

Principles of Software Construction: Objects, Design, and Concurrency

Specifications and unit testing, exceptions

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Remember this discussion from last week?

Encapsulation / Information hiding

- Well designed objects project internals from others
 - both internal state and implementation details
- Well-designed code hides all implementation details
 - Cleanly separates interface from implementation
 - Modules communicate only through interfaces
 - They are oblivious to each others' inner workings
- Hidden details can be changed without changing client!
- **Fundamental tenet of software design**

Who's to blame?

```
Algorithms.shortestDistance(g, "Tom", "Anne");
```

```
> ArrayOutOfBoundsException
```

Who's to blame?

```
Algorithms.shortestDistance(g, "Tom", "Anne");
```

```
> -1
```

Who's to blame?

```
Algorithms.shortestDistance(g, "Tom", "Anne");
```

```
> 0
```

Who's to blame?

```
class Algorithms {  
    /**  
     * This method finds the  
     * shortest distance between two  
     * vertices. It returns -1 if  
     * the two nodes are not  
     * connected. */  
    int shortestDistance(...) {...}  
}
```

Who's to blame?

```
class Algorithms {  
    /**  
     * This method finds the  
     * shortest distance between two  
     * vertices. It returns -1 if  
     * the two nodes are not  
     * connected. */  
    int shortestDistance(...) {...}  
}
```

Think of this (textual)
specification as a “contract”

What is a contract?

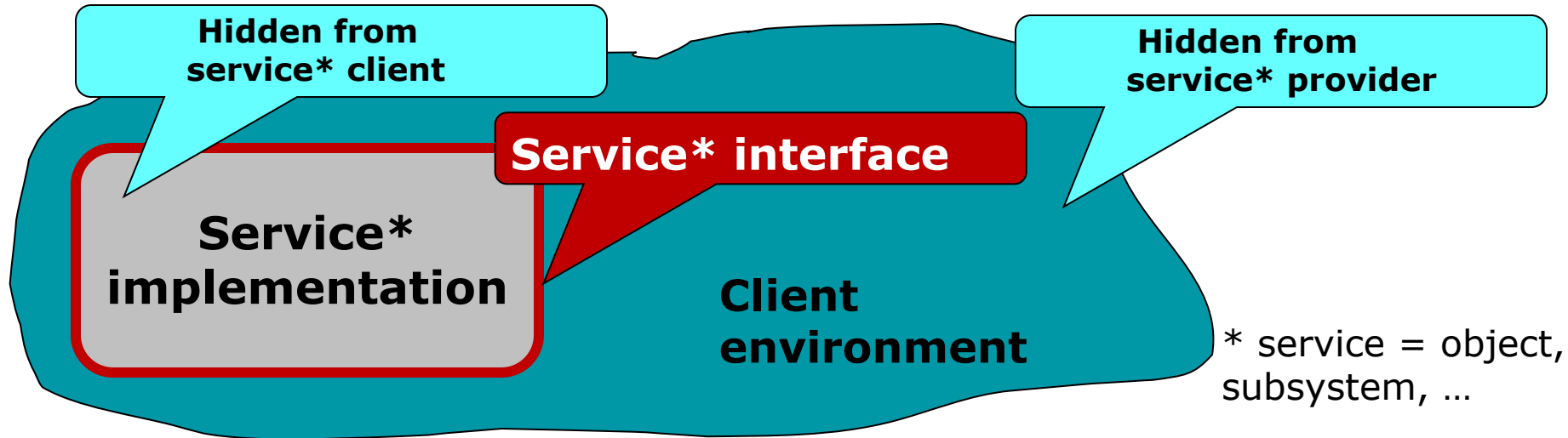
- Agreement between an object and its user
 - What object provides, and user can count on
- Includes:
 - Method signature (type specifications)
 - Functionality and correctness expectations
 - Sometimes: performance expectations
- **What the method does, not **how** it does it**
 - **Interface** (API), not **implementation**

Method contract details

- Defines method's and caller's responsibilities
- Analogy: legal contract
 - If you pay me this amount on this schedule...
 - I will build a room with the following detailed spec
 - Some contracts have remedies for nonperformance
- Method contract structure
 - Preconditions: what method requires for correct operation
 - Postconditions: what method establishes on completion
 - Exceptional behavior: what it does if precondition violated
- Defines correctness of implementation – we'll come back to this later today

Most real-world code has a contract

- Imperative to build systems that scale!
- This is why we:
 - Encode specifications
 - Test



Today

1. Exception Handling
2. Unit Testing
3. Specifications

Exceptions

What does this code do?

This is Java code

```
FileInputStream fIn = new FileInputStream(fileName);
if (fIn == null) {
    switch (errno) {
        case _ENOFILe:
            System.err.println("File not found: " + ...);
            return -1;
        default:
            System.err.println("Something else bad happened: " + ...);
            return -1;
    }
}
DataInput dataInput = new DataInputStream(fIn);
if (dataInput == null) {
    System.err.println("Unknown internal error.");
    return -1; // errno > 0 set by new DataInputStream
}
int i = dataInput.readInt();
if (errno > 0) {
    System.err.println("Error reading binary data from file");
    return -1;
} // The Slide lacks space to close the file. Oh well.
return i;
```

Compare to:

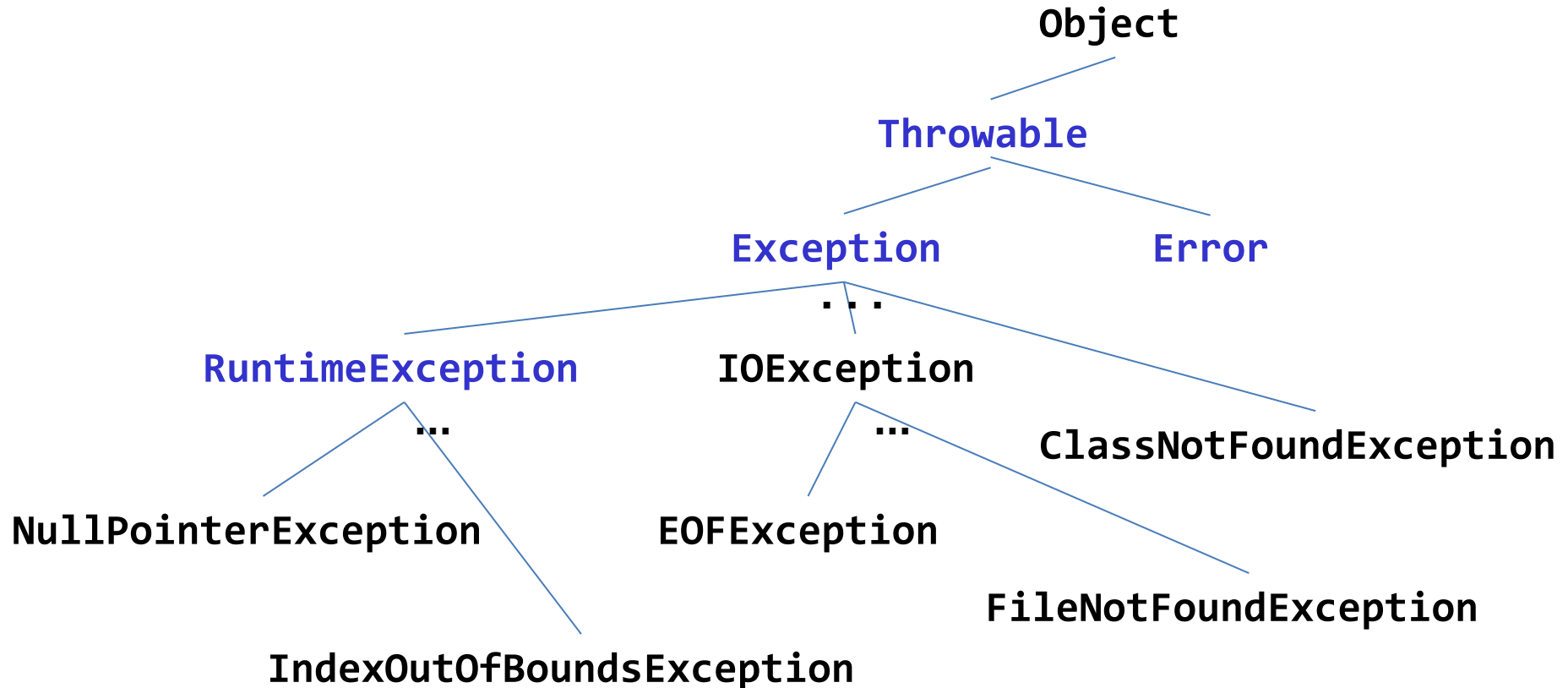
This is Java code

```
FileInputStream fileInput = null;
try {
    fileInput = new FileInputStream(fileName);
    DataInput dataInput = new
DataInputStream(fileInput);
    return dataInput.readInt();
} catch (FileNotFoundException e) {
    System.out.println("Could not open file " +
fileName);
} catch (IOException e) {
    System.out.println("Couldn't read file: " + e);
} finally {
    if (fileInput != null) fileInput.close();
}
```

Exceptions

- Split control-flow into a “normal” and an “erroneous” branch
 - Compare “if/else”
- Inform caller of problem by transfer of control
- Where do exceptions come from?
 - Program can throw explicitly using throw
 - Underlying virtual machine (JVM) can generate
- Semantics
 - Propagates up call stack until exception is caught, or main method is reached (terminates program!)

The exception hierarchy in Java (messy)



Control-flow of exceptions

This is Java code

```
public static void test() {
    try {
        System.out.println("Top");
        int[] a = new int[10];
        a[42] = 42;
        System.out.println("Bottom");
    } catch (NegativeArraySizeException e) {
        System.out.println("Caught negative array size");
    }
}

public static void main(String[] args) {
    try {
        test();
    } catch (IndexOutOfBoundsException e) {
        System.out.println("Caught index out of bounds");
    }
}
```

Control-flow of exceptions

This is Java code

```
public static void test() {
    try {
        System.out.println("Top");
        int[] a = new int[10];
        a[42] = 42;
        System.out.println("Bottom");
    } catch (NegativeArraySizeException e) {
        System.out.println("Caught negative array size");
    }
}

public static void main(String[] args) {
    try {
        test();
    } catch (IndexOutOfBoundsException e) {
        System.out.println("Caught index out of bounds");
    }
}
```

Handle errors at a level you choose, not necessarily in the low-level methods where they originally occur.

Exception Handling

Undeclared

```
int divide(int a, int b) {  
    return a / b;  
}
```

vs.

Declared

```
String read(String path) throws  
    IOException {  
    return Files.lines(Path.of(path))  
        .collect(Collectors.joining("\n"));  
}
```

Exception Handling

Undeclared

```
int divide(int a, int b) {  
    return a / b;  
}
```

vs.

Declared

```
String read(String path) throws  
    IOException {  
    return Files.lines(Path.of(path))  
        .collect(Collectors.joining("\n"));  
}
```

Unchecked

```
divide(4, 3); // Compiles  
              fine
```

vs.

Checked

```
read("test.txt"); // Unhandled  
                  exception: java.io.IOException
```

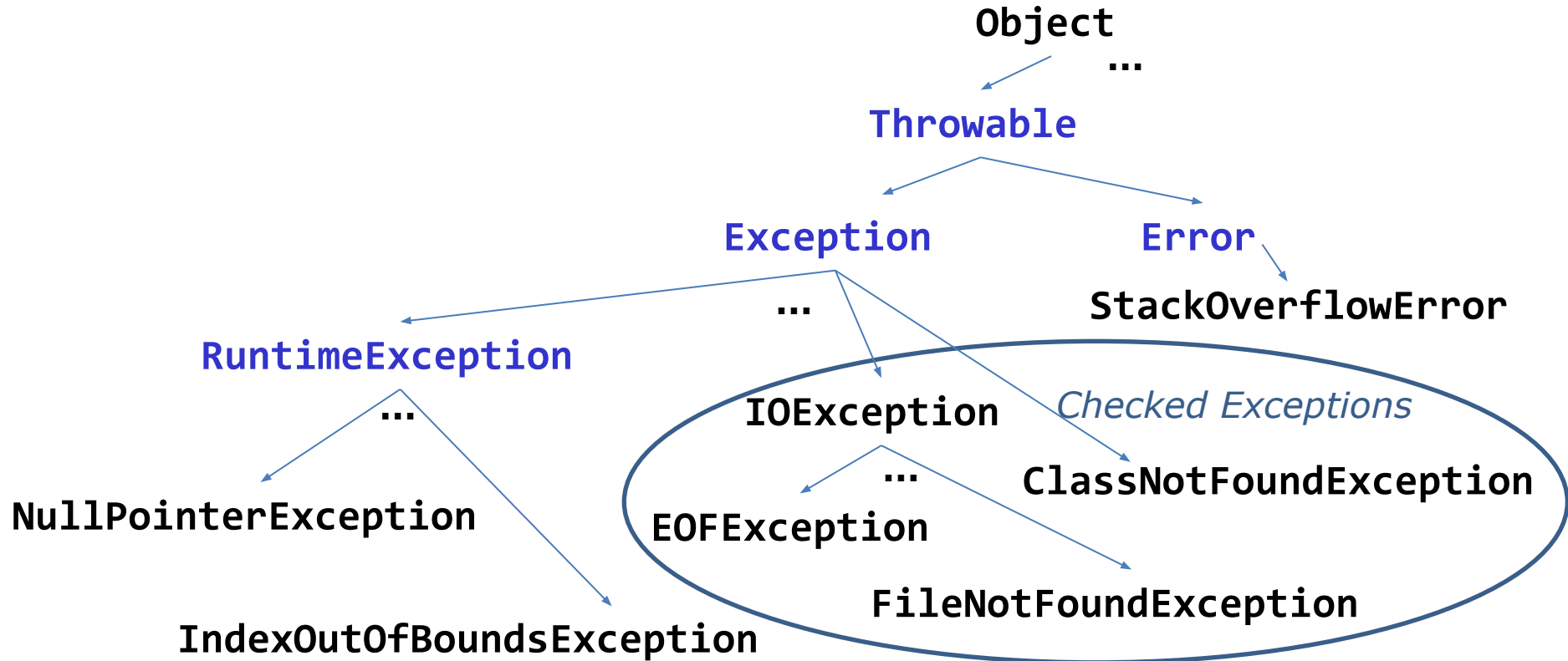
Exception Handling

Handling unchecked exceptions is not enforced by the compiler

These are quite common

- E.g., all exceptions in C++
- In Java: any exception that extends Error or RuntimeException

Java's exception hierarchy (messy)



Checked vs. unchecked exceptions

- **Checked exception**

- Must be caught or propagated, or program won't compile
- **Exceptional condition that programmer must deal with**

- **Unchecked exception**

- No action is required for program to compile...
 - But uncaught exception will cause failure at runtime
- Usually indicates a **programming error**

- **Error**

- Special unchecked exception typically thrown by VM
- Recovery is usually impossible

Benefits of exceptions (summary)

- You can't forget to handle common failure modes
 - Explicit > implicit
 - Compare: using a flag or special return value
- Provide high-level summary of error
 - Compare: core dump in C/C++
- Improve code structure
 - Separate normal code path from exceptional
 - Error handling code is segregated in catch blocks
- Ease task of writing robust, maintainable code

Defining & using Exception Types

```
class BufferBoundsException extends Throwable {
    public BufferBoundsException(String message) {
        ...
    }
}

void atIndex(int[] buff, int i) throws BufferBoundsException {
    if (buff.length <= i)
        throw new BufferBoundsException("...");
    return buff[i];
}
```

Exception Handling

- It's still wise to guard for “obvious” unchecked exceptions

```
if (arr.length > 10)
    return arr[10];
```

- Or explicitly signal the problem, recall:

```
if (buff.length <= i)
    throw new BufferBoundsException("...");
return buff[i];
```

- Why is this better than letting the index fail?

Exception Handling

- It's still wise to guard for “obvious” unchecked exceptions

```
if (arr.length > 10)
    return arr[10];
```

- Or explicitly signal the problem, recall:

```
if (buff.length <= i)
    throw new BufferBoundsException("...");
return buff[i];
```

- Why is this better than letting the index fail?
 - BufferBoundsException can be a checked exception!
 - Which forces someone to handle it
 - Here, we declared: `atIndex(int[] buff, int i) throws BufferBoundsException`
 - So every calling method must handle it, or throw it on

Guidelines for using exceptions

- Document all exceptions thrown by each method in the specification
 - Unchecked as well as checked (EJ Item 74)
 - But don't *declare* unchecked exceptions!
- Include failure-capture info in detail message (Item 75)

```
throw new IllegalArgumentException(  
    "Quantity must be positive: " + quantity);
```

Guidelines for using exceptions (2)

- Document all exceptions thrown by each method
 - Unchecked as well as checked (EJ Item 74)
 - But don't *declare* unchecked exceptions!
- Include failure-capture info in detail message (Item 75)

```
throw new IllegalArgumentException(  
    "Quantity must be positive: " + quantity);
```

- Don't ignore exceptions (EJ Item 77)

```
try {  
    processPayment(payment);  
}  
catch (Exception e) { // BAD!  
}
```

Cleanup

Exception handling often also supports cleaning up

```
openMyFile();
try {
  writeMyFile(theData); // This may throw an error
} catch(e) {
  handleError(e); // If an error occurred, handle it
} finally {
  closeMyFile(); // Always close the resource
}
```

Manual Resource Termination

Is ugly and error-prone, especially for multiple resources

- Even good programmers usually get it wrong
 - Sun's Guide to Persistent Connections got it wrong in code that claimed to be exemplary
 - Solution on page 88 of Bloch and Gafter's Java Puzzlers is badly broken; no one noticed for years
- 70% of the uses of `close` **in the JDK itself** were wrong in 2008!
- Even the “correct” idioms for manual resource management are deficient

The solution: try-with-resources

Automatically closes resources!

```
try (DataInputStream dataInput =  
    new DataInputStream(new FileInputStream(fileName))) {  
    return dataInput.readInt();  
} catch (IOException e) {  
    ...  
}
```


Exceptions Across Languages

Alas, try-with-resources does not exist in JS/TS

- Neither does 'throws'

Exception structures differ radically across languages

- Most languages have 'try/catch' and 'throw'
 - Some have 'finally'
- Python has 'with' for resource management (since 2006)
 - C# has 'using'
 - Java's try-with-resources was added in 2011
- Go returns an error-typed value, to be checked for nullity

Exceptions Across Languages

Use what you have

- When possible, be explicit
 - Use the compiler to enforce, where possible
 - Proactively avoid corner-cases, where not
 - Unchecked exceptions, JS/TS
- Make exceptions part of your contract

Outline

1. Exception Handling
2. **Unit Testing**
3. Specifications

Functional correctness

- Compiler ensures **types** are correct (**type-checking**)
 - Prevents many runtime errors, such as “Method Not Found” and “Cannot add boolean to int”

Functional correctness

- Compiler ensures **types** are correct (**type-checking**)
 - Prevents many runtime errors, such as “Method Not Found” and “Cannot add boolean to int”
- How to ensure functional correctness, beyond type correctness?

One option: Formal verification

- Use mathematical methods to prove correctness with respect to the formal specification
- Formally prove that **all possible executions** of an implementation **fulfill the specification**
- Manual effort; partial automation; not automatically decidable

Another option: Testing

- Executing the program with selected inputs in a controlled environment
- Goals
 - Reveal bugs, so they can be fixed (main goal)
 - Assess quality
 - Clarify the specification, documentation
- Testing is related to contracts
 - Because we need to know what to test!

Re: Formal verification, Testing

“Beware of bugs in the above code; I have only proved it correct, not tried it.”

Donald Knuth, 1977

“Testing shows the presence, not the absence of bugs.”

Edsger W. Dijkstra, 1969

Q: Who's right, Dijkstra or Knuth?

```
1:     public static int binarySearch(int[] a, int key) {
2:         int low = 0;
3:         int high = a.length - 1;
4:
5:         while (low <= high) {
6:             int mid = (low + high) / 2;
7:             int midVal = a[mid];
8:
9:             if (midVal < key)
10:                 low = mid + 1
11:             else if (midVal > key)
12:                 high = mid - 1;
13:             else
14:                 return mid; // key found
15:         }
16:         return -(low + 1); // key not found.
17:     }
```

This is Java code

Q: Who's right, Dijkstra or Knuth?

```
1:     public static int binarySearch(int[] a, int key) {
2:         int low = 0;
3:         int high = a.length - 1;
4:
5:         while (low <= high) {
6:             int mid = (low + high) / 2;
7:             int midVal = a[mid];
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9:             if (midVal < key)
10:                 low = mid + 1
11:             else if (midVal > key)
12:                 high = mid - 1;
13:             else
14:                 return mid; // key found
15:         }
16:         return -(low + 1); // key not found.
17:     }
```

Spec: sets mid to the average of low and high, truncated down to the nearest integer.

Fails if $low + high > MAXINT (2^{31} - 1)$
Sum overflows to negative value

A: They're both right

- There is no silver bullet!
- Use all the tools at your disposal
 - Careful design
 - Testing
 - Formal methods (where appropriate)
 - Code reviews
 - ...
- You'll still have bugs, but hopefully fewer.

Manual testing

GENERIC TEST CASE: USER SENDS MMS WITH PICTURE ATTACHED.

- Live System?
- Extra Testing System?
- Check output / assertions?
- Effort, Costs?
- Reproducible?

Step ID	User Action	System Response
1	Go to Main Menu	Main Menu appears
2	Go to Messages Menu	Message Menu appears
3	Select "Create new Message"	Message Editor screen opens
4	Add Recipient	Recipient is added
5	Select "Insert Picture"	Insert Picture Menu opens
6	Select Picture	Picture is Selected
7	Select "Send Message"	Message is correctly sent



Automated testing

- Execute a program with specific inputs, check output for expected values
- Easier to test small pieces than testing user interactions
- Set up testing infrastructure
- **Execute tests regularly**
 - *After every change*

Testing

How do we know
this works?

```
int isPos(int x) {  
    return x >= 1;  
}
```

Testing

How do we know
this works?

Testing

```
int isPos(int x) {  
    return x >= 1;  
}  
  
@Test  
void testIsPos() {  
    assertTrue(isPos(1));  
}
```

Are we done?

Testing

How do we know
this works?

Testing

Are we done?

```
int isPos(int x) {  
    return x >= 1;  
}  
  
@Test  
void testIsPos() {  
    assertTrue(isPos(1));  
}  
  
@Test  
void testNotPos() {  
    assertFalse(isPos(-1));  
}
```


Testing

How do we know
this works?

Testing

Are we done?

```
int isPos(int x) {  
    return x >= 0; // What if?  
}  
  
@Test  
void testIsPos() {  
    assertTrue(isPos(1));  
}  
  
@Test  
void testNotPos() {  
    assertFalse(isPos(-1));  
}
```

Testing

How do we know
this works?

Testing

Are we done?

```
int isPos(int x) {  
    return x >= 0; // What if?  
}  
  
@Test  
void test1IsPos() {  
    assertTrue(isPos(1));  
}  
  
@Test  
void test0IsNotPos() {  
    assertFalse(isPos(0)); // Fails  
}
```

Boundary Value Testing

We cannot test for every integer.

Choose *representative* values:
1 for positives, -1 for negatives

And *boundary cases*: 0 is a likely candidate for mistakes

- Think like an attacker

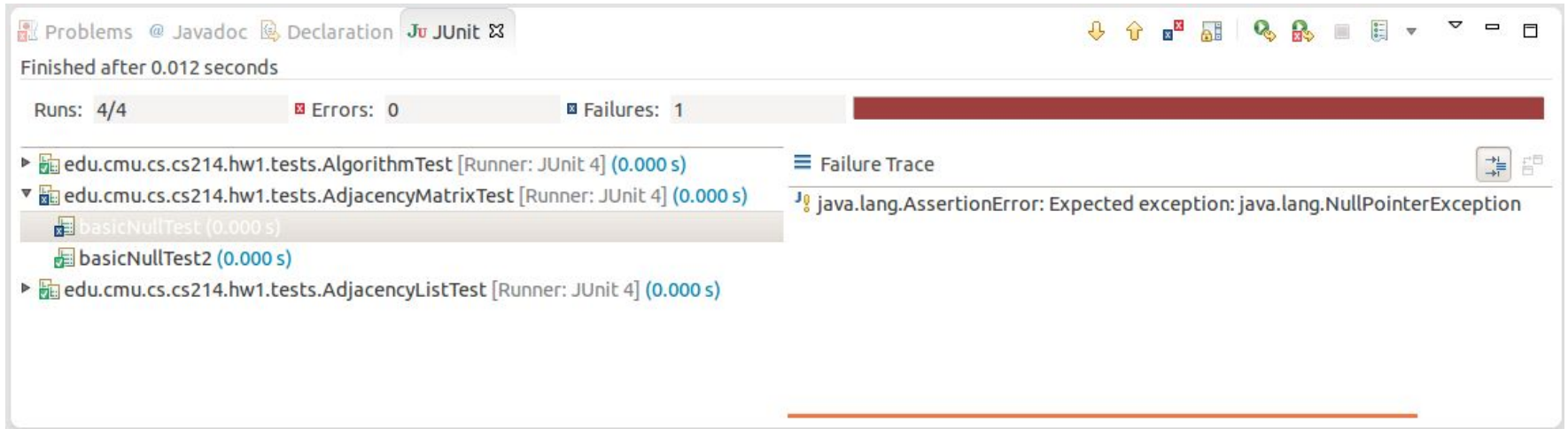
```
int isPos(int x) {  
    return x >= 0; // What if?  
}  
  
@Test  
void test1IsPos() {  
    assertTrue(isPos(1));  
}  
  
@Test  
void test0IsNotPos() {  
    assertFalse(isPos(0)); // Fails  
}
```

Unit Tests

- For “small” units: methods, classes, subsystems
 - Unit is smallest testable part of system
 - Test the parts before assembling them
 - Intended to catch local bugs
- Typically (but not always) written by developers
- Many small, fast-running, independent tests
- Few dependencies on other system parts or environment
- Insufficient, but a good starting point

For Java: JUnit

- Popular unit-testing framework for Java
- Easy to use
- Tool support available, e.g., IntelliJ integration



The screenshot shows the IntelliJ IDEA JUnit runner interface. At the top, it says "Finished after 0.012 seconds". Below that, a summary bar shows "Runs: 4/4", "Errors: 0", and "Failures: 1". A red progress bar indicates the failure. The test results list includes:

- edu.cmu.cs.cs214.hw1.tests.AlgorithmTest [Runner: JUnit 4] (0.000 s)
- edu.cmu.cs.cs214.hw1.tests.AdjacencyMatrixTest [Runner: JUnit 4] (0.000 s)
 - basicNullTest (0.000 s) - Failed
 - basicNullTest2 (0.000 s)
- edu.cmu.cs.cs214.hw1.tests.AdjacencyListTest [Runner: JUnit 4] (0.000 s)

The "Failure Trace" section shows the error: `java.lang.AssertionError: Expected exception: java.lang.NullPointerException`.

For Java: JUnit

Syntax:

```
import static org.junit.Assert.*;

class PosTests {

    @Before
    void setUp() {
        // Anything you want to run
        // before each test
    }

    @Test
    void test1IsPos() {
        assertTrue(isPos(1));
    }
}
```

For TS: Jest

- In particular, ts-jest
 - Many other options; your choice
- Requires a few files:
 - jest.config.js, to specify testing mode
 - package.json with (ts-)jest dependencies
- Provides useful features:
 - 'test', 'expect' (= 'assert')
 - 'toBe', 'toEqual'
 - 'fn', for Mocking (later)

```
test > TS isPos.test.ts > ...
1  import { isPos } from "../src/isPos"
2
3  test('1 is positive', () => {
4    expect(isPos(1)).toBe(true);
5  });
6
7  test('-1 is not positive', () => {
8    expect(isPos(-1)).toBe(false);
9  });
10
11 test('0 is not positive', () => {
12   expect(isPos(0)).toBe(false);
13 });
```

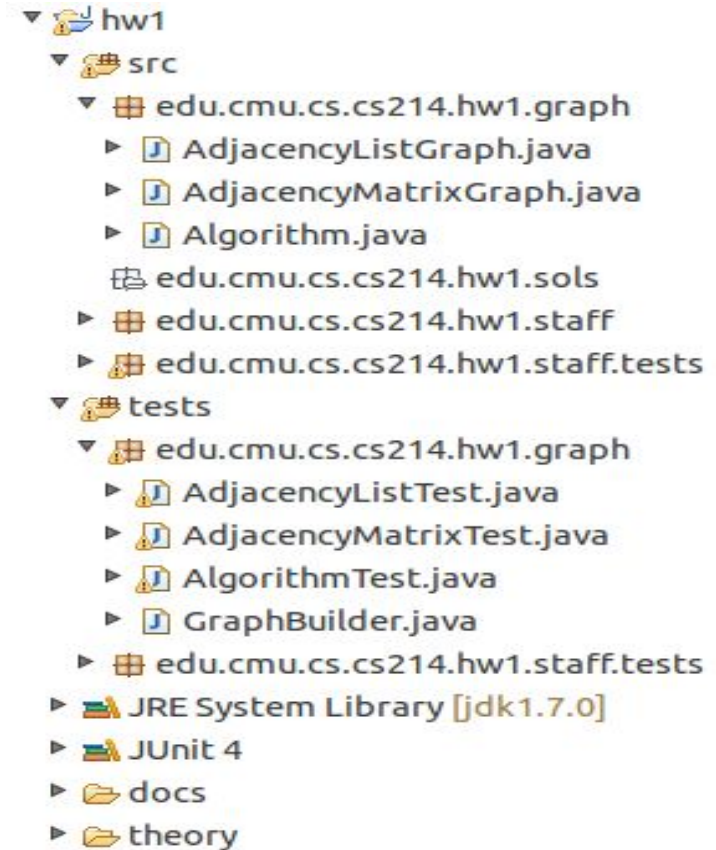
```
PROBLEMS  OUTPUT  TERMINAL  DEBUG CONSOLE

      at Object.<anonymous> (test/isPos.test.ts:12:19)

Test Suites: 1 failed, 1 total
Tests:       1 failed, 2 passed, 3 total
Snapshots:  0 total
```

Test organization

- Conventions (not requirements)
- Have a test class `FooTest` for each public class `Foo`
- Have a source directory and a test directory
 - Store `FooTest` and `Foo` in the same package
 - Tests can access members with default (package) visibility



Writing Testable Code

- Think about testing when writing code
 - Unit testing encourages you to write testable code
- Modularity and testability go hand in hand
 - Same test can be used on multiple implementations of an interface!
- Test-Driven Development
 - A design and development method in which you write tests before you write the code
 - Writing tests can expose API weaknesses!

Run Tests Often

- You should only commit code that passes all tests...
- So run tests before every commit
- If test suite becomes too large & slow for rapid feedback
 - Run local package-level tests (“smoke tests”) frequently
 - Run all tests nightly
 - Medium sized projects often have thousands of test cases
- Continuous integration (CI) servers help to scale testing
 - We ask you to use GitHub Actions in this class

Outline

1. Exception Handling
2. Unit Testing
3. **Specifications – to be continued on Tuesday**

Outlook

Homework 2 is all about testing

- Specification-testing the FlashCard system
- Some structural testing as well
 - More next Tuesday, also on coverage, test-case design
- To be released soon

Summary

- Being explicit about program behavior is ideal
 - Helps you detect bugs
 - Forces handling of special cases -- a key source of bugs
 - Increases transparency of your program's interface
- Specification comes in multiple forms
 - Explicit contracts, formal or informal
 - Compile-time signals, e.g. through exceptions
 - Testing helps clarify, often improve specifications
 - TDD takes this to the extreme
 - You rarely know your code until you test it