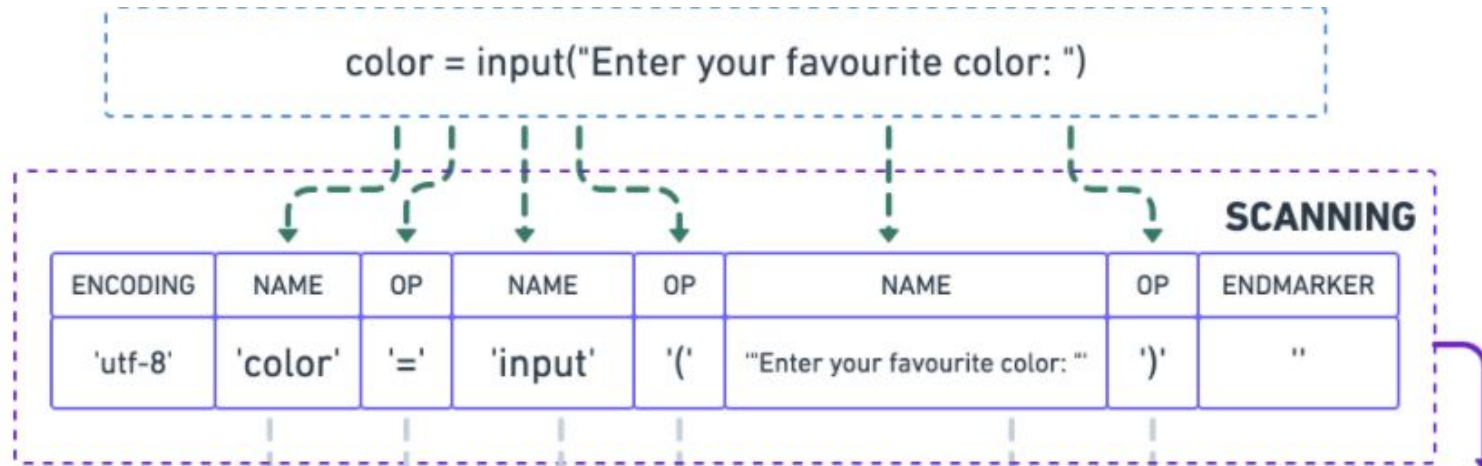
# Static Analysis

- How?
  - Program analysis + Vocabulary of patterns



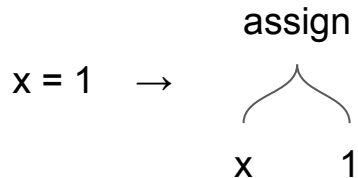
# Static Analysis

- Step 1: Tokenization
  - Tokens are like the words of software
  - *Lexical* categories, incl. punctuation, identifiers, operators, strings

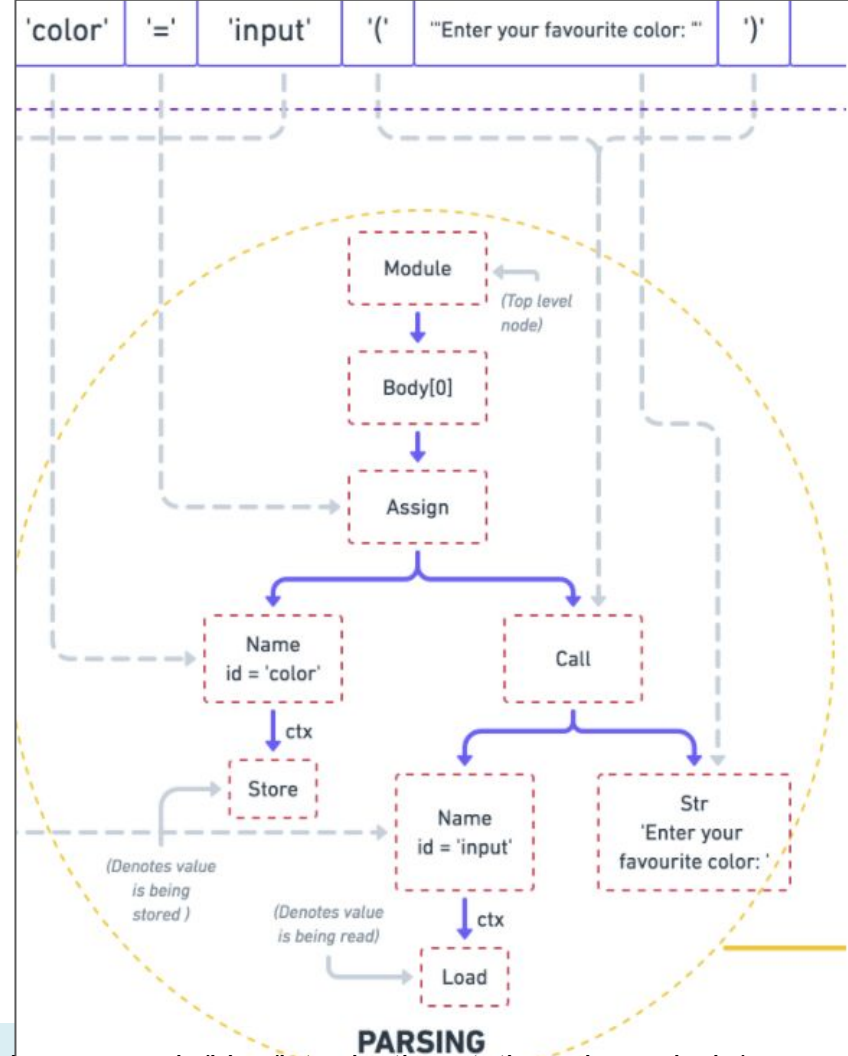


# Static Analysis

- Step 2: Parsing
  - To the compiler/interpreter, software is a tree
  - Root node is file/module
  - Leaves mainly identifiers, literals
  - Internal nodes capture *structure*

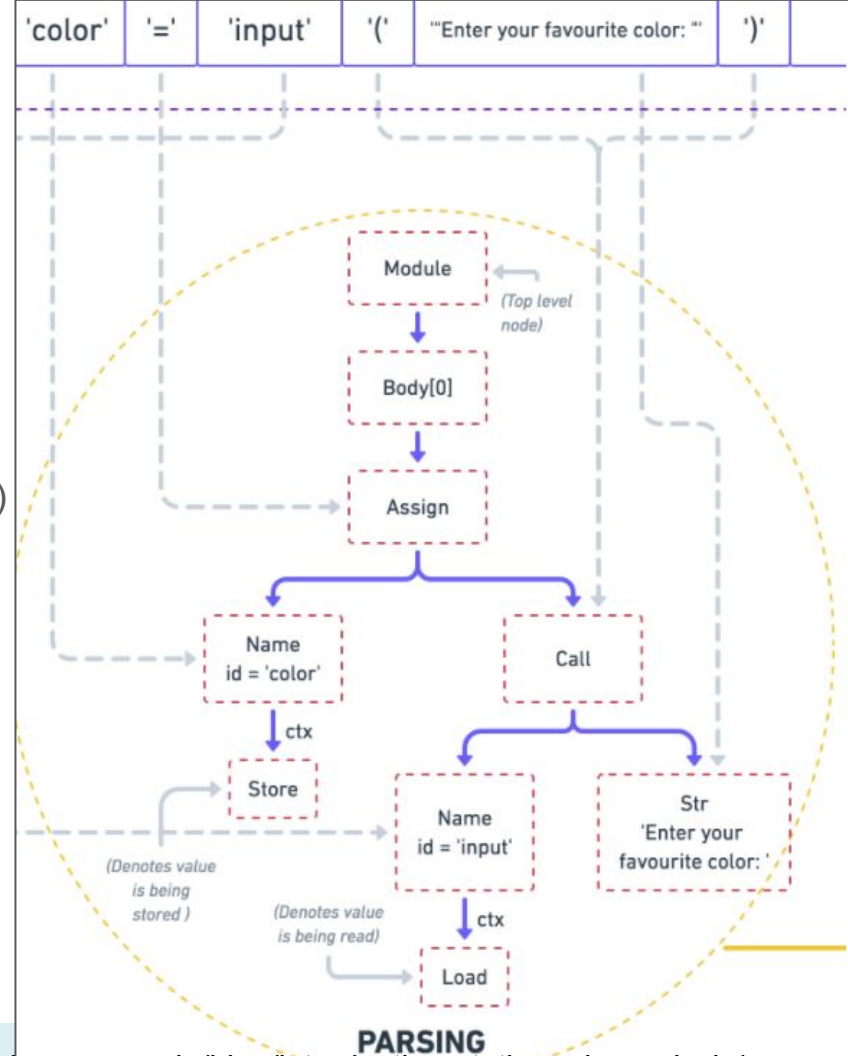


Consider checking out: <https://ast.carlosroso.com/>



# Static Analysis

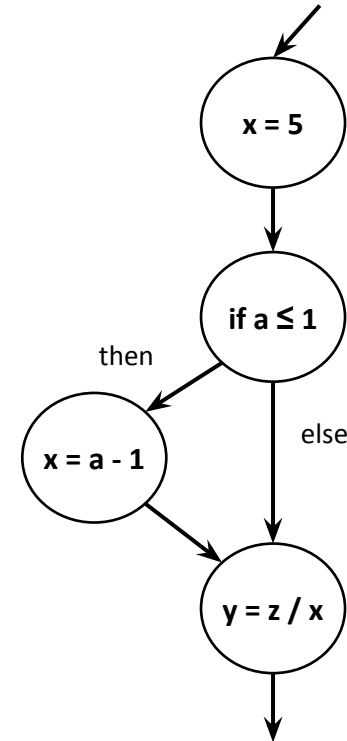
- Step 2: Parsing
  - What does this get us?
  - Rich structure
    - Syntactic types (variables, method calls)
    - Dead code, deep nesting
  - A lot of type resolution
    - What vars are stored, loaded
    - Not complete!
    - Need to *build* to understand imports



# Static Analysis

- Step 2b: Advanced Analysis
  - The compiler doesn't stop at parsing
  - Familiar?

```
public boolean div(int a, int z) {  
    int x = 5;  
    if (a <= 1) {  
        x = a - 1;  
    }  
    return z / x;  
}
```



# Static Analysis

- Step 2b: Advanced Analysis
  - The compiler doesn't stop at parsing
  - There is a lot more down this rabbit hole
    - Control/data-flow, abstract interpretation, (dynamic) symbolic execution,
  - Consider a programming languages, compilers, or **program analysis** course

# Static Analysis

- Step 3: register analyzers
  - At the core: walk the tree

```
class ListDefinitionChecker(BaseChecker):  
    msg = "usage of 'list()' detected, use '[' instead"  
  
    def visit_Call(self, node):  
        name = getattr(node.func, "id", None)  
        if name and name == list.__name__ and not node.args:  
            self.violations.append((self.filename, node.lineno, self.msg))
```

# Static Analysis

- Step 3: register analyzers
- Classic: walk a tree →
- Modern: build a database of code facts, express analysis as queries over that database.
  - This is how CodeQL works!

```
class UnusedImportChecker(BaseChecker):
    def __init__(self):
        self.import_map = defaultdict(set)
        self.name_map = defaultdict(set)

    def _add_imports(self, node):
        for import_name in node.names:
            # Store only top-level module name ("os.path" -> "os").
            # We can't easily detect when "os.path" is used.
            name = import_name.name.partition(".")[0]
            self.import_map[self.filename].add((name, node.lineno))

    def visit_Import(self, node):
        self._add_imports(node)

    def visit_ImportFrom(self, node):
        self._add_imports(node)

    def visit_Name(self, node):
        # We only add those nodes for which a value is being read from.
        if isinstance(node.ctx, ast.Load):
            self.name_map[self.filename].add(node.id)
```



# Static Analysis

- Modern: build a database of code facts, express analysis as queries over that database.
- This is how CodeQL works!



JavaConverter.java

```
public static Object deserialize (InputStream is)
    throws IOException {
    ObjectInputStream ois = new ObjectInputStream(is);
    return ois.readObject();
}
```

UnsafeDeserialization.q1

```
from DataFlow::PathNode source, DataFlow::PathNode
sink, UnsafeDeserializationConfig conf
where conf.hasFlowPath(source, sink)
select sink.getNode().(UnsafeDeserializationSink)
.getMethodAccess(),
source, sink, "Unsafe deserialization of $@.",
source.getNode(), "user input"
```

QL Query Results

alerts ▾

> ☰ Unsafe deserialization of [user input](#).

▾ ☰ Unsafe deserialization of [user input](#).

▾ Path

1 [getContent\(...\) : InputStream](#)

2 [getContentAsStream\(...\) : InputStream](#)

3 [toBufferedInputStream\(...\) : InputStream](#)

4 [getInputStream\(...\) : InputStream](#)

5 [is : InputStream](#)

6 [ois](#)

> Path

> ☰ Unsafe deserialization of [user input](#).

# Static Analysis

- Compared to Linters:
  - Linters mainly enforce style -- comments, quotes, idioms
    - This also requires static analysis! Just nothing particularly fancy
  - Some overlap; good conventions help avoid bugs

# Static Analysis

- Compared to Parsers:
  - Parsers check for syntactic correctness
    - Can catch bugs as well, e.g. missing “;”
  - Parsing is often a key step in static analysis
    - Hard to do right with just text/regexes.
  - Parsing is a platform for further analyses
    - control-flow, data-flow

# So... Static Analysis for Everything?

- Can we find every bug?

- No! Rice's Theorem

"Any nontrivial property about the language recognized by a Turing machine is undecidable." -- Henry Gordon Rice, 1953

- Every static analysis is necessarily incomplete or unsound or undecidable (or multiple of these)

# So... Static Analysis for Everything?

- Can we find every bug?
- Can we guarantee correctness?

# So... Static Analysis for Everything?

- Can we find every bug?
- Can we guarantee correctness?
  - Yes, but... much less useful

```
public class Fails {  
    public static void main(String[] args) {  
        getValue(i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

# Soundness & Precision

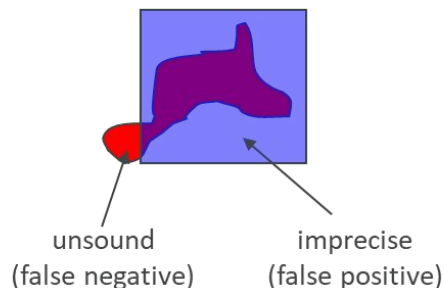
- Since we can't perfectly analyze behavior statically
  - We may miss things by being cautious (unsound; false negative)
  - We might identify non-problems (imprecision, false positive)



Program state covered in actual execution



Program state covered by abstract execution with analysis



# The Social Side

- How to deploy tools that are neither sound nor complete?



# Static Analysis at Google

- Centered around FindBugs (succeeded by SpotBugs)
  - Essentially, a huge collection of risky patterns on Java bytecode
  - Annotated with five levels of concern

CONTRIBUTED ARTICLES

## Lessons from Building Static Analysis Tools at Google

By Caitlin Sadowski, Edward Aftandilian, Alex Eagle, Liam Miller-Cushon, Ciera Jaspan  
Communications of the ACM, April 2018, Vol. 61 No. 4, Pages 58-66  
10.1145/3188720

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FindBugs™

Software bugs cost developers and software companies

# Static Analysis at Google

- Three experiments in the early 2000s:
  1. **A dashboard:** run FindBugs overnight, report results in a centralized location  
*Failed because:* dashboard is outside the developer's workflow

# Static Analysis at Google

- Three experiments in the early 2000s:
  1. **A dashboard:** run FindBugs overnight, report results in a centralized location  
*Failed because:* dashboard is outside the developer's workflow
  2. **Recurring FixIt events:** company-wide one-week effort to fix warnings  
*Failed because:* actually fixed some bugs, but FindBugs is too imprecise (44% of issues were “bugs”, but only 16% mattered)

# None of these worked!

- Three experiments in the early 2000s:
  1. **A dashboard:** run FindBugs overnight, report results in a centralized location  
*Failed because:* dashboard is outside the developer's workflow
  2. **Recurring FixIt events:** company-wide one-week effort to fix warnings  
*Failed because:* actually fixed some bugs, but FindBugs is too imprecise (44% of issues were “bugs”, but only 16% mattered)
  3. **Add to Code Review:** run on every change, allow toggling warnings  
*Failed because:* too imprecise; suppressing FPs made it inconsistent

# Static Analysis at Google

Okay so then what?

- What went wrong / what do we need?

# Static Analysis at Google

Okay so then what?

- What went wrong / what do we need?
  1. Precision is key -- developers lose faith in inaccurate tools
  2. Provide timely warnings -- in-IDE or rapidly on builds
    - a. Checkers are way more useful during coding
  3. Make a platform -- allow adding useful checks

# Static Analysis at Google

Specifically:

- At compile-time:
  - Perfectly Precise
    - **No** false-positives; never halt a build incorrectly
  - Simple
  - Actionable
    - Ideally to the point of auto-fix suggestions

# Static Analysis at Google

Specifically:

- At review time: TriCoder
  - 90%+ precise
    - If it drops below, checker gets disabled! Onus on checker authors to fix
  - Actionable, but may require some work
  - Improve correctness or code quality
  - Some compile-time checks moved to review-time!
- Ran 50K times per day -- in 2018



# TriCoder

```
package com.google.devtools.staticanalysis;
```

```
public class Test {
```

▼ Lint Missing a Javadoc comment.

Java  
1:02 AM, Aug 21

[Please fix](#)

[Not useful](#)

```
public boolean foo() {  
    return getString() == "foo".toString();  
}
```

▼ ErrorProne String comparison using reference equality instead of value equality  
(see <http://code.google.com/p/error-prone/wiki/StringEquality>)

StringEquality  
1:03 AM, Aug 21

[Please fix](#)

```
//depot/google3/java/com/google/devtools/staticanalysis/Test.java
```

```
package com.google.devtools.staticanalysis;
```

```
public class Test {  
    public boolean foo() {  
        return getString() == "foo".toString();  
    }  
}
```

```
    public String getString() {  
        return new String("foo");  
    }  
}
```

```
package com.google.devtools.staticanalysis;
```

```
import java.util.Objects;
```

```
public class Test {  
    public boolean foo() {  
        return Objects.equals(getString(), "foo".toString());  
    }  
}
```

```
    public String getString() {  
        return new String("foo");  
    }  
}
```

# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?

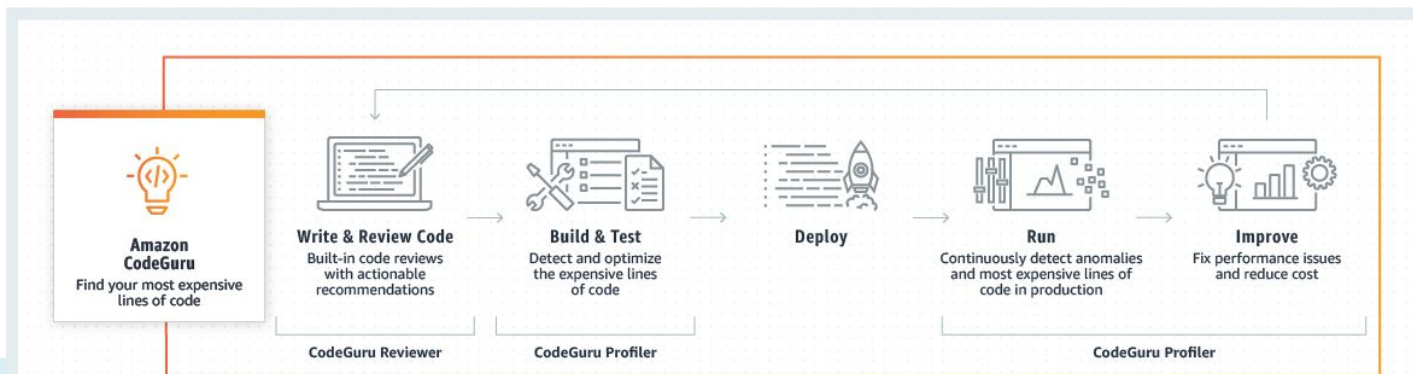
# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?
- Infer at Facebook
  - Built around separation logic; geared heavily towards tracking resources
    - Null-pointer dereferences, resource leaks, unintended data access
  - Google claims this won't (easily) scale to their multi-billion line mono-repo



# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?
- Use AI?
  - Rule-mining from previous reviews
    - Detects typical vulnerabilities, bad patterns
  - Mostly fairly simple ML (details limited)

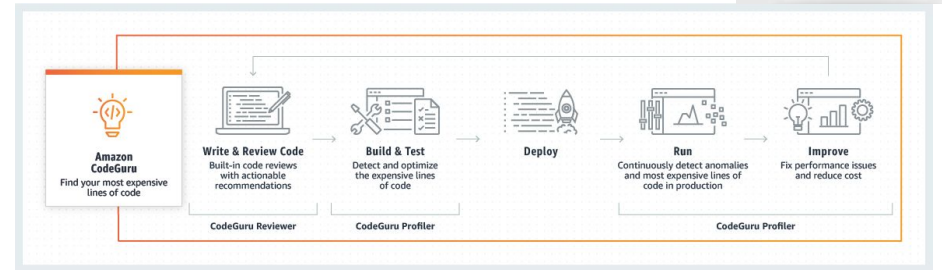
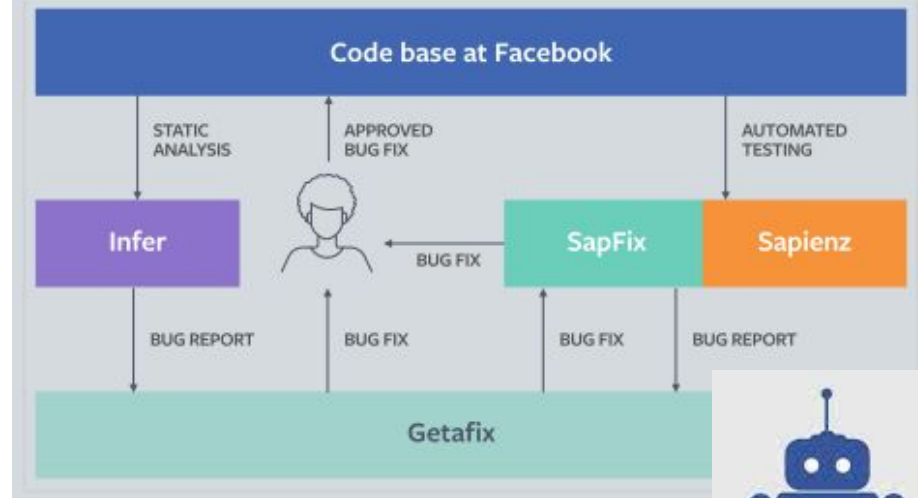


# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?
- Use AI?
  - Microsoft's IntelliSense in VSCode
  - Mostly refactorings, code completions
  - Trained on large volumes of code

# What else could we do?

- Use more complicated logic
  - One example: Infer, at Facebook (Google claims this won't (easily) scale to their mono-repo.)
- Use AI?
  - Facebook: Getafix, also integrates with SapFix
  - Amazon: CodeGuru
  - Microsoft: IntelliSense in VSCode, mostly refactoring/code completion, trained on large volumes of code
  - Mostly fairly simple ML (details limited)



# Summary

- We all constantly make mistakes
  - Static analysis captures common issues
  - Choose suitable abstractions; consider trade-offs
    - E.g., dynamic vs. static typing; sound vs. precise
- At big-tech-scale, automated checks are key
  - Help normalize coding standards
  - Even rare bugs are common at scale
  - But: social factors are very important