

Principles of Software Construction: Objects, Design, and Concurrency

{Static & Dynamic} x {Typing & Analysis}

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Quiz time!

- Go to Canvas and do the Lecture 22 Quiz
 - access code: patterns

Is this code buggy?

```
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

How Do You Find Bugs?

- Run it?

```
public class Fails {  
    public static void main(String[] args) {  
        getValue(i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

```
Exception in thread "main" java.lang.NullPointerException: Cannot invoke "java.lang.Integer.intValue()" because "i" is null  
    at misc.Fails.getValue(Fails.java:9)  
    at misc.Fails.main(Fails.java:5)
```

How Else Can You Find Bugs?

```
public class Fails {  
    public static void main(String[] args) {  
        getValue(i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

IntelliJ can look at this code and say:

```
public static void main(String[] args) {  
    getValue(i: null);  
}
```

Passing 'null' argument to parameter annotated as @NotNull

```
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

(with annotations explicit)

```
Fails.java x
1      import org.jetbrains.annotations.NotNull;
2
3      public class Fails {
4          private static int getValue(@NotNull Integer i) {
5              return i.intValue();
6          }
7
8      public static void main(String [] args){
9          getValue(i: null);
10     }
11
```

Static Analysis!

How?

```
public static void main(String[] args) {  
    getValue(i: null);  
}  
  
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

Passing 'null' argument to parameter annotated as @NotNull

Static Analysis!

How?

- We know at *compile time* where `getValue` gets routed to
- `getValue` calls a method on `i`
- `i` can be `null`

```
public static void main(String[] args) {  
    getValue(i: null);  
}  
  
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

Passing 'null' argument to parameter annotated as @NotNull

What about JS?

```
fails.js
```

```
function getValue(x) {  
    return x.valueOf();  
}
```

What about JS?

Run it: ✓

JS fails.js > ...

```
1  function getValue(x) {  
2  |      return x.valueOf();  
3  }  
4  
5  console.log(getValue("32"));  
6  console.log(getValue(null));
```

PROBLEMS

3

OUTPUT

TERMINAL

DEBUG CONSOLE

```
return x.valueOf();  
      ^
```

TypeError: Cannot read property 'valueOf' of null

Why no warning?

```
function getValue(x) {  
    return x.valueOf();  
}
```

```
console.log(getValue("32"));  
console.log(getValue(null));
```

Another Java vs JS Example

```
class Foo {  
    constructor(x) {  
        this.x = x;  
    }  
}  
  
function bar(foo) {  
    return foo.x;  
}  
  
var foo = new Foo(3);  
console.log(bar(foo));  
console.log(bar(3));
```

```
class Foo {  
    int x;  
    Foo(int x) {  
        this.x = x;  
    }  
}  
  
public static void main(String[] args) {  
    Foo foo = new Foo(x: 3);  
    bar(foo);  
    bar(foo: 3);  
}  
  
private static void bar(Foo foo) {  
    System.out.println(foo.x);  
}
```

Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
 - Types, nullity annotations, invariants
- At compile-time:
 - Dynamically typed languages assume nothing
 - Types exist only for *values*
 - Static typing is not completely precise either
 - Objects have declared types and run-time types
 - Different “strength” type systems

Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
 - Types, nullity annotations, invariants
- Is it worth it?
 - Dynamic typing can severely limit inference
 - But... static types are a lot of work

Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
 - Types, nullity annotations, invariants
- Is it worth it?
 - Dynamic typing can severely limit inference
 - But... static types are a lot of work

Do Static Type Systems Improve the Maintainability of Software Systems? An Empirical Study

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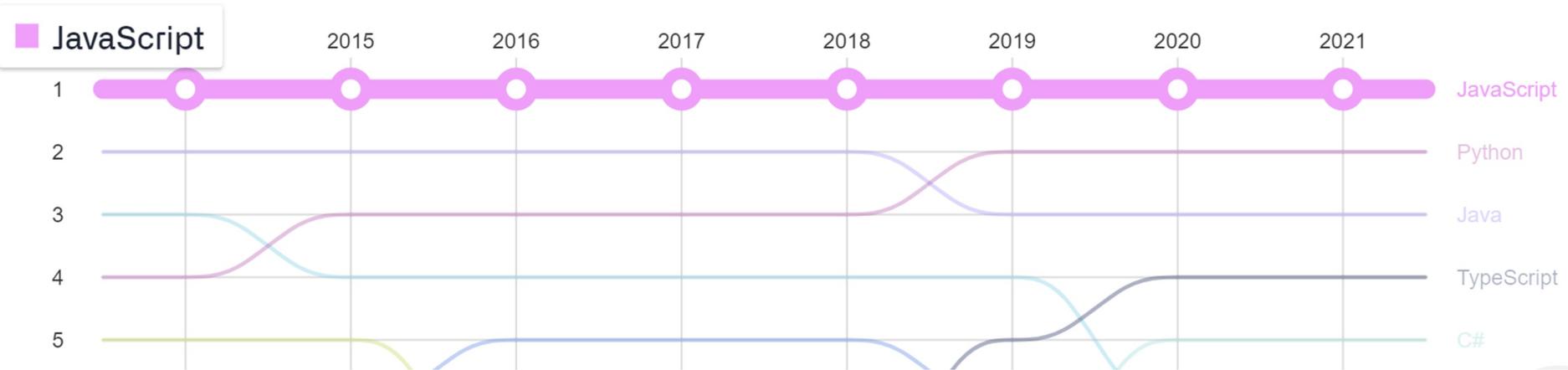
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Static vs. Dynamic Typing

Okay, but:

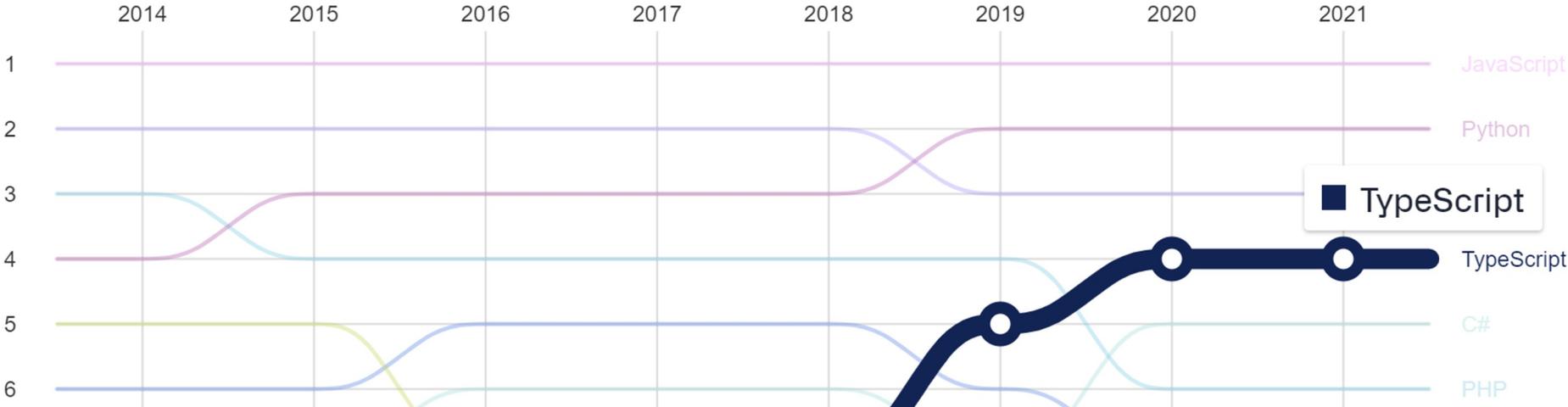
Top languages over the years



False Dichotomy?

Yes, but:

Top languages over the years



Partial Types

- Low effort, some utility
 - Static types exist and are checked at compile-time
 - Dynamic types are used at run-time
 - So annotations get ignored!
 - Type checker can be shallow or deep; TS is shallow

To Type or Not to Type: Quantifying Detectable Bugs in JavaScript

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Abstract—JavaScript is growing explosively and is now used in large mature projects even outside the web domain. JavaScript is also a dynamically typed language. We investigate the cost of investing in static types for JavaScript.

Types in TypeScript

```
function getValue(x: number) {  
  return x.valueOf();  
}
```

Argument of type 'null' is not assignable to parameter of type 'number'. ts(2345)

[View Problem](#) No quick fixes available

```
console.log(getValue(null));
```

Types in TypeScript

```
function getValue(x: number | null) {  
    return x.valueOf();  
}
```

Object is possibly 'null'. ts(2531)

(parameter) x: number | null

[View Problem](#) No quick fixes available

```
console.log(getValue(null));
```

Step Back

- Why do we care about types so much?

Step Back

- Why do we care about types so much?
 - #1 reason: automatically checked documentation
 - Probably dominates “bug finding” advantages in practice
 - But also: we care about *common mistakes*
 - Type errors happen to be very common
 - What else is common?

Step Back

- Why do we care about types so much?
 - #1 reason: automatically checked documentation
 - Probably dominates “bug finding” advantages in practice
 - But also: we care about *common mistakes*
 - Type errors happen to be very common
 - What else is common?
 - Nullity errors
 - Missing imports

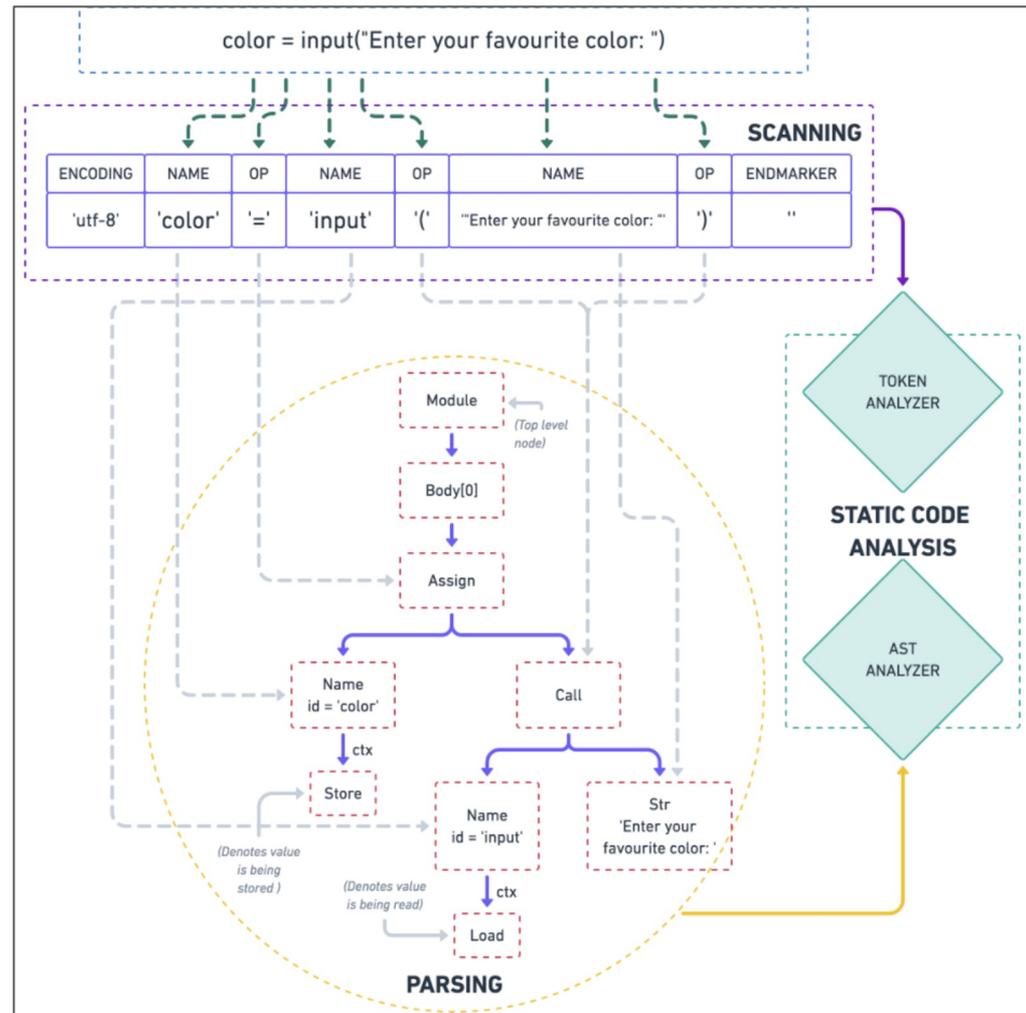
```
public void forward(String sender) {  
    if (sender == "me") {  
        sendSelf();  
    } else if (sender == "other") {
```

Static Analysis

- Detect real or plausible bugs based on code patterns
 - Plausible: look for risk-prone areas
 - Deeply nested loops
 - Overly general types (e.g., 'any' in TS)
 - Dead code/unused variables
 - Any other places we often make mistakes?

Static Analysis

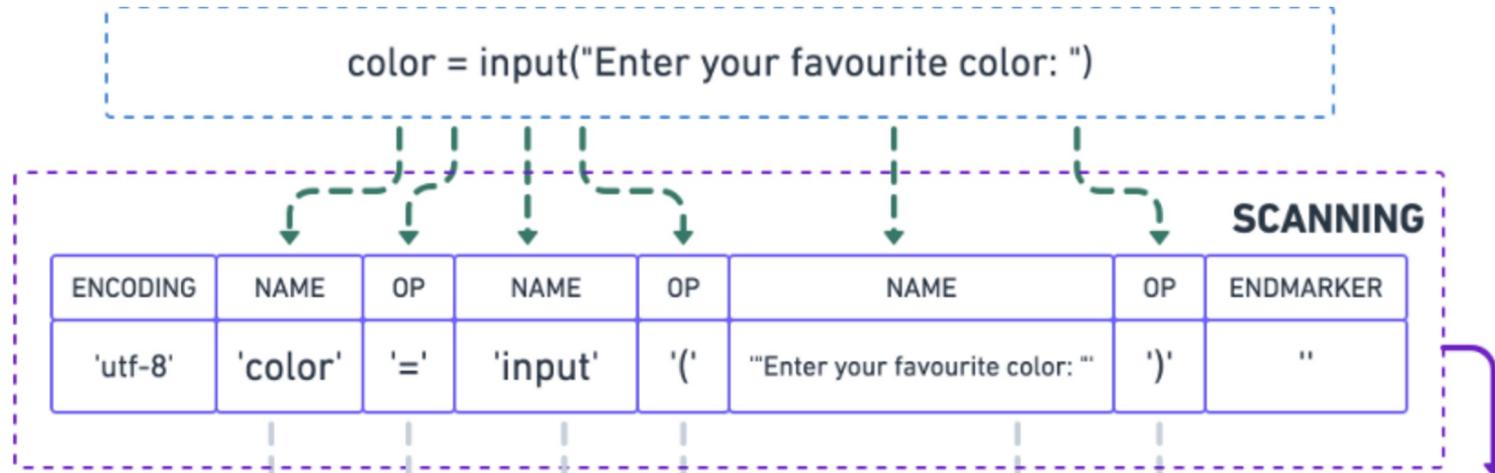
- How?
 - Program analysis + Vocabulary of patterns



Static Analysis

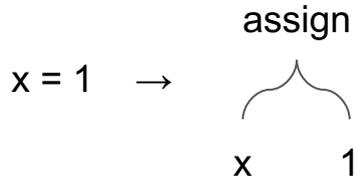
- Step 1: Tokenization

- Tokens are like the words of software
- *Lexical* categories, incl. punctuation, identifiers, operators, strings

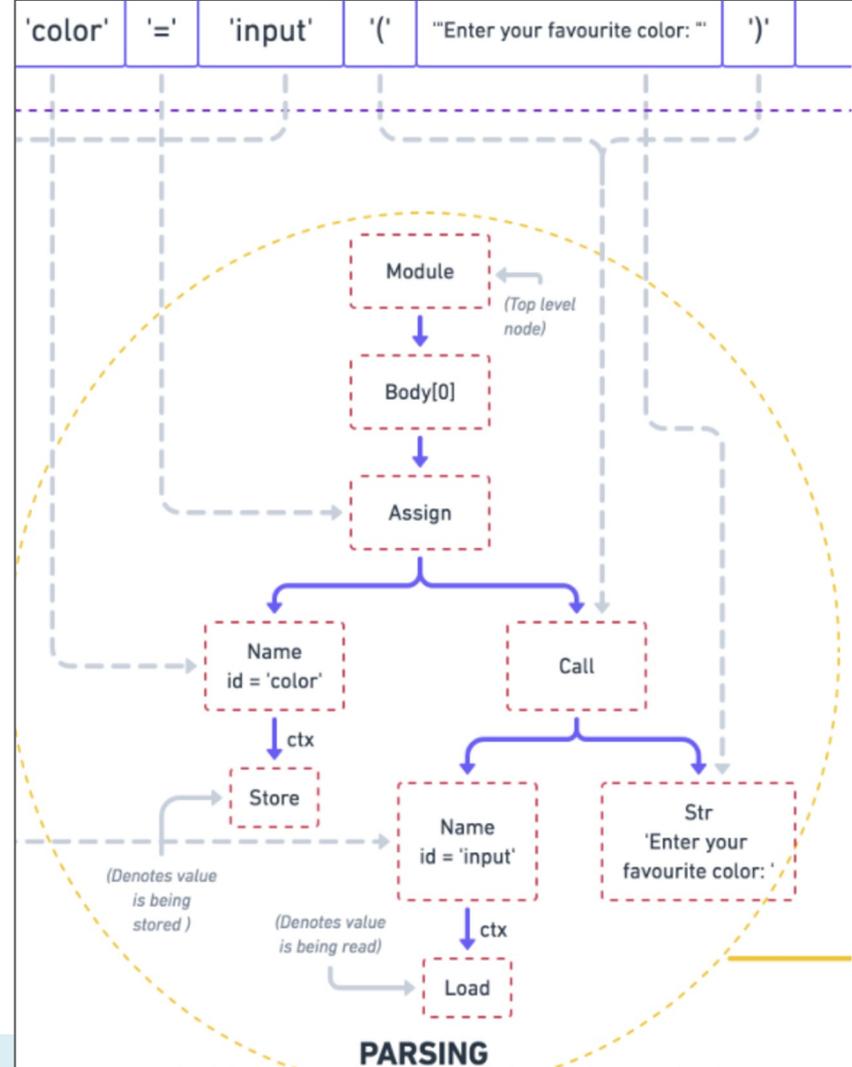


Static Analysis

- Step 2: Parsing
 - To the compiler/interpreter, software is a tree
 - Root node is file/module
 - Leaves mainly identifiers, literals
 - Internal nodes capture *structure*

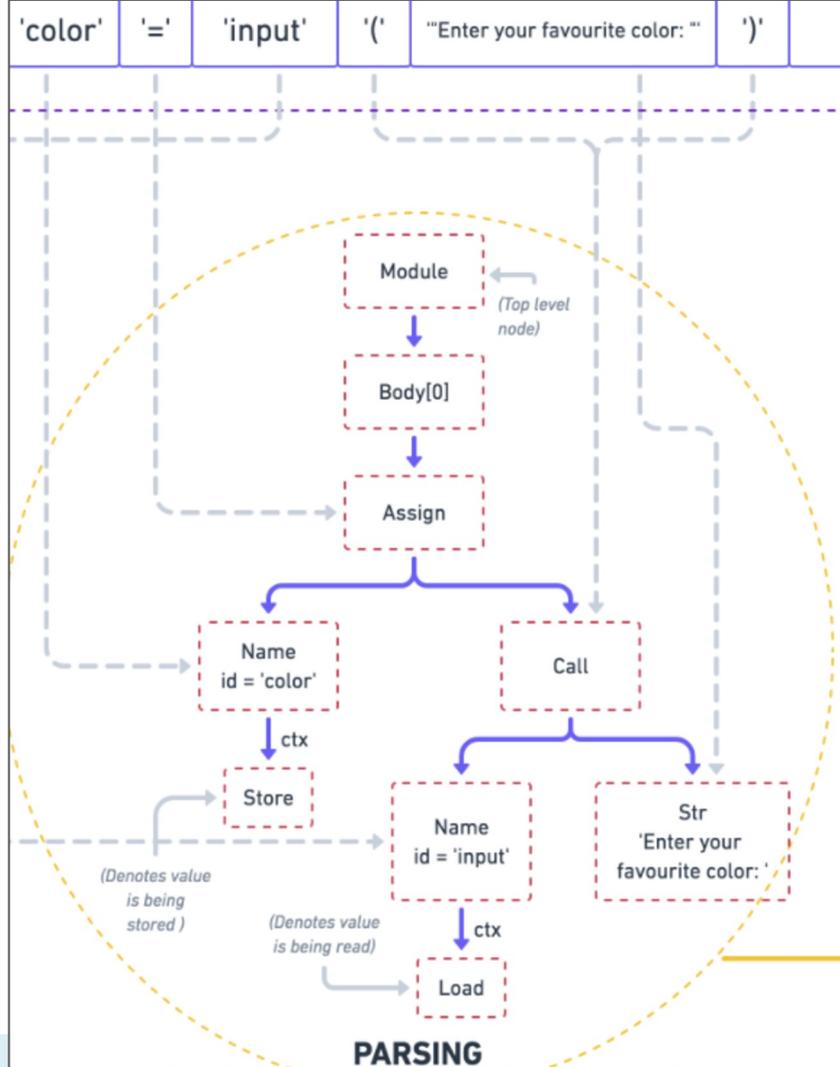


Consider checking out: <https://ast.carlosroso.com/>



Static Analysis

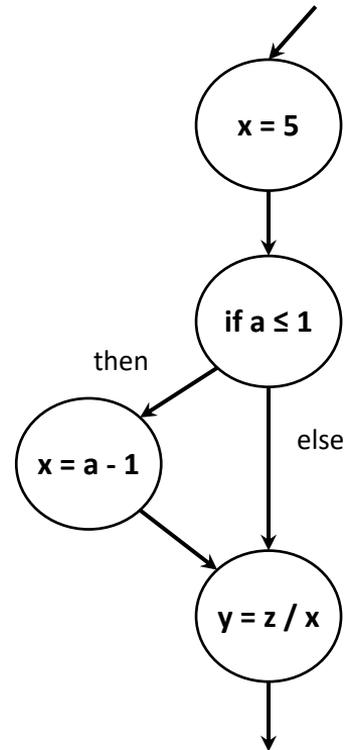
- Step 2: Parsing
 - What does this get us?
 - Rich structure
 - Syntactic types (variables, method calls)
 - Dead code, deep nesting
 - A lot of type resolution
 - What vars are stored, loaded
 - Not complete!
 - Need to *build* to understand imports



Static Analysis

- Step 2b: Advanced Analysis
 - The compiler doesn't stop at parsing

```
public boolean div(int a, int z) {  
    int x = 5;  
    if (a <= 1) {  
        x = a - 1;  
    }  
    return z / x;  
}
```



Static Analysis

- Step 2b: Advanced Analysis
 - The compiler doesn't stop at parsing
 - There is a lot more down this rabbit hole
 - Control/data-flow, abstract interpretation, (dynamic) symbolic execution,
 - Consider a programming languages, compilers, or program analysis course

Static Analysis

- Step 3: register analyzers
 - At the core: walk the tree

```
class ListDefinitionChecker(BaseChecker):  
    msg = "usage of 'list()' detected, use '[]' instead"  
  
    def visit_Call(self, node):  
        name = getattr(node.func, "id", None)  
        if name and name == list.__name__ and not node.args:  
            self.violations.append((self.filename, node.lineno, self.msg))
```

Static Analysis

- Step 3: register analyzers
- Classic: walk a tree →
- Modern: build a database of code facts, express analysis as queries over that database.
 - This is how CodeQL works!

```
class UnusedImportChecker(BaseChecker):
    def __init__(self):
        self.import_map = defaultdict(set)
        self.name_map = defaultdict(set)

    def _add_imports(self, node):
        for import_name in node.names:
            # Store only top-level module name ("os.path" -> "os").
            # We can't easily detect when "os.path" is used.
            name = import_name.name.partition(".")[0]
            self.import_map[self.filename].add((name, node.lineno))

    def visit_Import(self, node):
        self._add_imports(node)

    def visit_ImportFrom(self, node):
        self._add_imports(node)

    def visit_Name(self, node):
        # We only add those nodes for which a value is being read from.
        if isinstance(node.ctx, ast.Load):
            self.name_map[self.filename].add(node.id)
```

Static Analysis

- Modern: build a database of code facts, express analysis as queries over that database.
- This is how CodeQL works!

JavaConverter.java

```
public static Object deserialize (InputStream is)
    throws IOException {
    ObjectInputStream ois = new ObjectInputStream(is);
    return ois.readObject();
}
```

UnsafeDeserialization.q1

```
from DataFlow::PathNode source, DataFlow::PathNode
sink, UnsafeDeserializationConfig conf
where conf.hasFlowPath(source, sink)
select sink.getNode().(UnsafeDeserializationSink)
    .getMethodAccess(),
    source, sink, "Unsafe deserialization of $@",
    source.getNode(), "user input"
```

QL Query Results

alerts ▾

> ☰ Unsafe deserialization of [user input](#).

▾ ☰ Unsafe deserialization of [user input](#).

▾ Path

1 [getContent\(...\) : InputStream](#)

2 [getContentAsStream\(...\) : InputStream](#)

3 [toBufferedInputStream\(...\) : InputStream](#)

4 [getInputStream\(...\) : InputStream](#)

5 [is : InputStream](#)

6 [ois](#)

> Path

> ☰ Unsafe deserialization of [user input](#).

Static Analysis

- Compared to Linters:
 - Linters mainly enforce style -- comments, quotes, idioms
 - This also requires static analysis! Just nothing particularly fancy
 - Some overlap; good conventions help avoid bugs

Static Analysis

- Compared to Parsers:
 - Parsers check for syntactic correctness
 - Can catch bugs as well, e.g. missing “;”
 - Parsing is often a key step in static analysis
 - Hard to do right with just text/regexes.
 - Parsing is a platform for further analyses
 - control-flow, data-flow

So... Static Analysis for Everything?

- Can we find every bug?

- No! Rice's Theorem

"Any nontrivial property about the language recognized by a Turing machine is undecidable." -- Henry Gordon Rice, 1953

- Every static analysis is necessarily incomplete or unsound or undecidable (or multiple of these)

So... Static Analysis for Everything?

- Can we find every bug?
- Can we guarantee correctness?

So... Static Analysis for Everything?

- Can we find every bug?
- Can we guarantee correctness?
 - Yes (partial correctness anyway), but... much less useful

```
public class Fails {  
    public static void main(String[] args) {  
        getValue(i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

Soundness & Precision

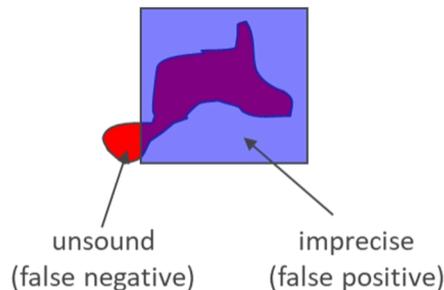
- Since we can't perfectly analyze behavior statically
 - We may miss things by being cautious (unsound; false negative)
 - We might identify non-problems (imprecision, false positive)



Program state covered in actual execution



Program state covered by abstract execution with analysis



The Social Side

- How to deploy tools that are neither sound nor complete?

Static Analysis at Google

- Centered around FindBugs (succeeded by SpotBugs)
 - Essentially, a huge collection of risky patterns on Java bytecode
 - Annotated with five levels of concern

CONTRIBUTED ARTICLES

Lessons from Building Static Analysis Tools at Google

By Caitlin Sadowski, Edward Aftandilian, Alex Eagle, Liam Miller-Cushon, Ciera Jaspán
Communications of the ACM, April 2018, Vol. 61 No. 4, Pages 58-66
10.1145/3188720

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FindBugs™

Software bugs cost developers and software companies...

Static Analysis at Google

- Three experiments in the early 2000s:
 1. **A dashboard:** run FindBugs overnight, report results in a centralized location
Failed because: dashboard is outside the developer's workflow

Static Analysis at Google

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Failed because: actually fixed some bugs, but FindBugs is too imprecise (44% of issues were “bugs”, but only 16% mattered)

None of these worked!

- Three experiments in the early 2000s:
 1. **A dashboard:** run FindBugs overnight, report results in a centralized location
Failed because: dashboard is outside the developer's workflow
 2. **Recurring FixIt events:** company-wide one-week effort to fix warnings
Failed because: actually fixed some bugs, but FindBugs is too imprecise (44% of issues were “bugs”, but only 16% mattered)
 3. **Add to Code Review:** run on every change, allow toggling warnings
Failed because: too imprecise; suppressing FPs made it inconsistent

Static Analysis at Google

Okay so then what?

- What went wrong / what do we need?

Static Analysis at Google

Okay so then what?

- What went wrong / what do we need?
 1. Precision is key -- developers lose faith in inaccurate tools
 2. Provide timely warnings -- in-IDE or rapidly on builds
 - a. Checkers are way more useful during coding
 3. Make a platform -- allow adding useful checks

Static Analysis at Google

Specifically:

- At compile-time:
 - Perfectly Precise
 - **No** false-positives; never halt a build incorrectly
 - Simple
 - Actionable
 - Ideally to the point of auto-fix suggestions

Static Analysis at Google

Specifically:

- At review time: TriCoder
 - 90%+ precise
 - If it drops below, checker gets disabled! Onus on checker authors to fix
 - Actionable, but may require some work
 - Improve correctness or code quality
 - Some compile-time checks moved to review-time!
- Ran 50K times per day -- in 2018

TriCoder

```
package com.google.devtools.staticanalysis;
```

```
public class Test {
```

▼ Lint Missing a Javadoc comment.

Java
1:02 AM, Aug 21

[Please fix](#)

[Not useful](#)

```
public boolean foo() {  
    return getString() == "foo".toString();  
}
```

▼ ErrorProne String comparison using reference equality instead of value equality
(see <http://code.google.com/p/error-prone/wiki/StringEquality>)

StringEquality
1:03 AM, Aug 21

[Please fix](#)

```
//depot/google3/java/com/google/devtools/staticanalysis/Test.java
```

```
package com.google.devtools.staticanalysis;
```

```
public class Test {  
    public boolean foo() {  
        return getString() == "foo".toString();  
    }  
}
```

```
    public String getString() {  
        return new String("foo");  
    }  
}
```

```
package com.google.devtools.staticanalysis;
```

```
import java.util.Objects;
```

```
public class Test {  
    public boolean foo() {  
        return Objects.equals(getString(), "foo".toString());  
    }  
}
```

```
    public String getString() {  
        return new String("foo");  
    }  
}
```

Static Analysis at Google

- The gist: Many simple precise checks
 - What else could one do?

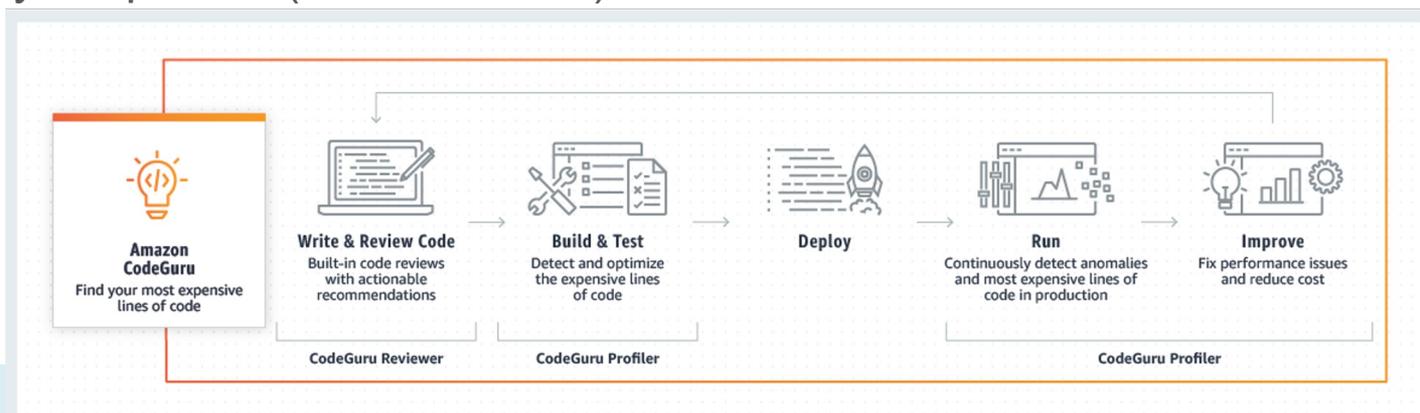
Static Analysis at Google

- The gist: Many simple precise checks
 - What else could one do?
- Infer at Facebook
 - Built around separation logic; geared heavily towards tracking resources
 - Null-pointer dereferences, resource leaks, unintended data access
 - Google claims this won't (easily) scale to their multi-billion line mono-repo



Static Analysis at Google

- The gist: Many simple precise checks
 - What else could one do?
- Use AI?
 - Rule-mining from previous reviews
 - Detects typical vulnerabilities, bad patterns
 - Mostly fairly simple ML (details limited)



Static Analysis at Google

- The gist: Many simple precise checks
 - What else could one do?
- Use AI?
 - Microsoft's IntelliSense in VSCode
 - Mostly refactorings, code completions
 - Trained on large volumes of code

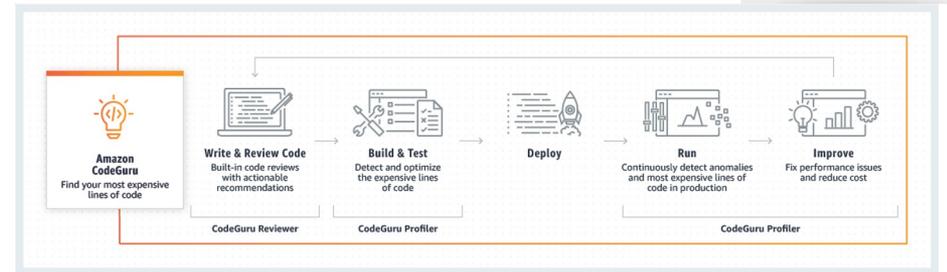
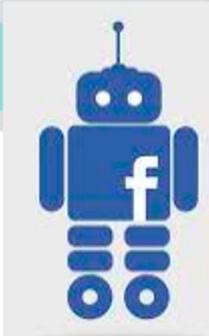
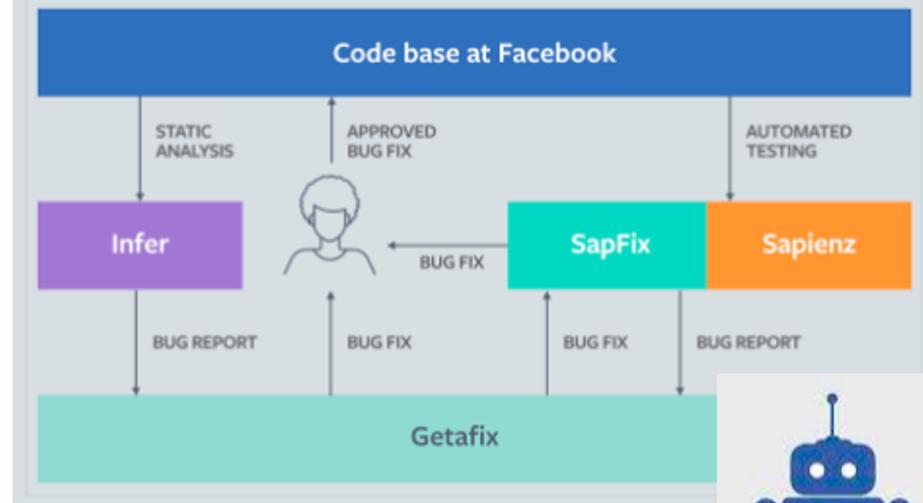
What else could we do?

- Integrate with bug fixing



Facebook: Getafix, also integrates with SapFix

- Fancy types / specifications
 - Simple example is Liquid Types – simple logical predicates on types
 - Extreme example: fully specified, statically verified code (cf. CompCert, seL4)
 - More work for developers, but also more payoff
 - Related research projects at CMU
 - Gradual Verification, Liquid Types for Java



Summary

- We all constantly make mistakes
 - Static analysis captures common issues
 - Choose suitable abstractions; consider trade-offs
 - E.g., dynamic vs. static typing; sound vs. precise
- At big-tech-scale, automated checks are key
 - Help normalize coding standards
 - Even rare bugs are common at scale
 - But: social factors are very important
- Active area of research, including here at CMU!
 - Take programming languages, program analysis courses to explore